

# Concurrent Tree Update



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**Azalea Raad**



**Mark  
Wheelhouse**



**Adam Wright**

**Imperial College  
London**

# Abstract Tree Module (High level)



# Concrete Tree Module (Low level)

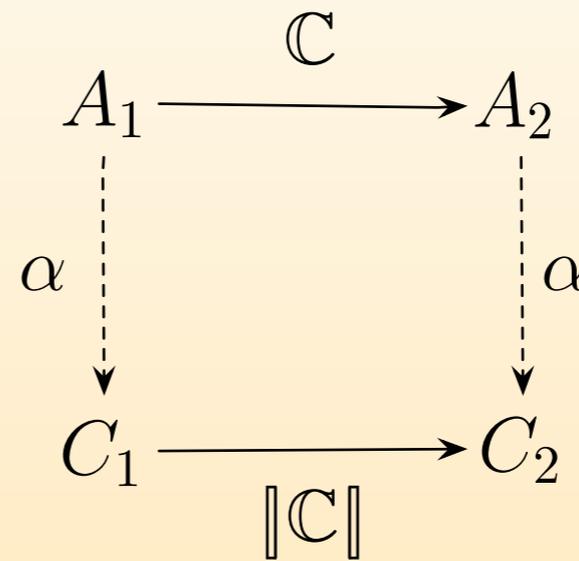
- Abstract data
  - ▶ e.g. DOM Trees
- High level commands

- Concrete data
  - ▶ e.g. Firefox's DOM
- Implementation

# Abstract Tree Module (High level)

- Abstract data
  - e.g. DOM Trees
- High level commands

## Refinement

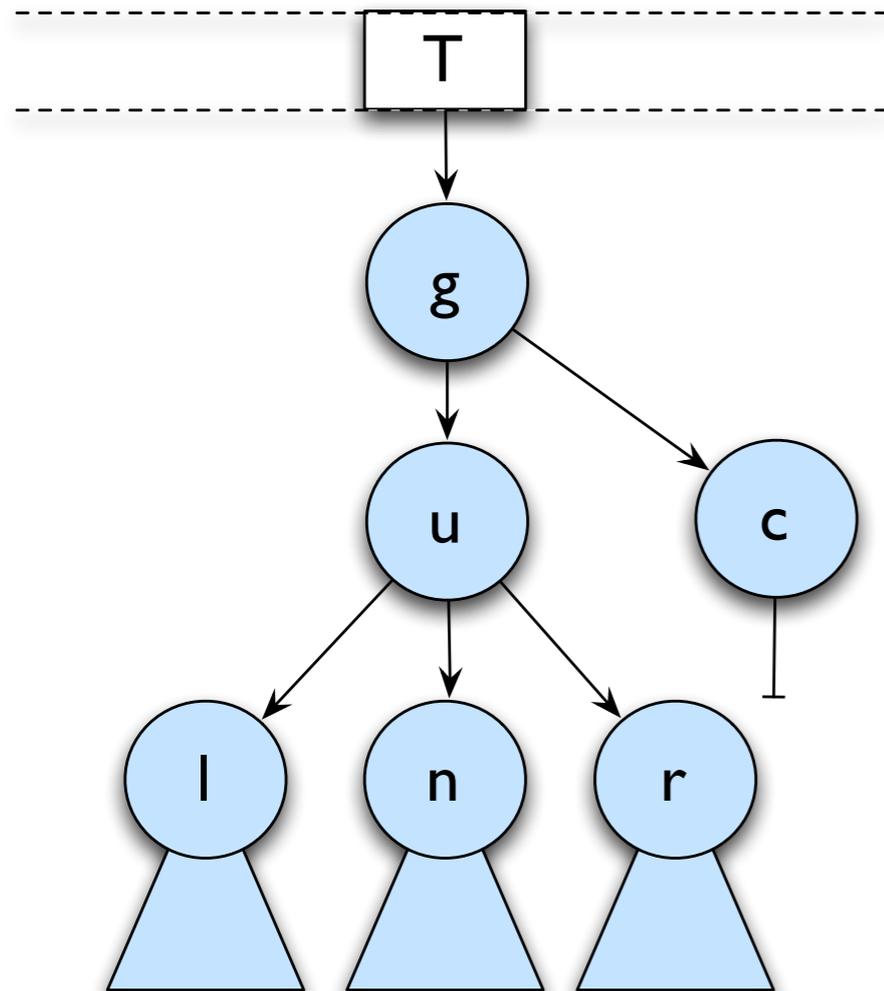


# Concrete Tree Module (Low level)

- Concrete data
  - e.g. Firefox's DOM
- Implementation

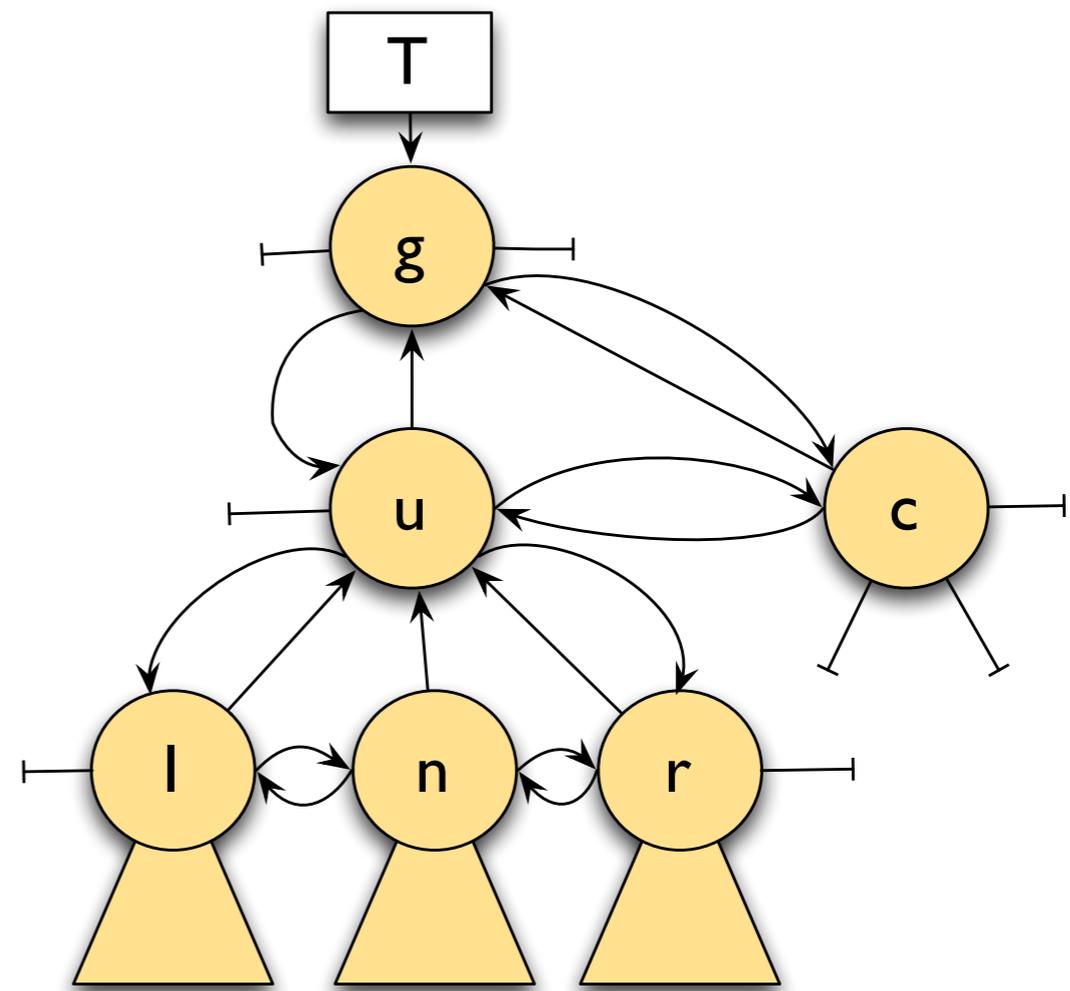
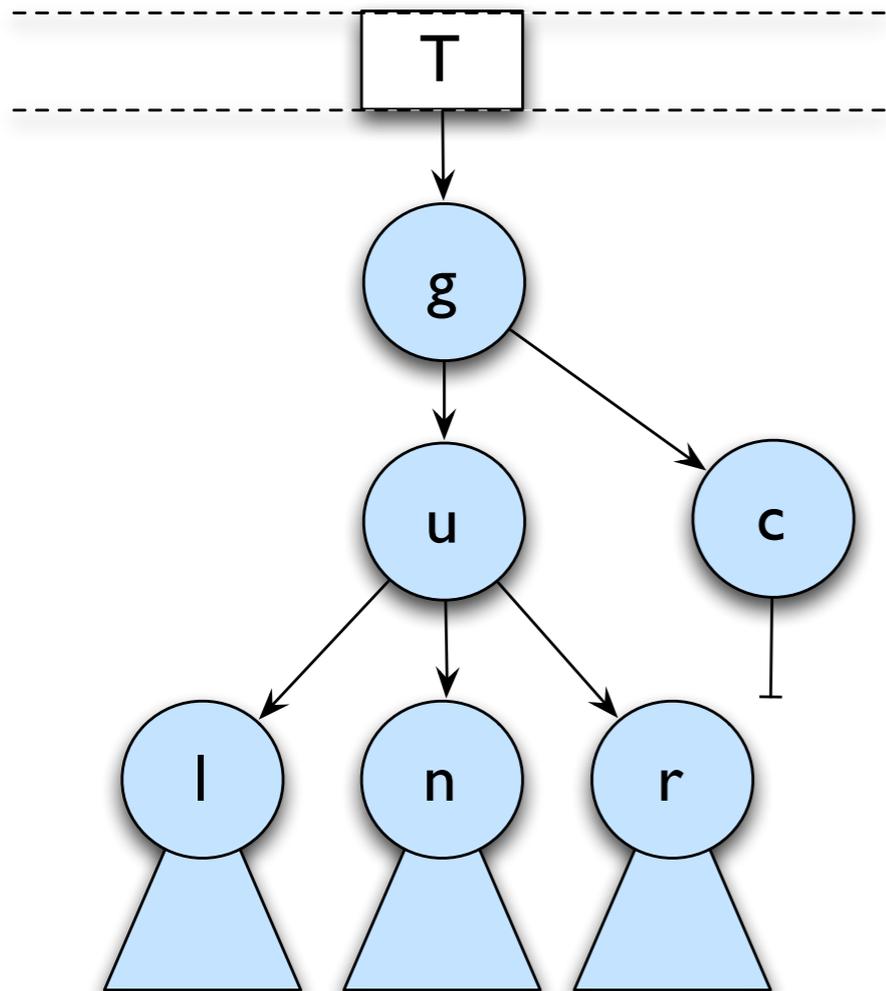
# High Level Trees

## Abstract Tree Representation



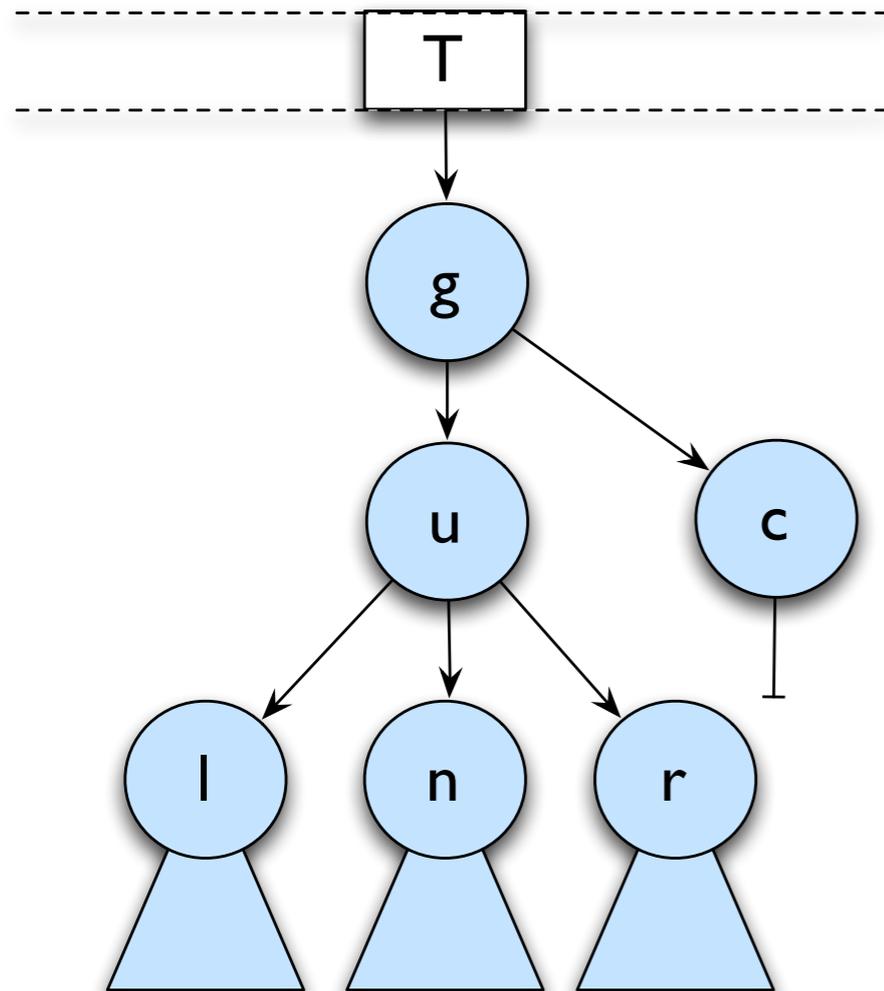
# High Level Trees

## Abstract Tree Representation



# High Level Trees

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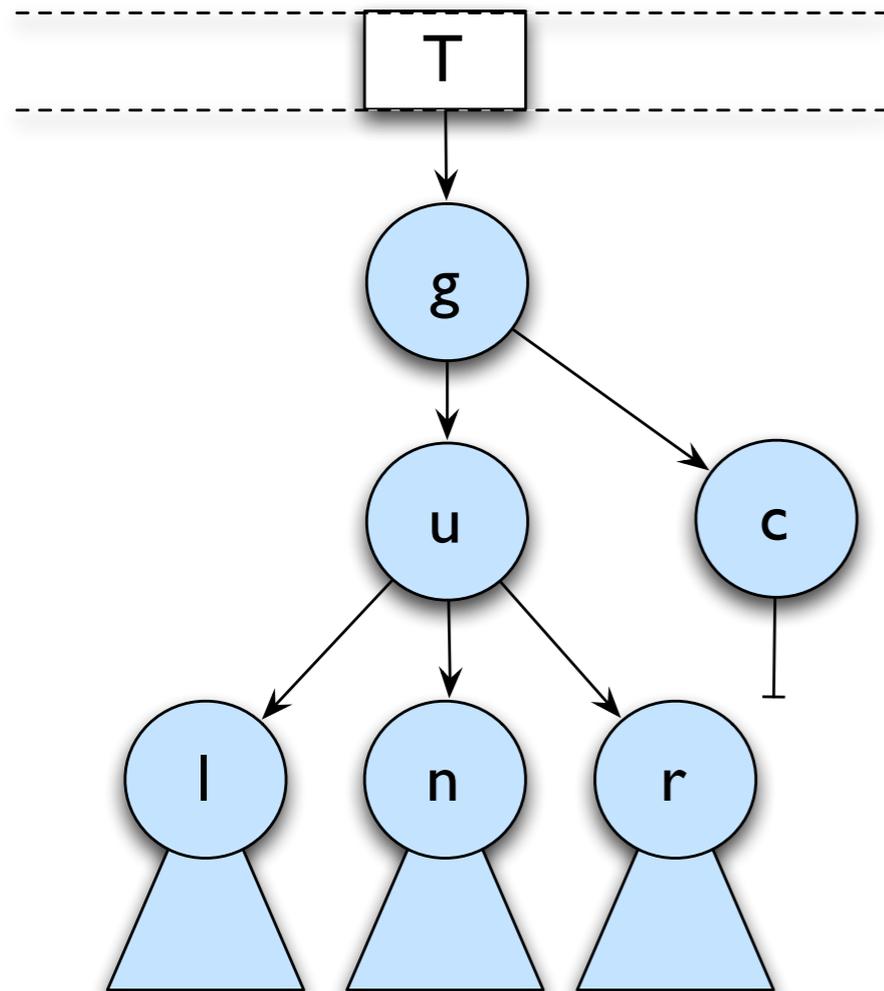


## Tree Manipulation Commands

```
getLeft(n)  
getRight(n)  
getUp(n)  
getFirst(n)  
getLast(n)  
newNodeAfter(n)  
deleteTree(n)  
appendChild(n)
```

# High Level Trees

## Abstract Tree Representation

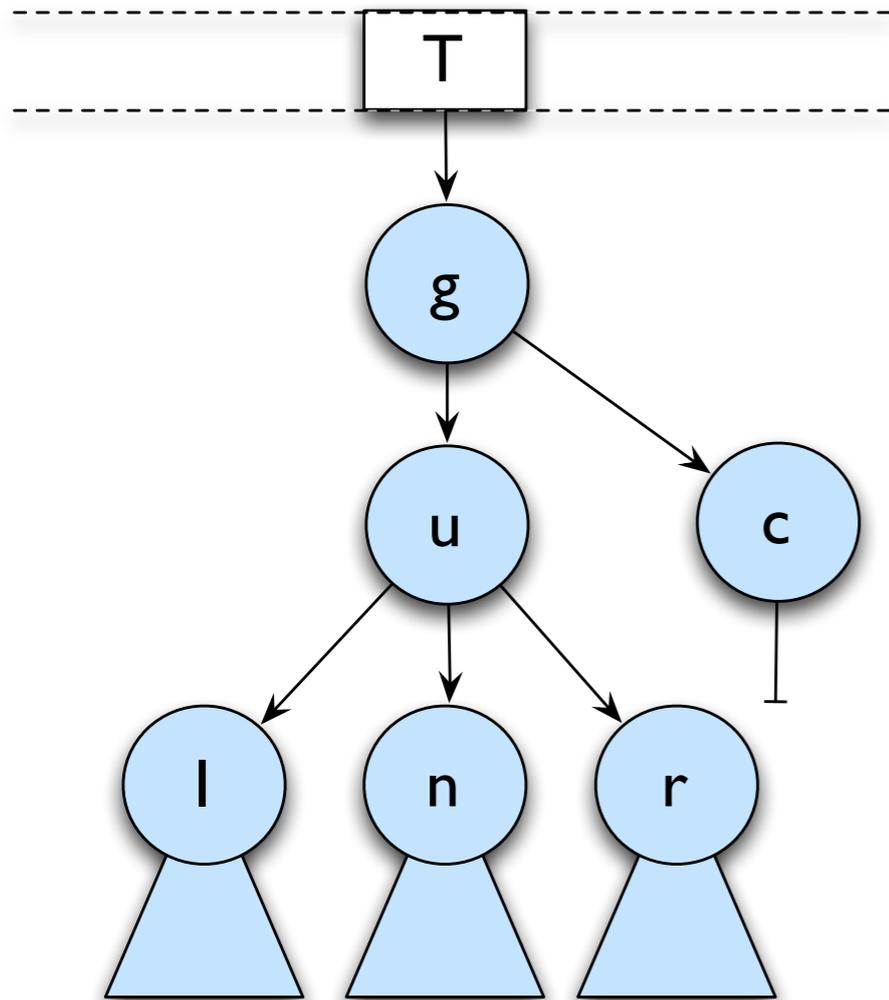


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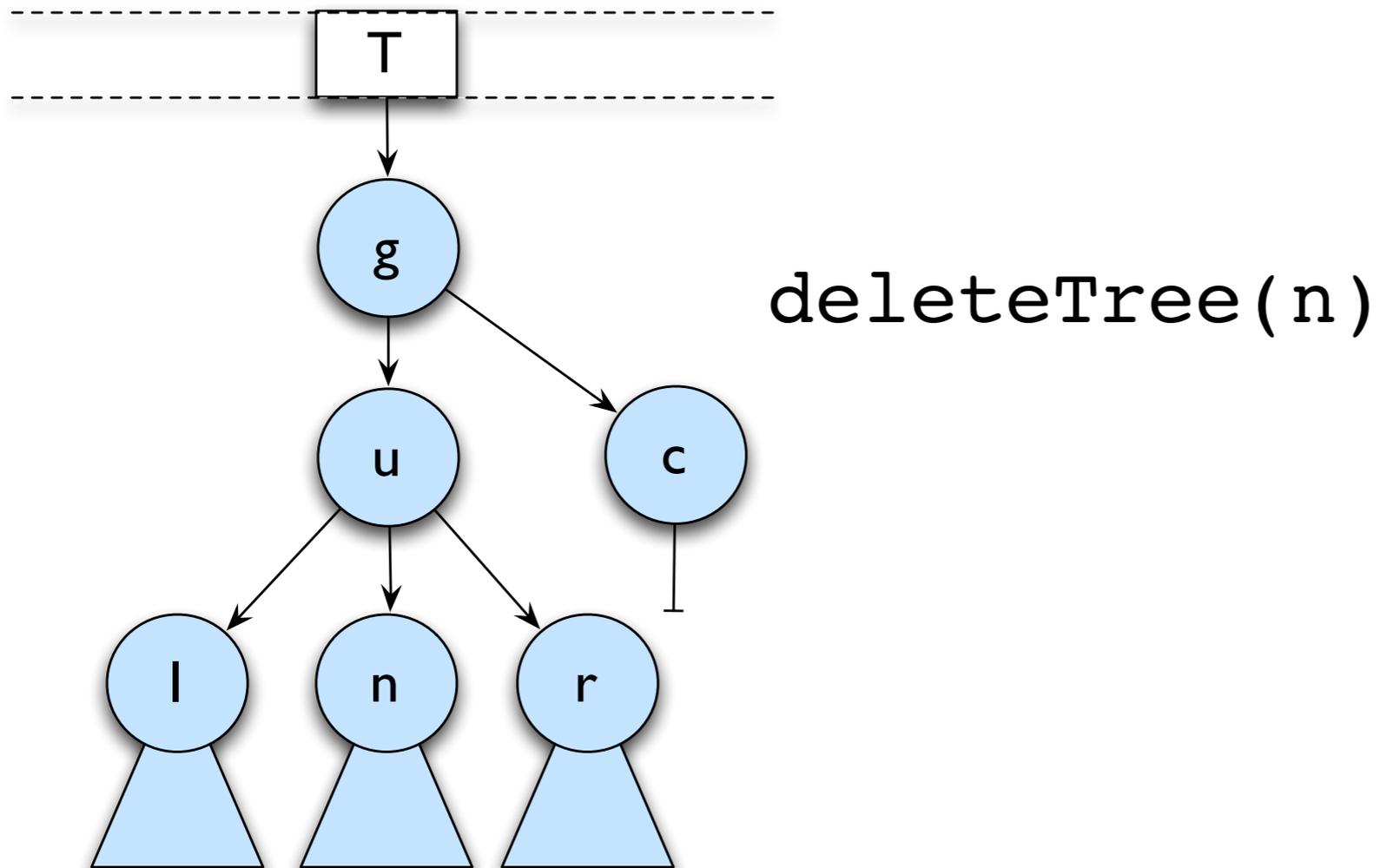
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newNodeAfter(n)  
deleteTree(n)  
appendChild(n)
```

# Unique identifiers to locate data

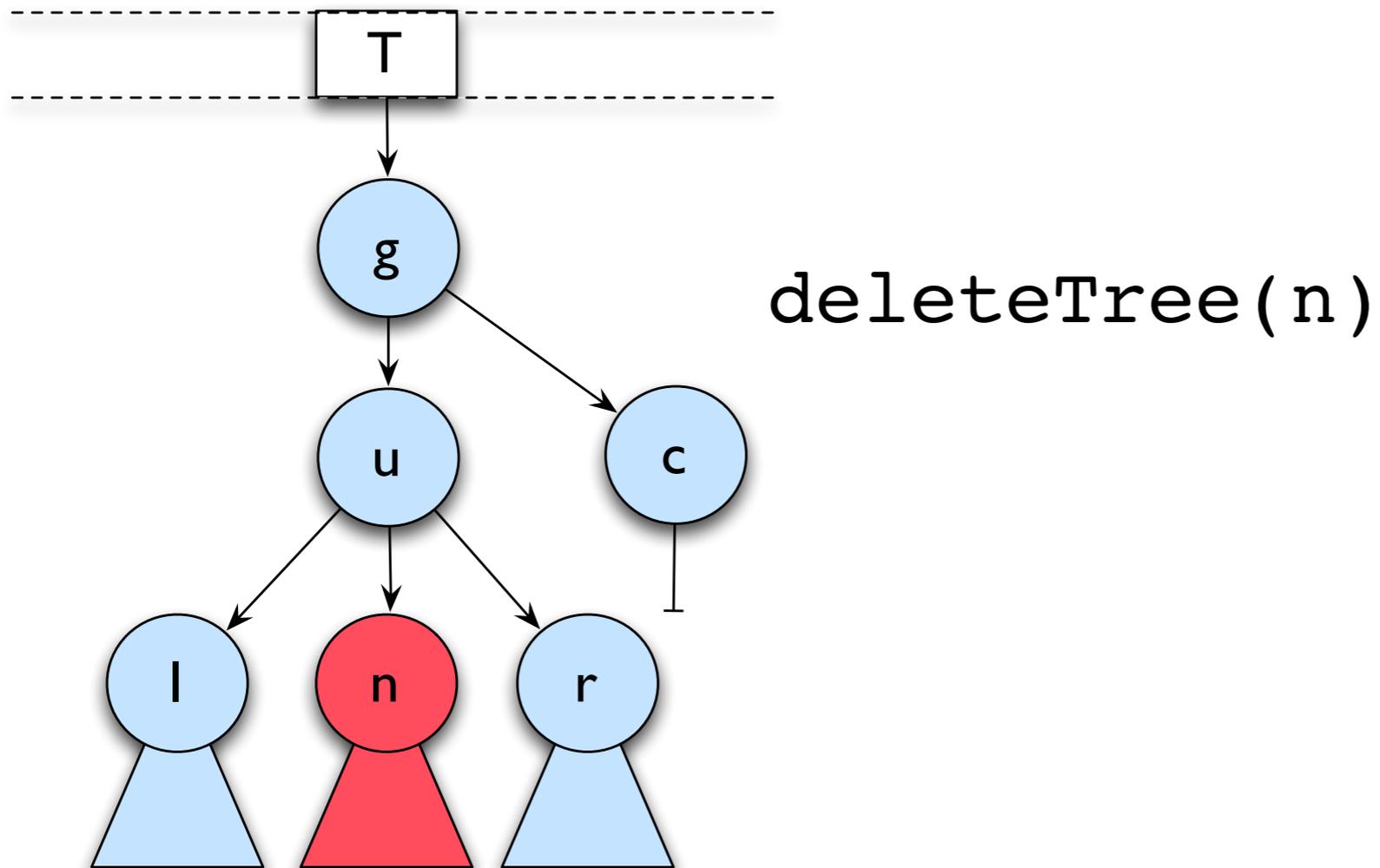
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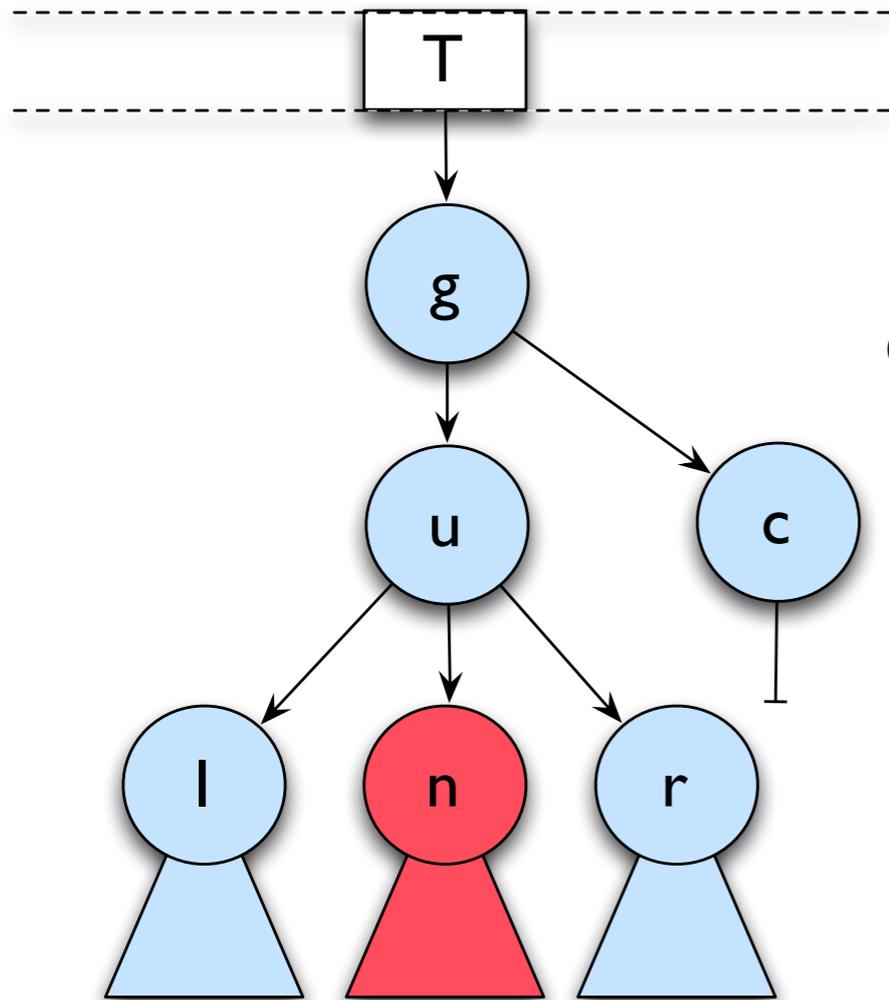
# High Level Trees



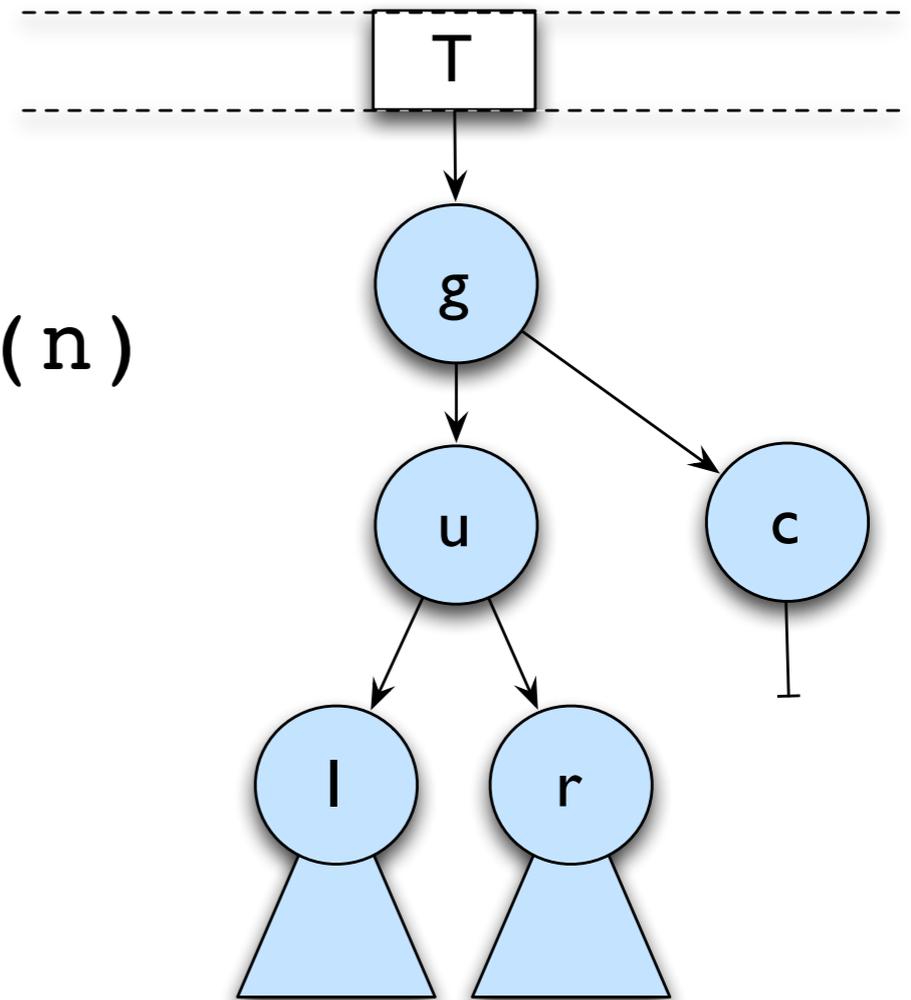
# High Level Trees



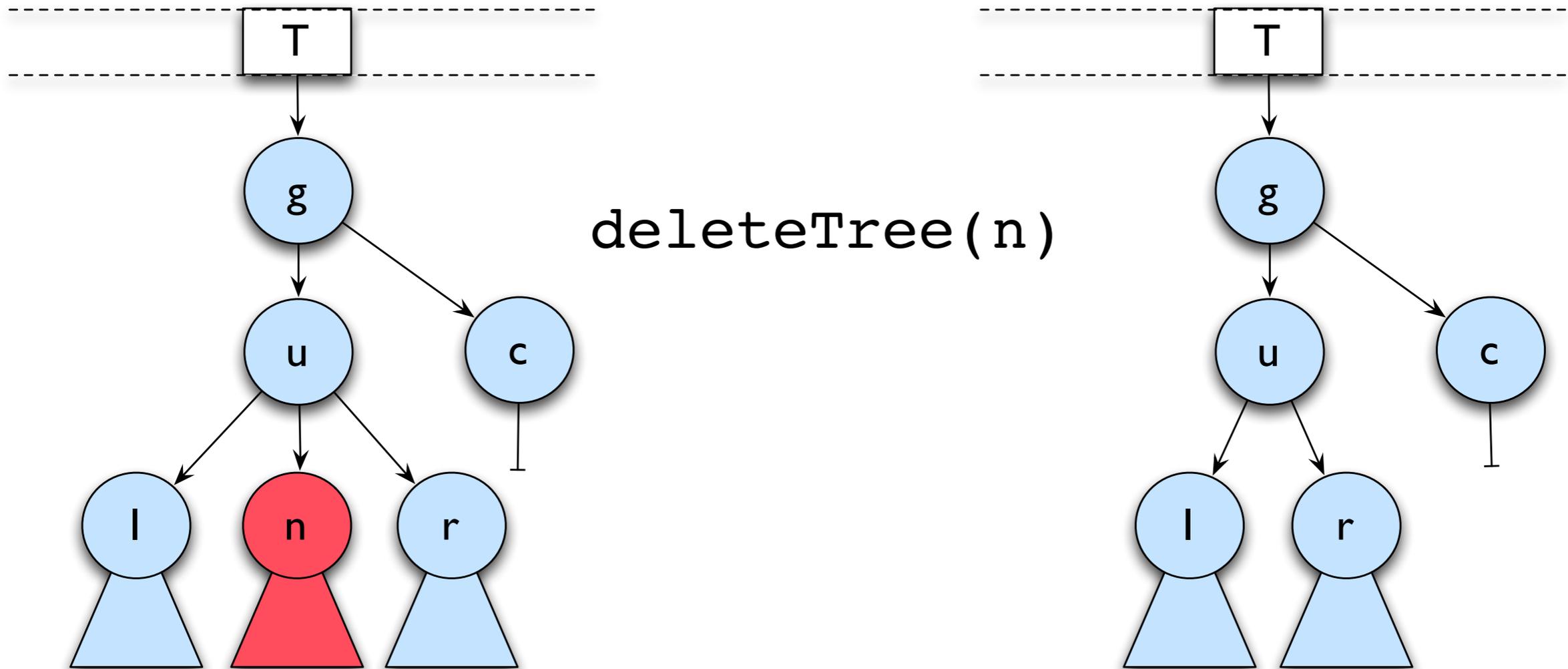
# High Level Trees



`deleteTree(n)`



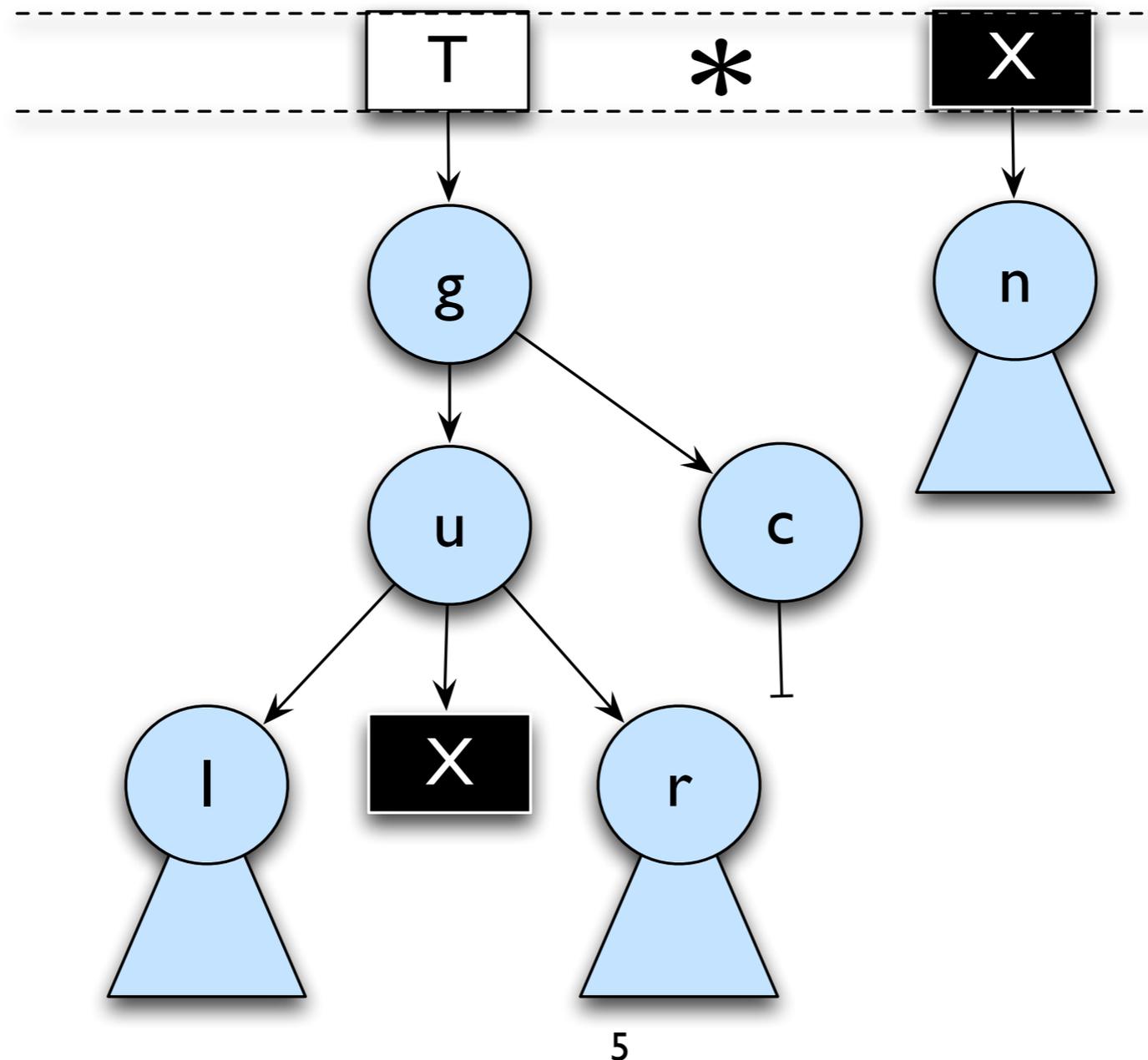
# High Level Trees



Unnecessarily large footprint!

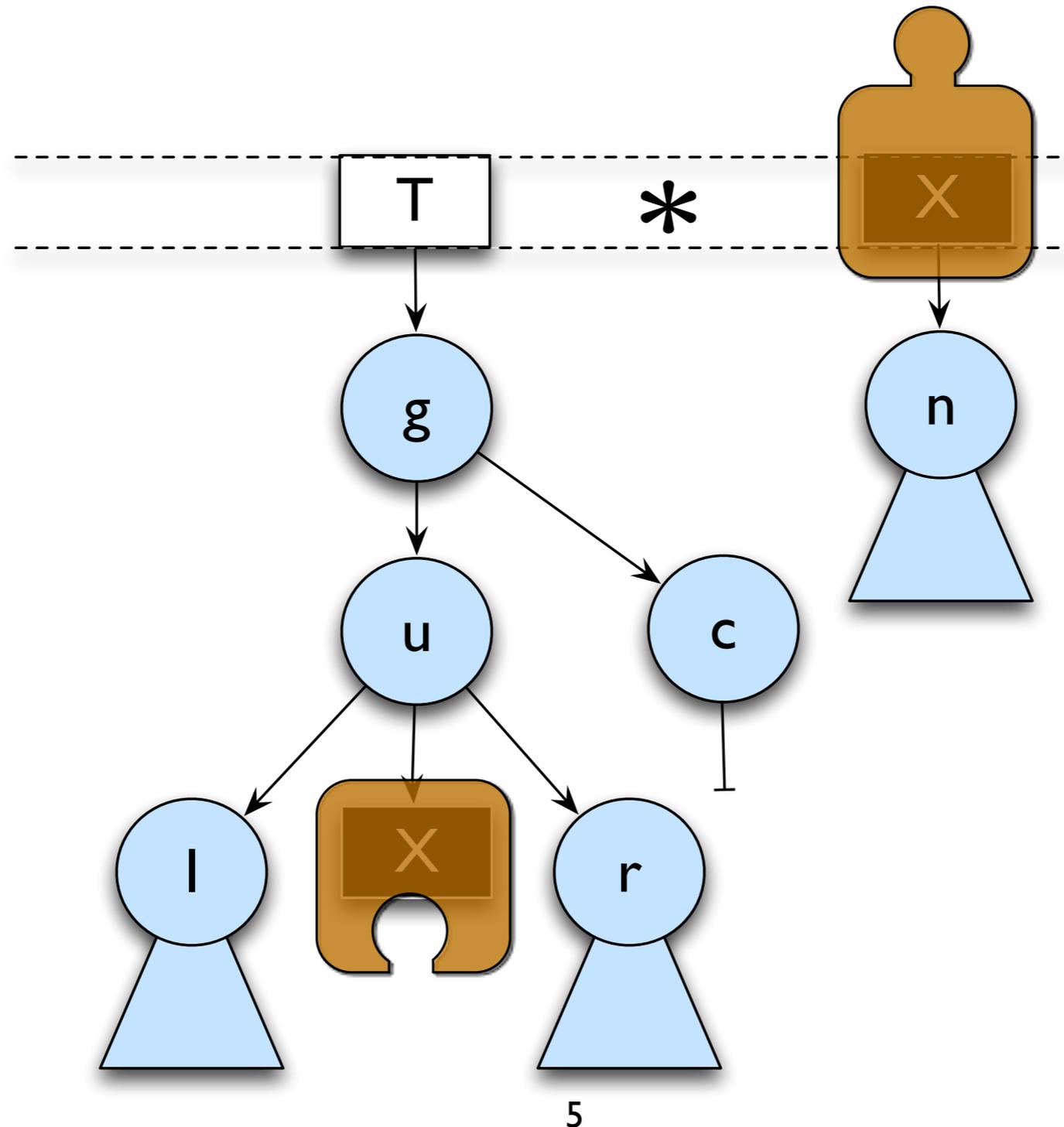
# High Level Trees

Structural Separation Logic to the rescue!



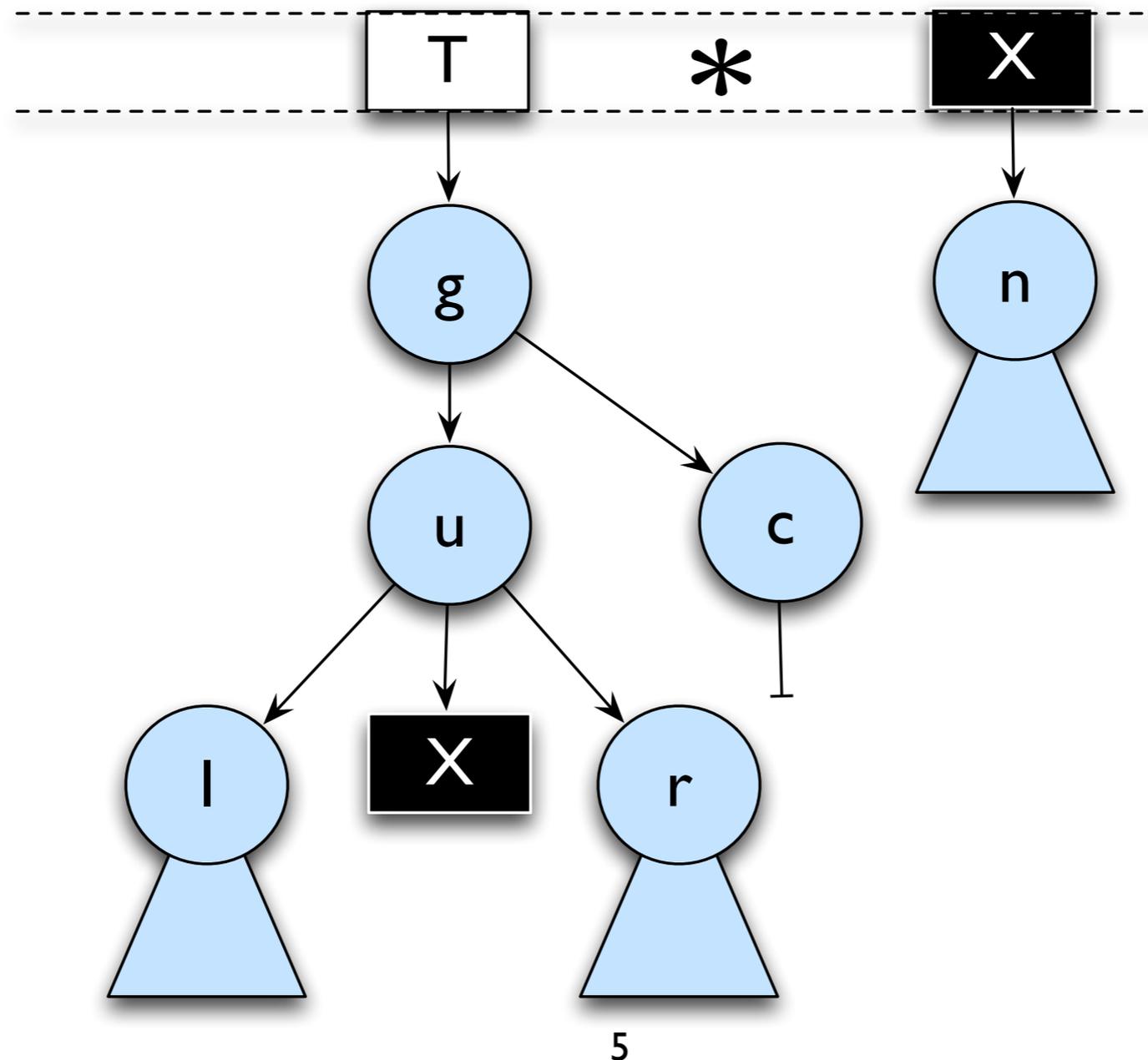
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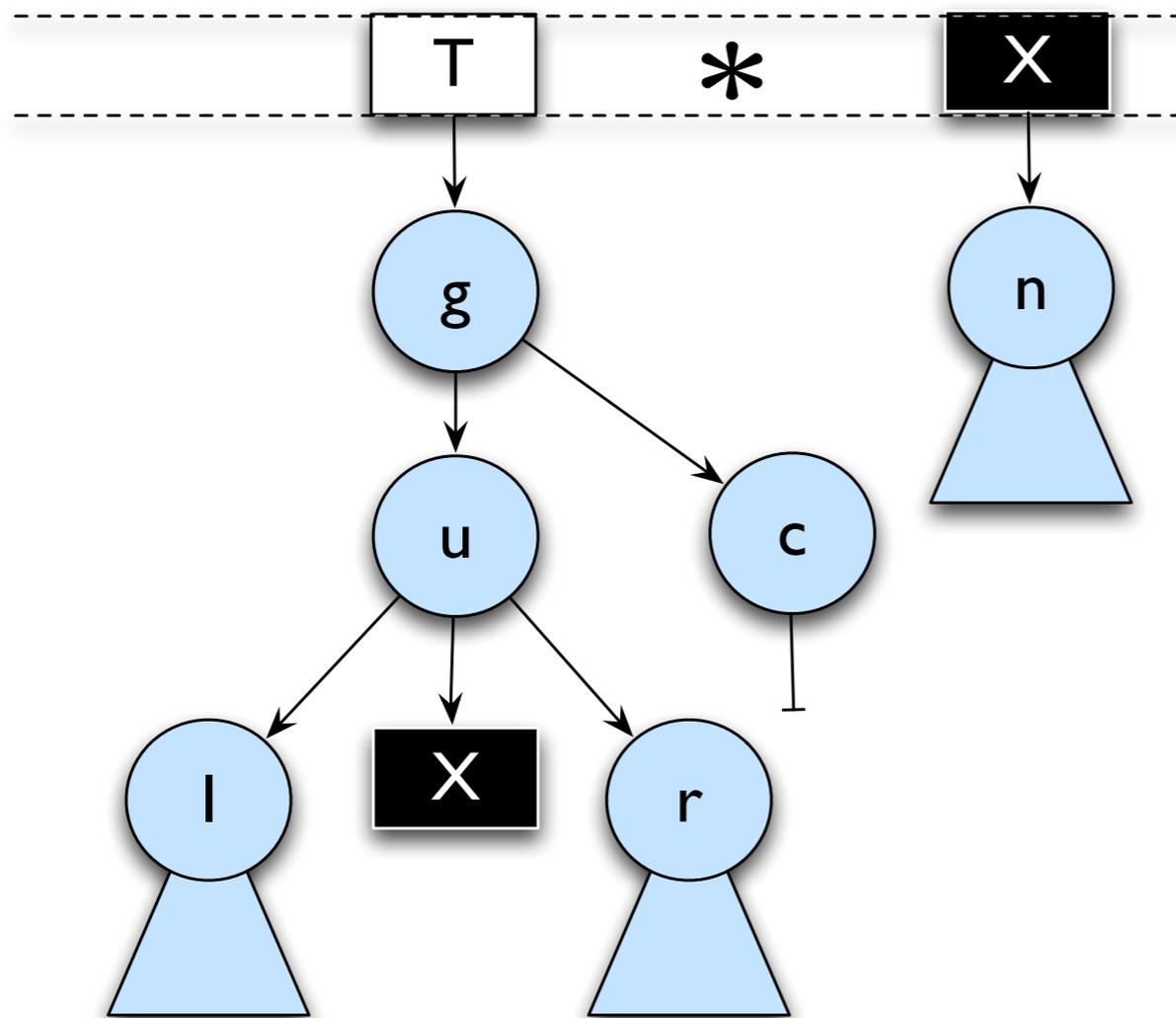
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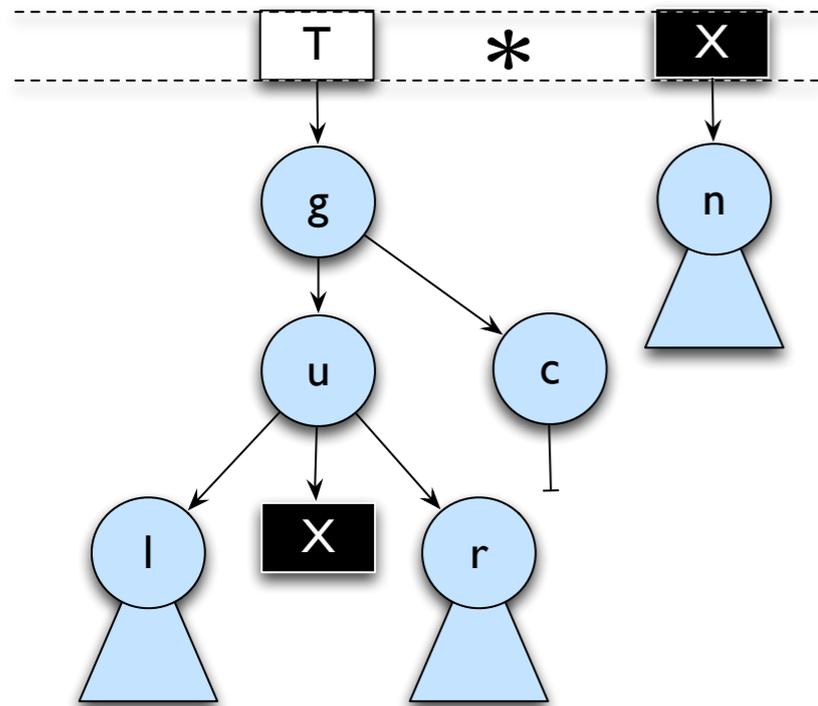
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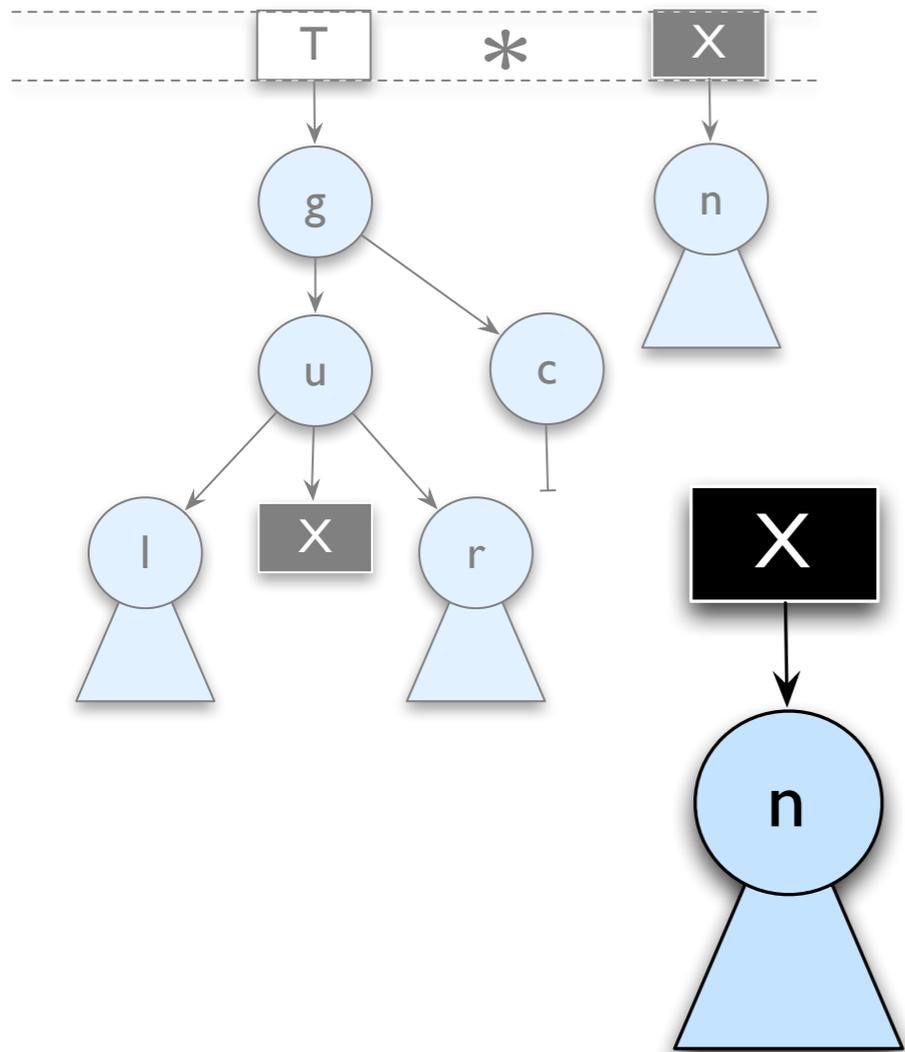


- Careful choice of abstract address set
- Abstract addresses must be preserved

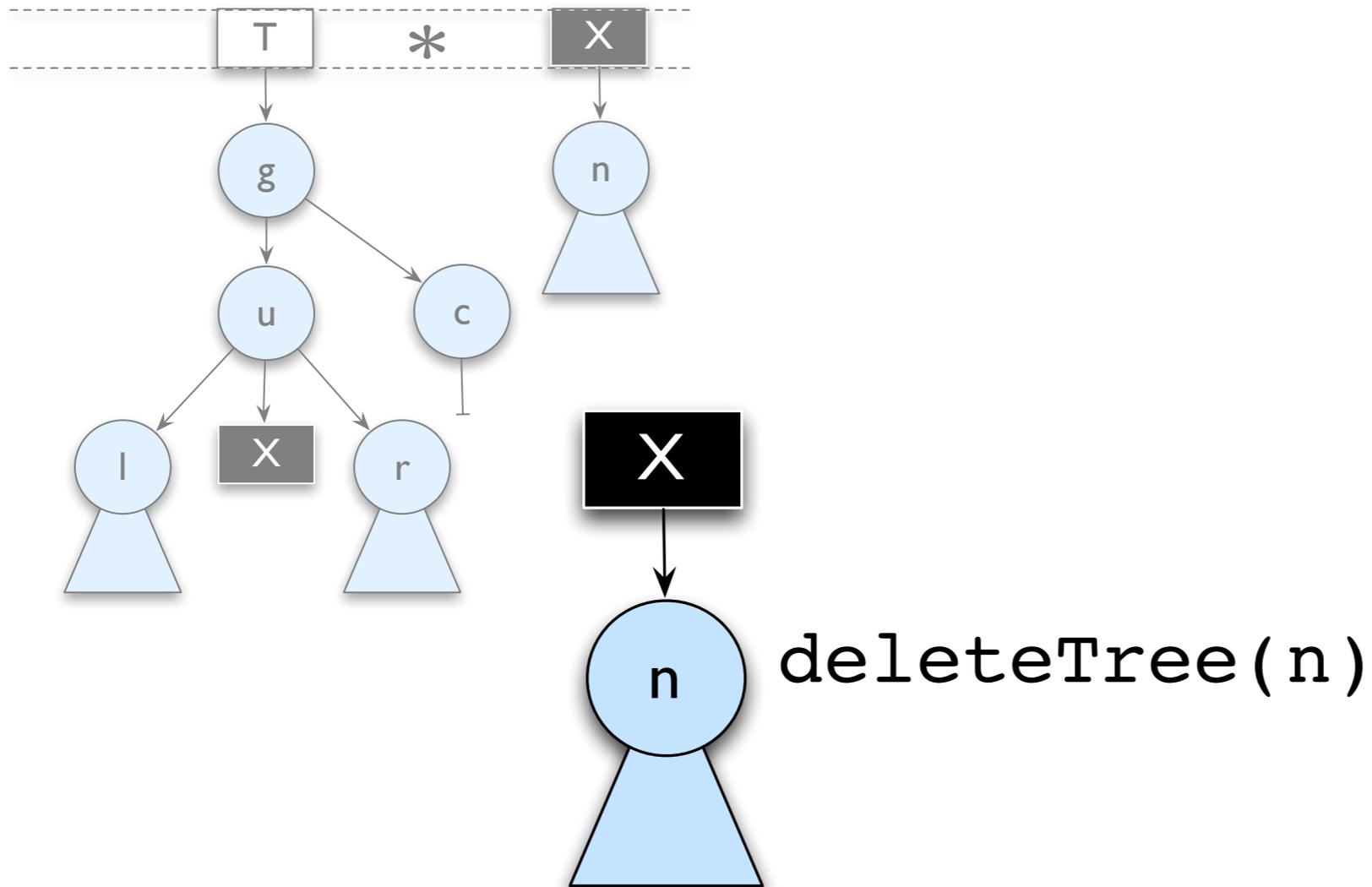
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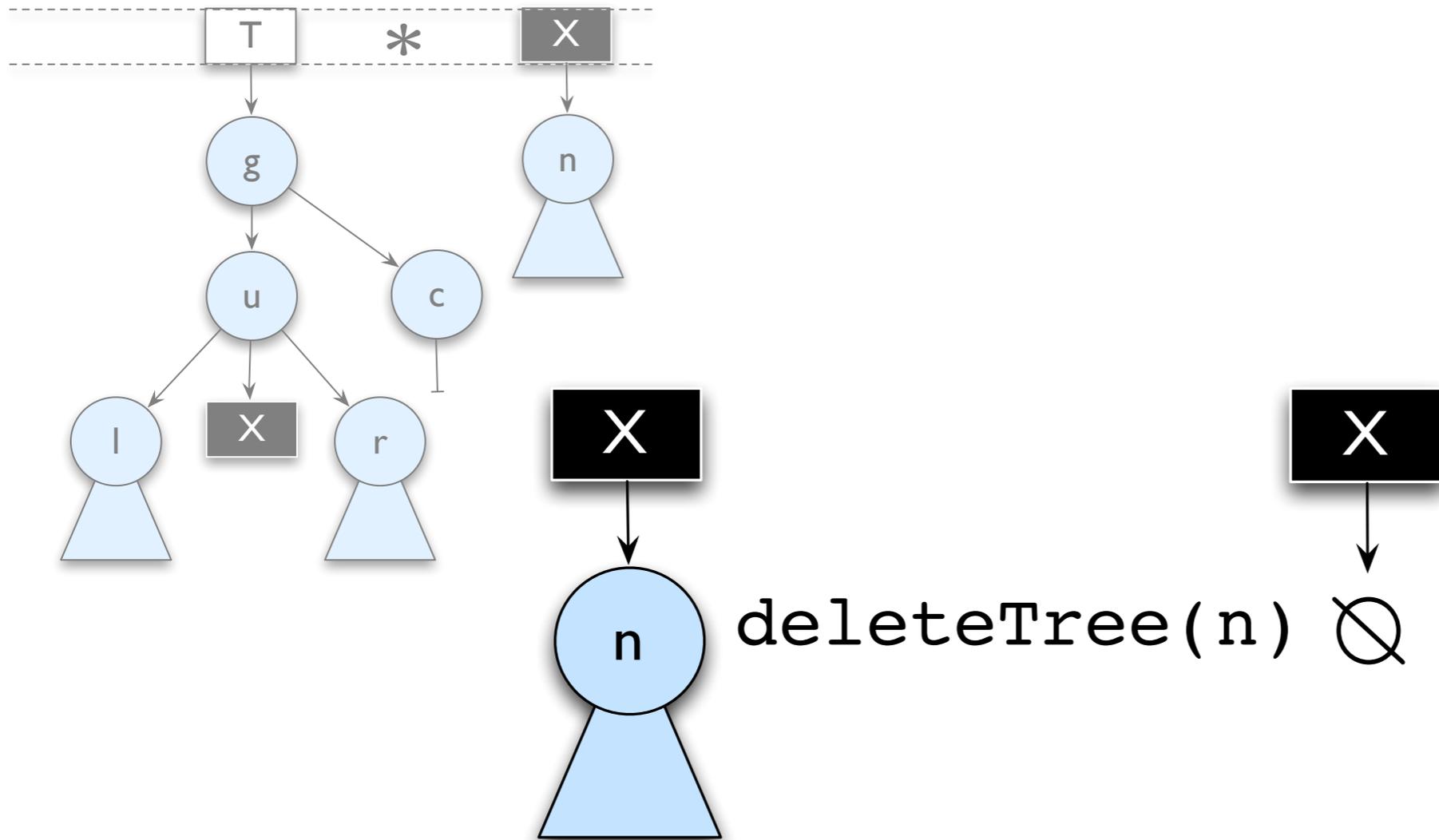
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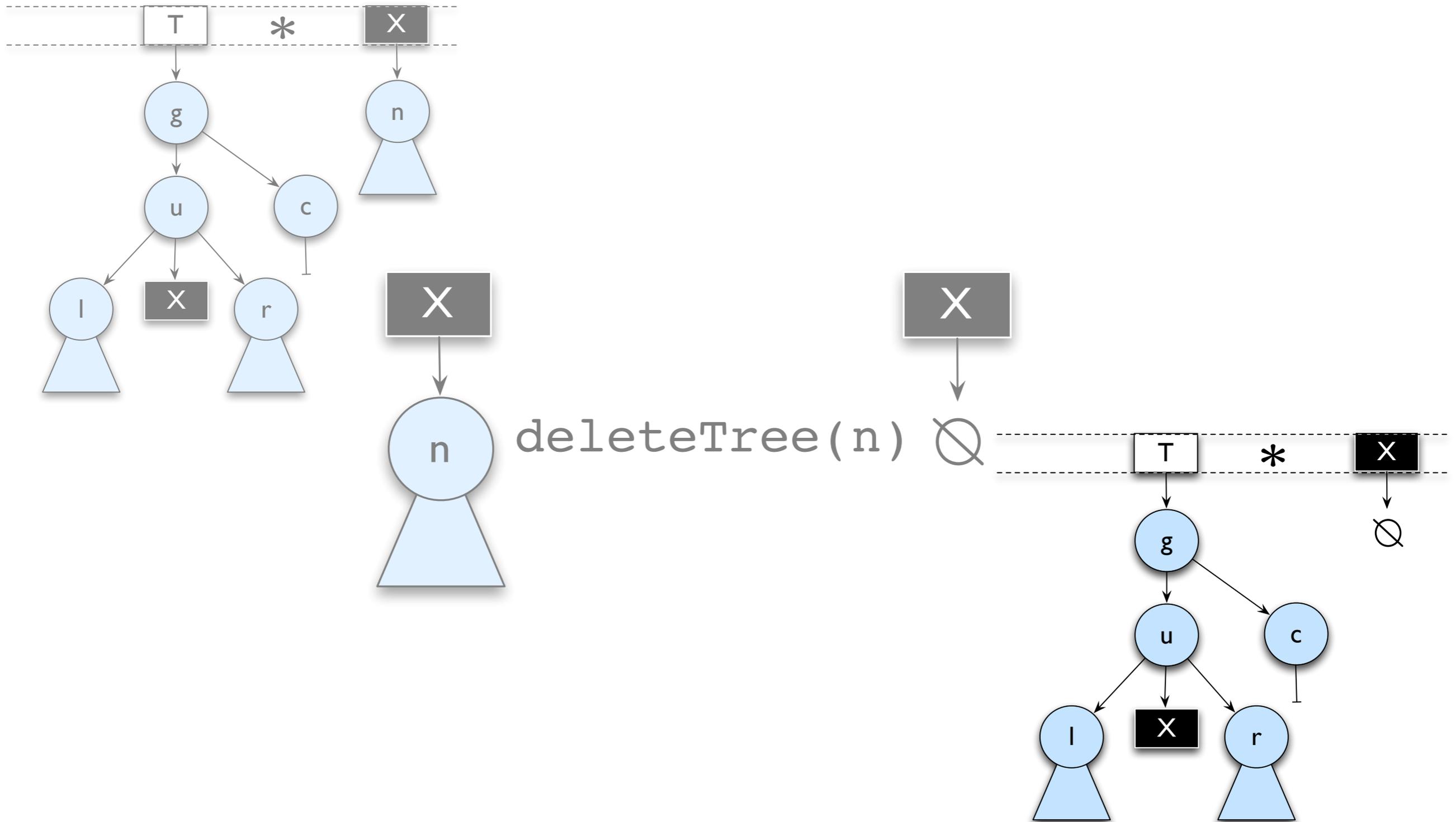
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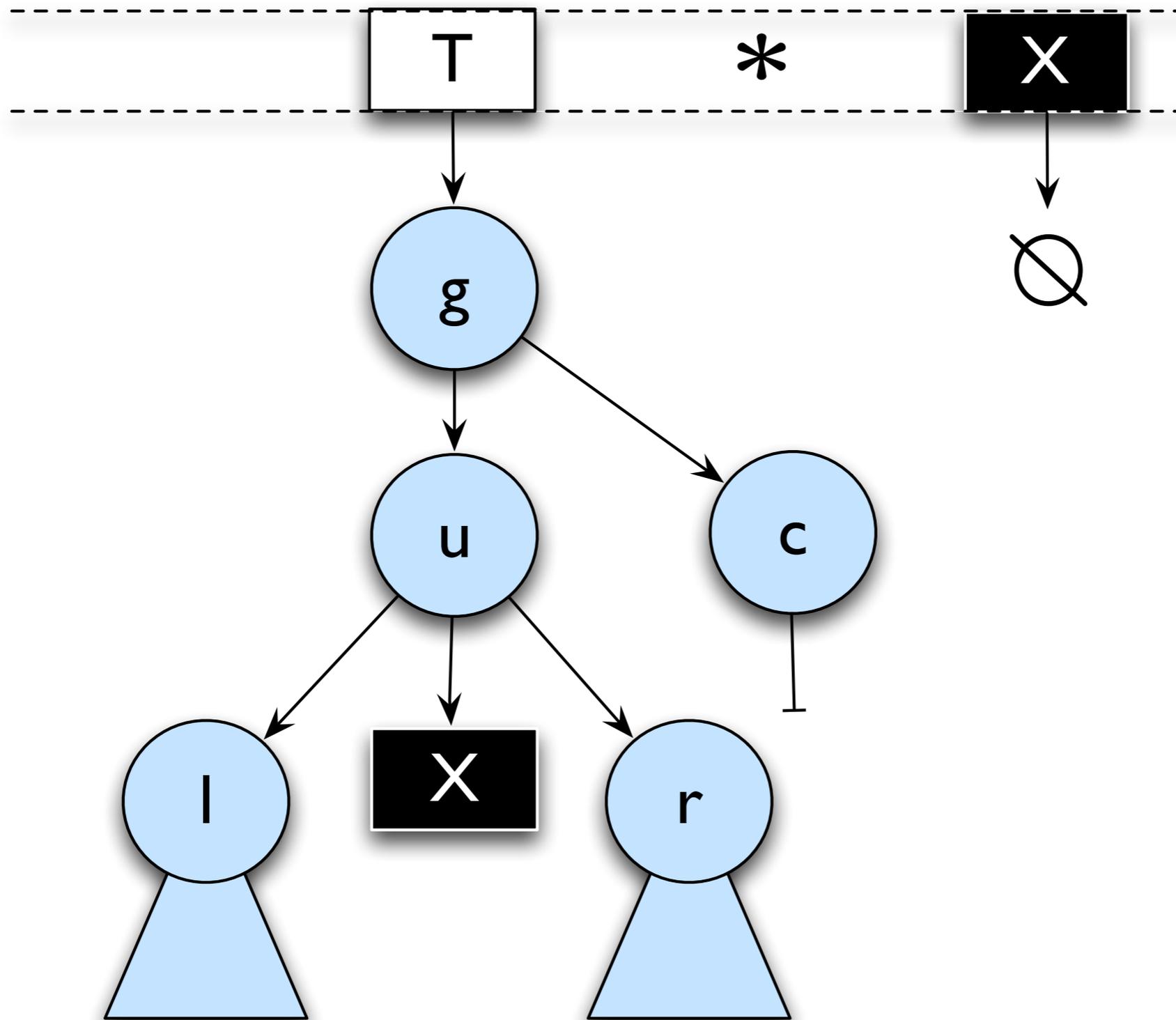
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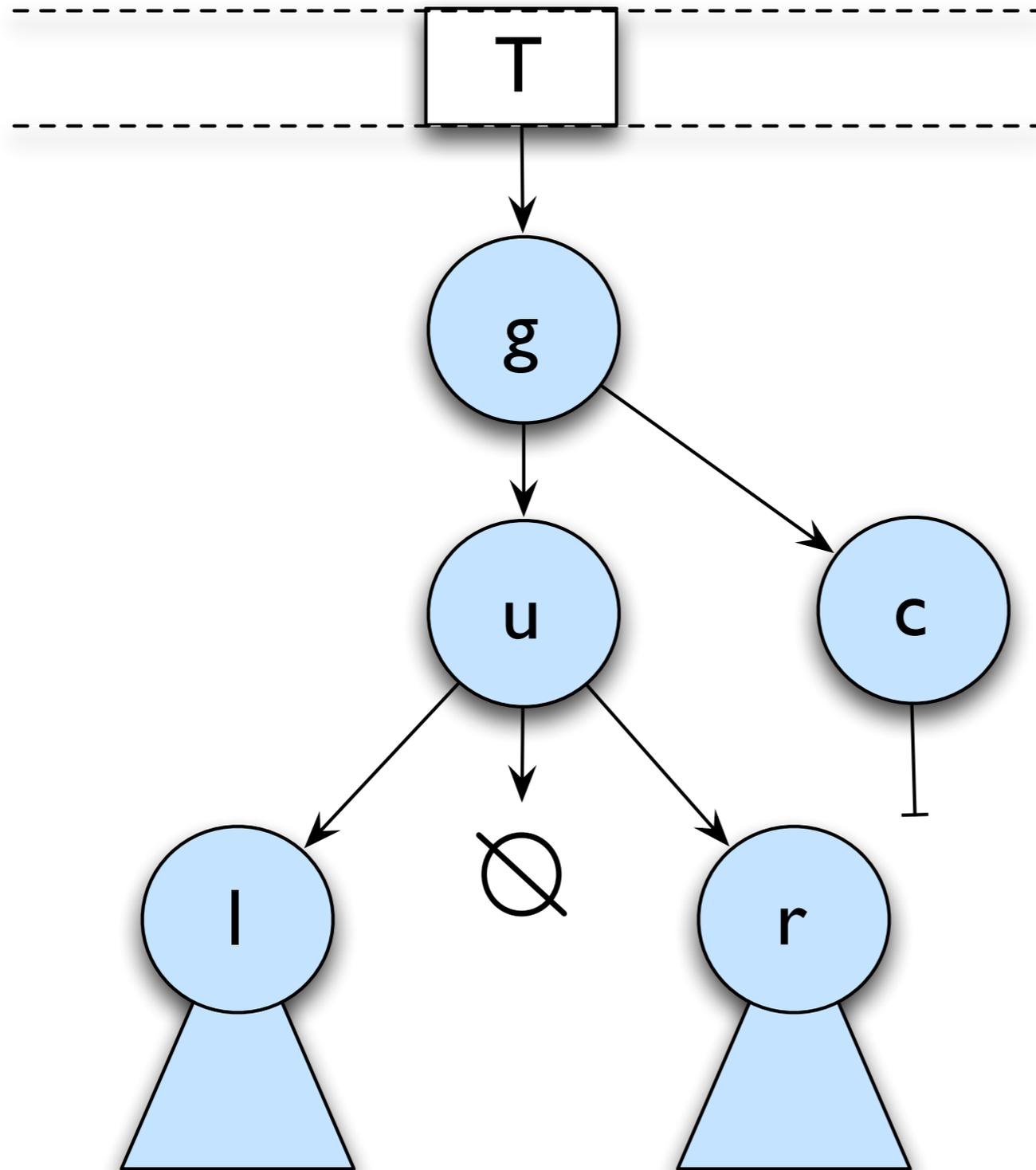
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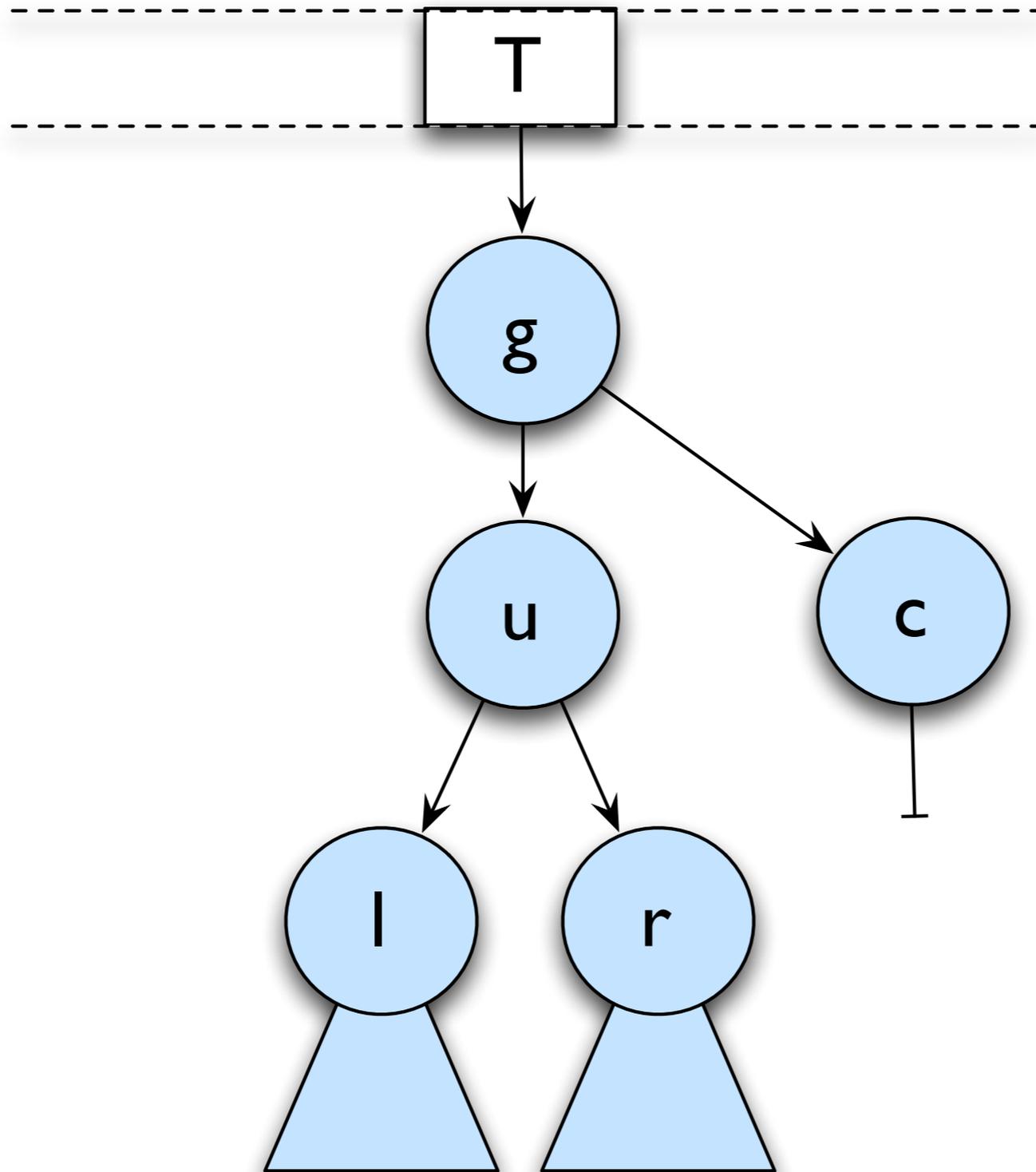
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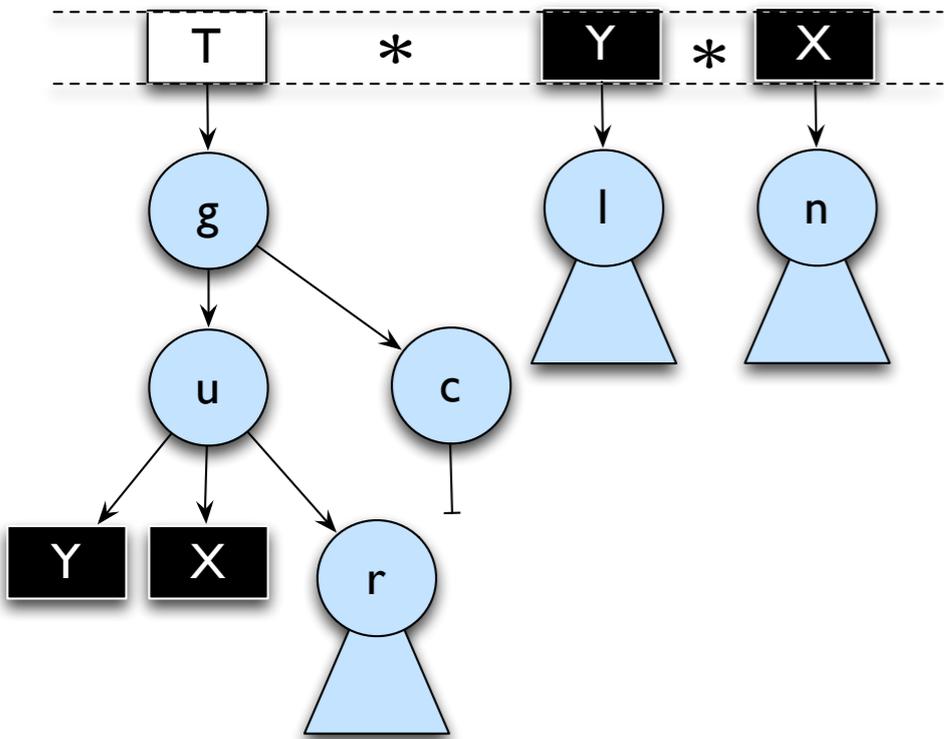


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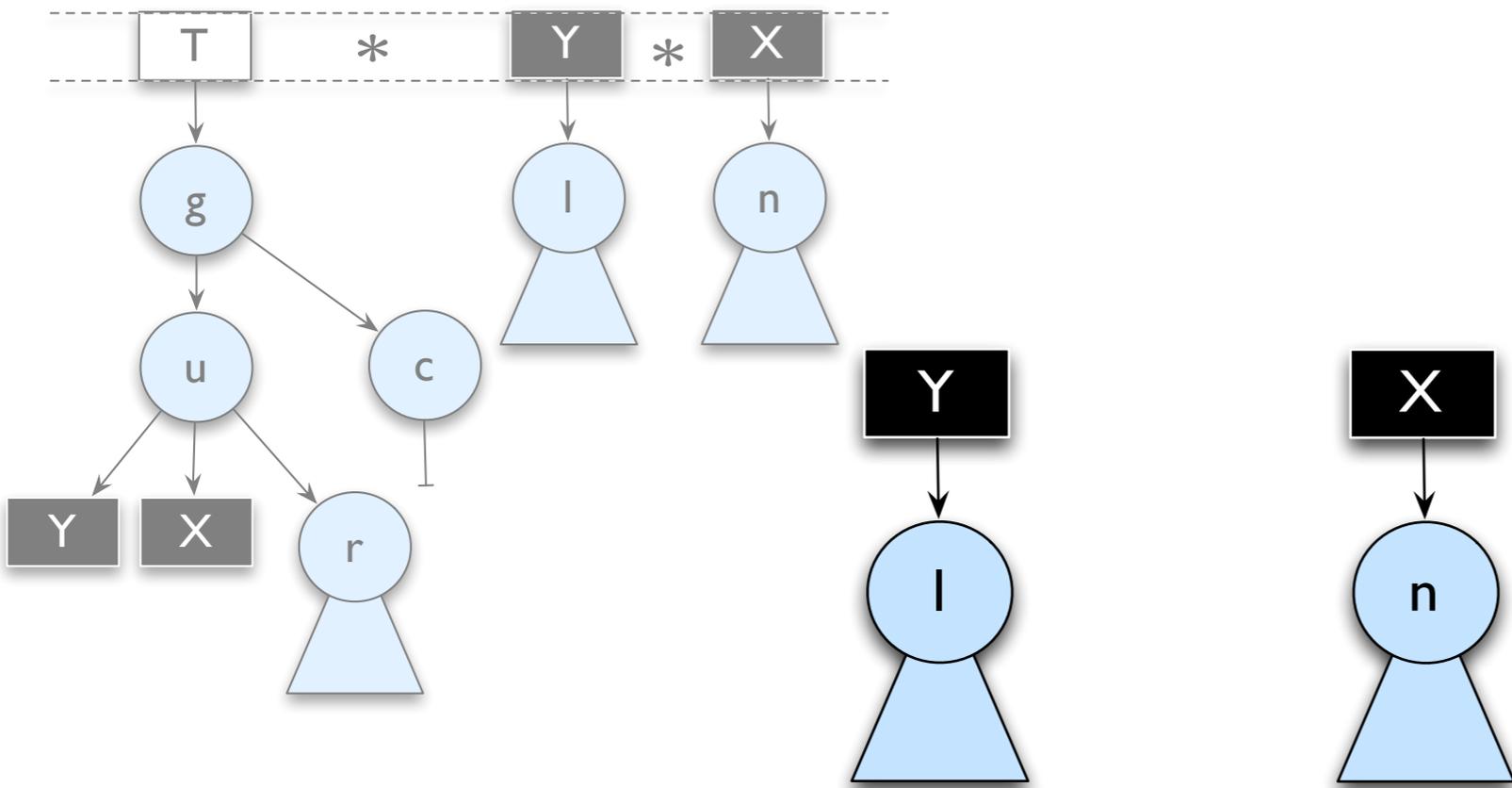
Separation gives us disjoint concurrency!

$$\frac{\{ P_1 \} C_1 \{ Q_1 \} \quad \{ P_2 \} C_2 \{ Q_2 \}}{\{ P_1 * P_2 \} C_1 || C_2 \{ Q_1 * Q_2 \}}$$

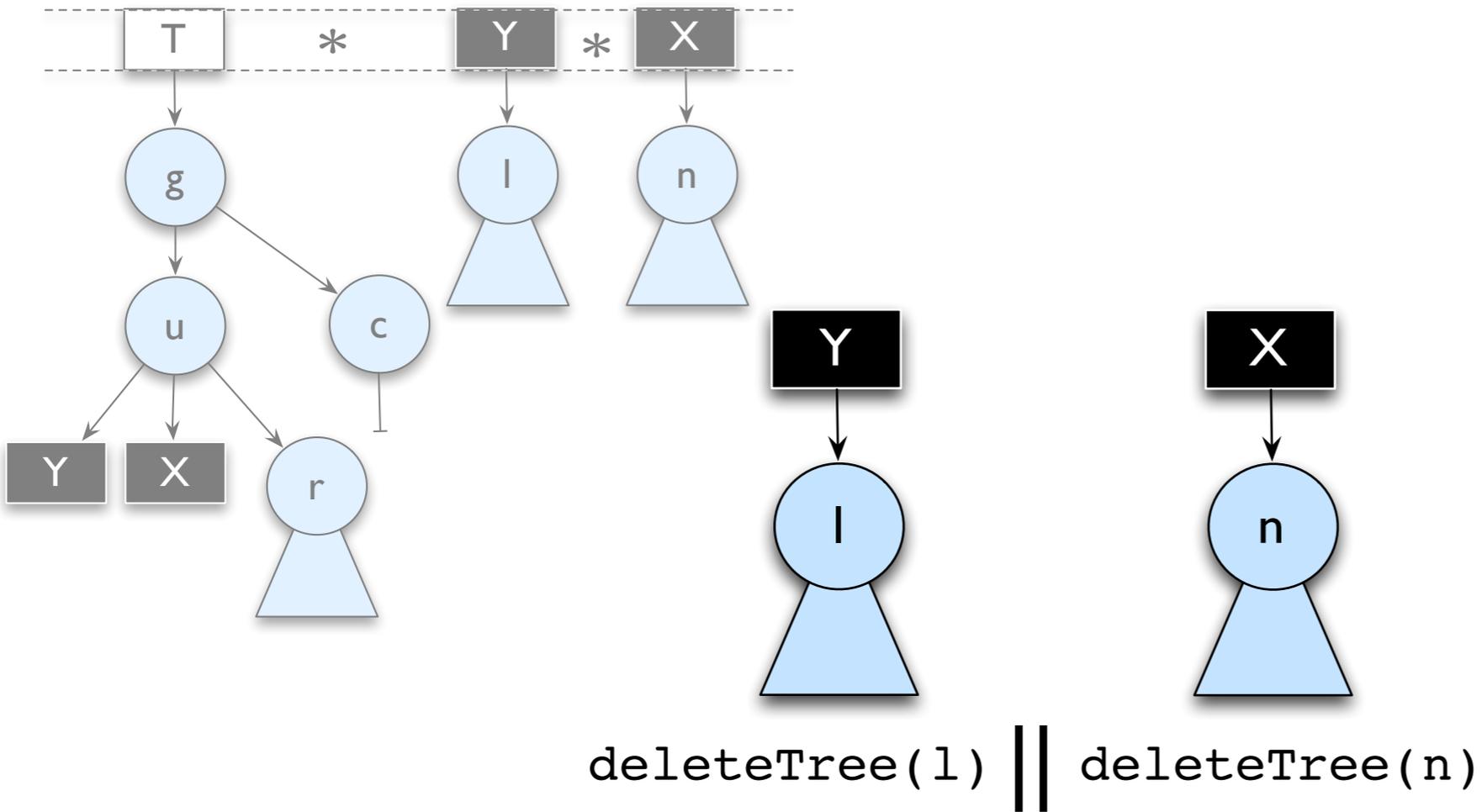
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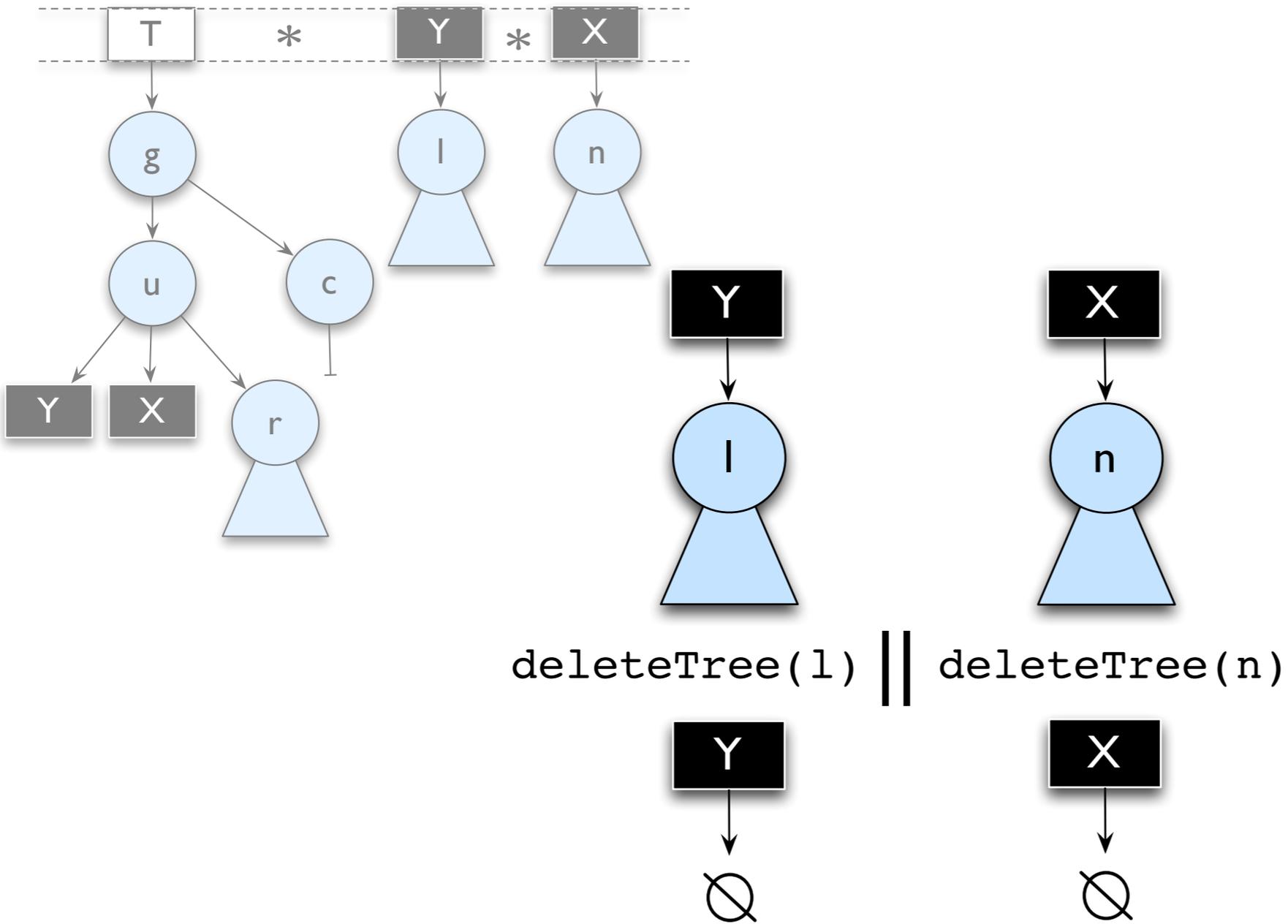
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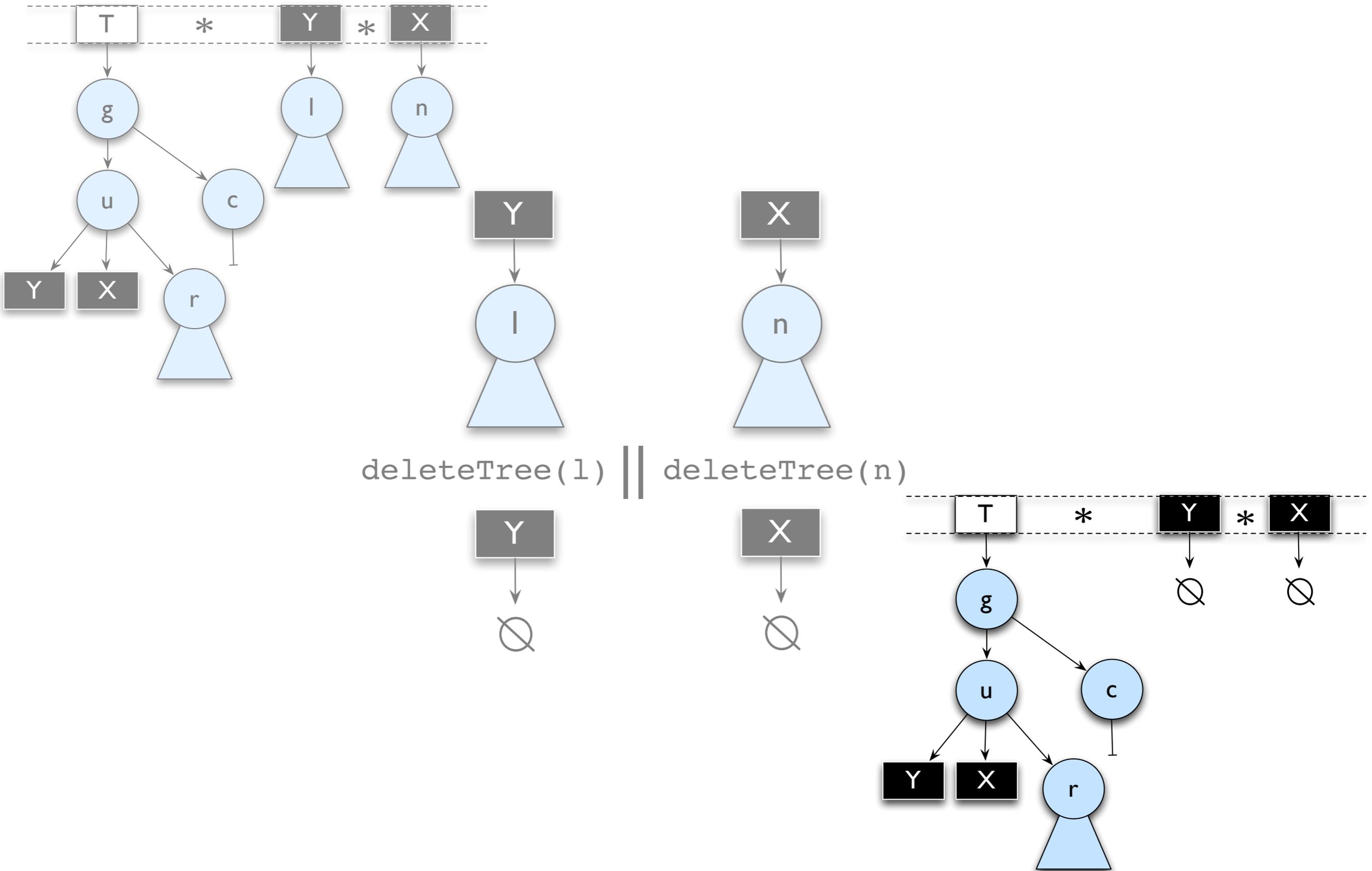
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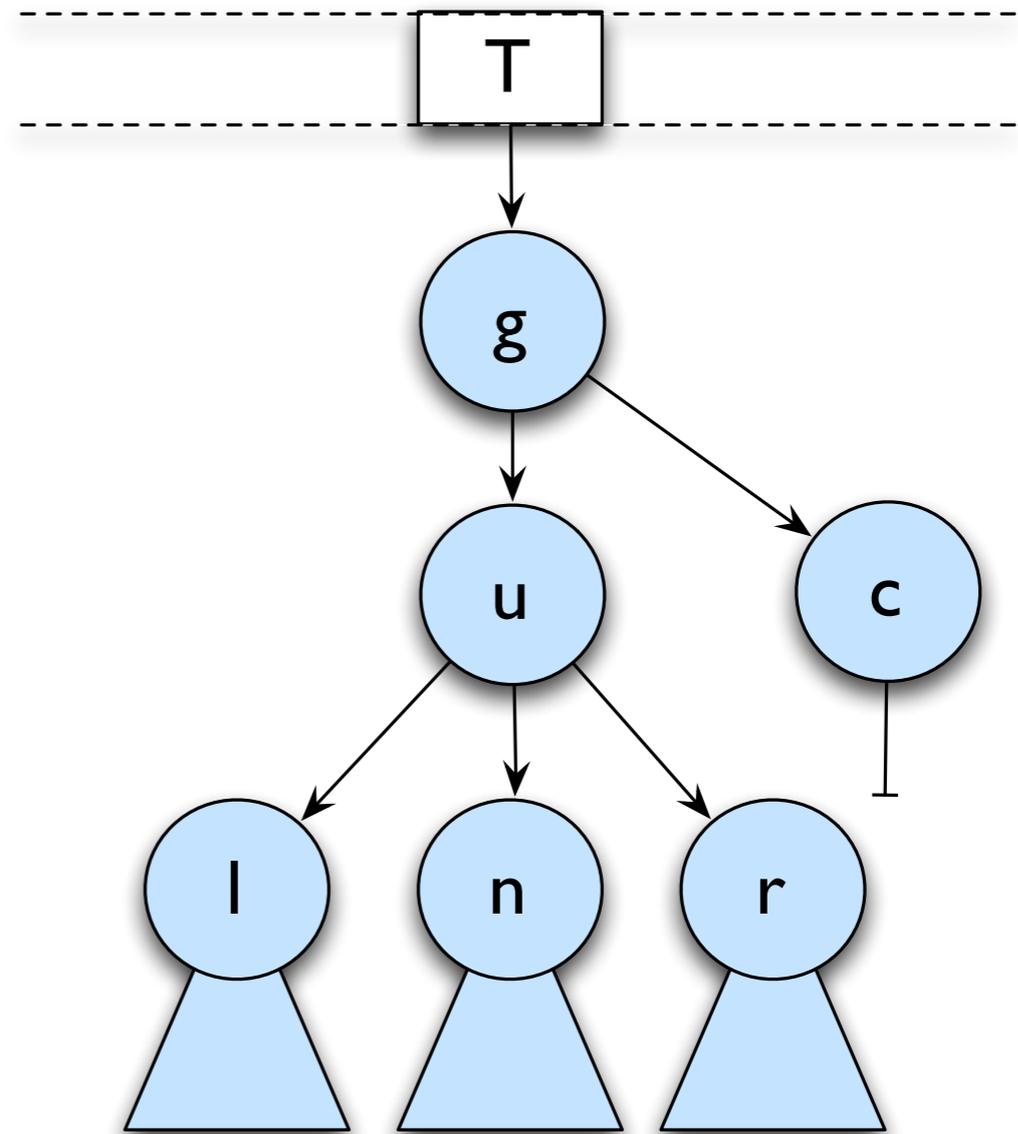
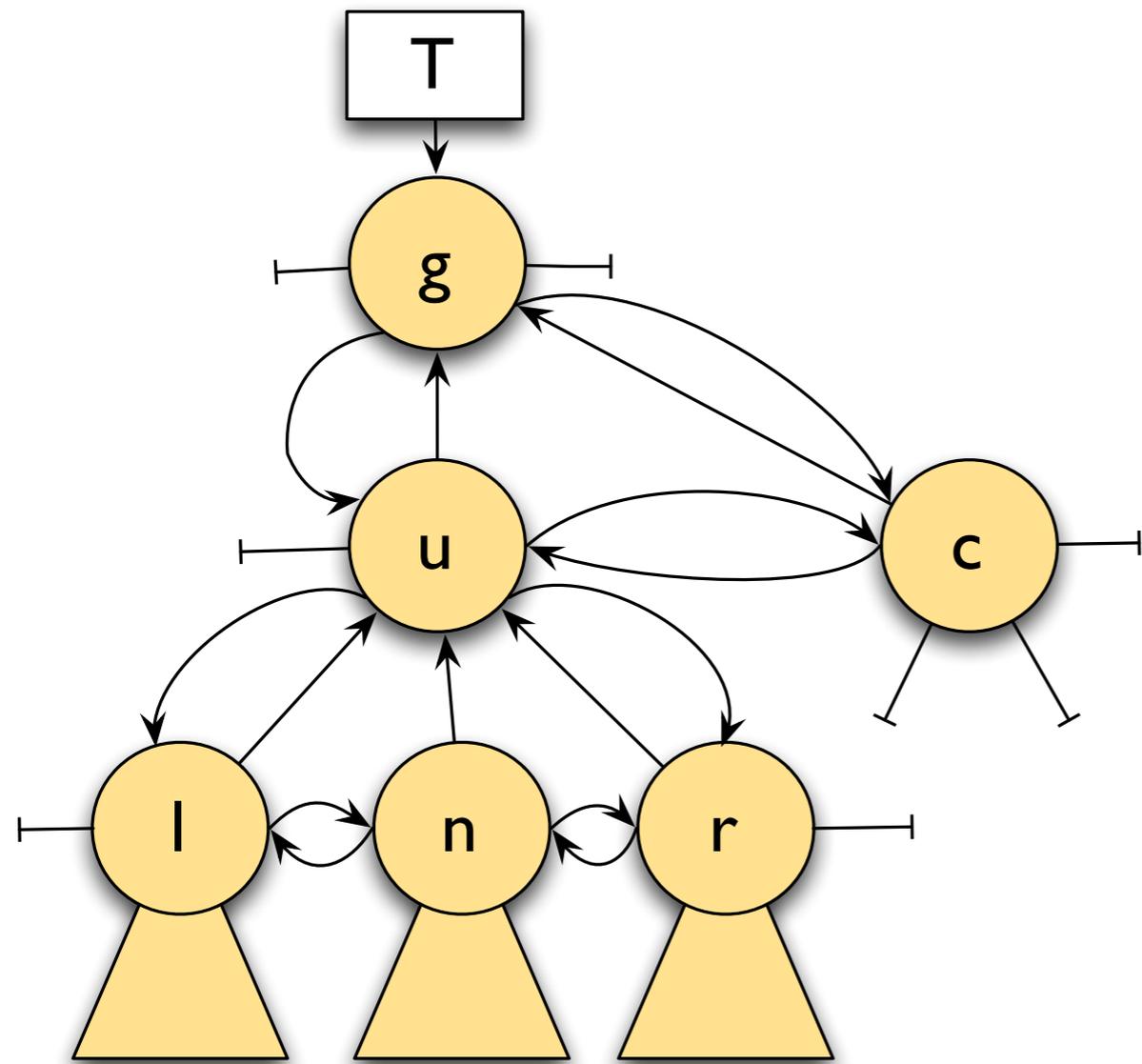


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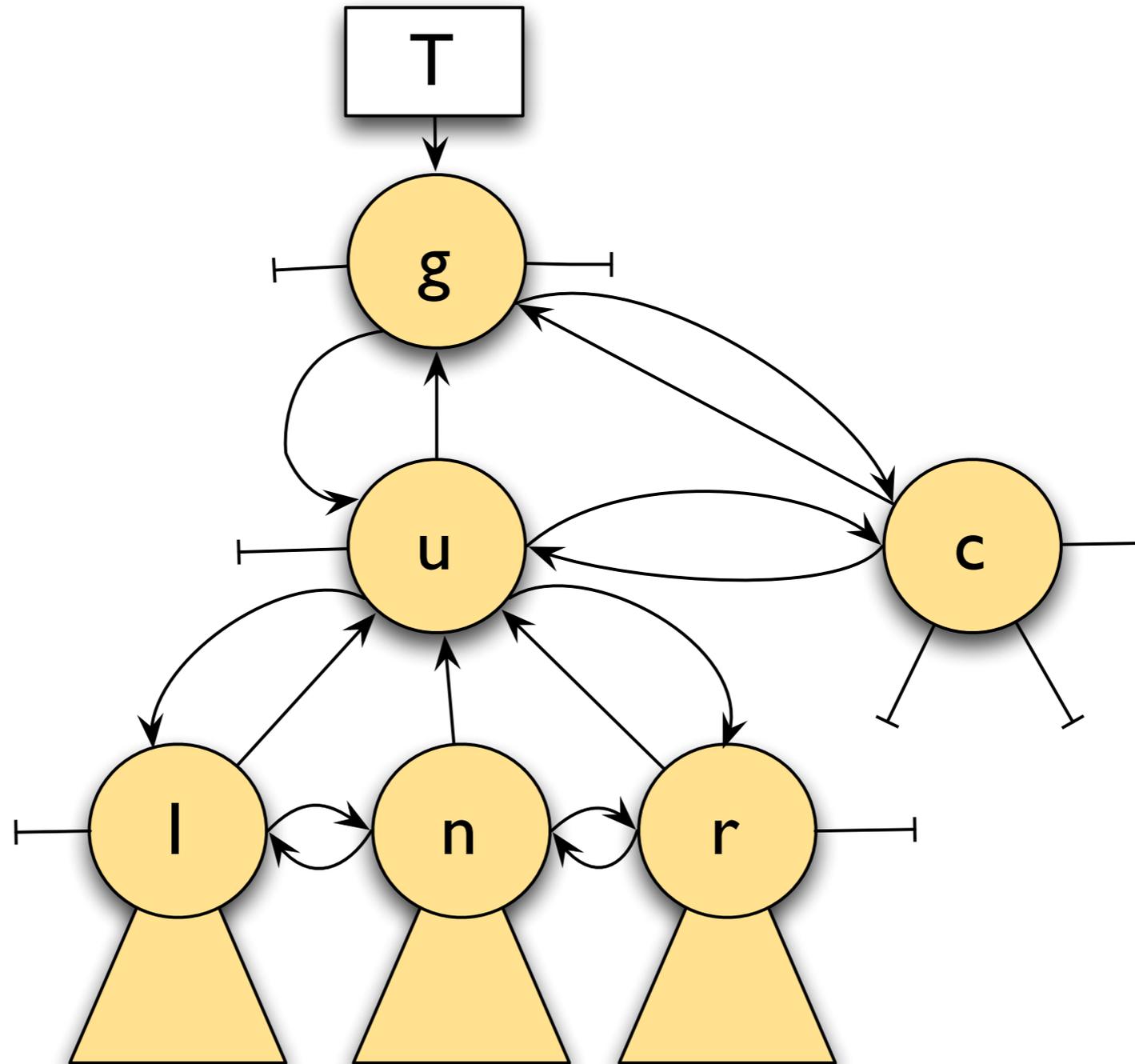
# Low Level Trees

## Concrete Tree Representation



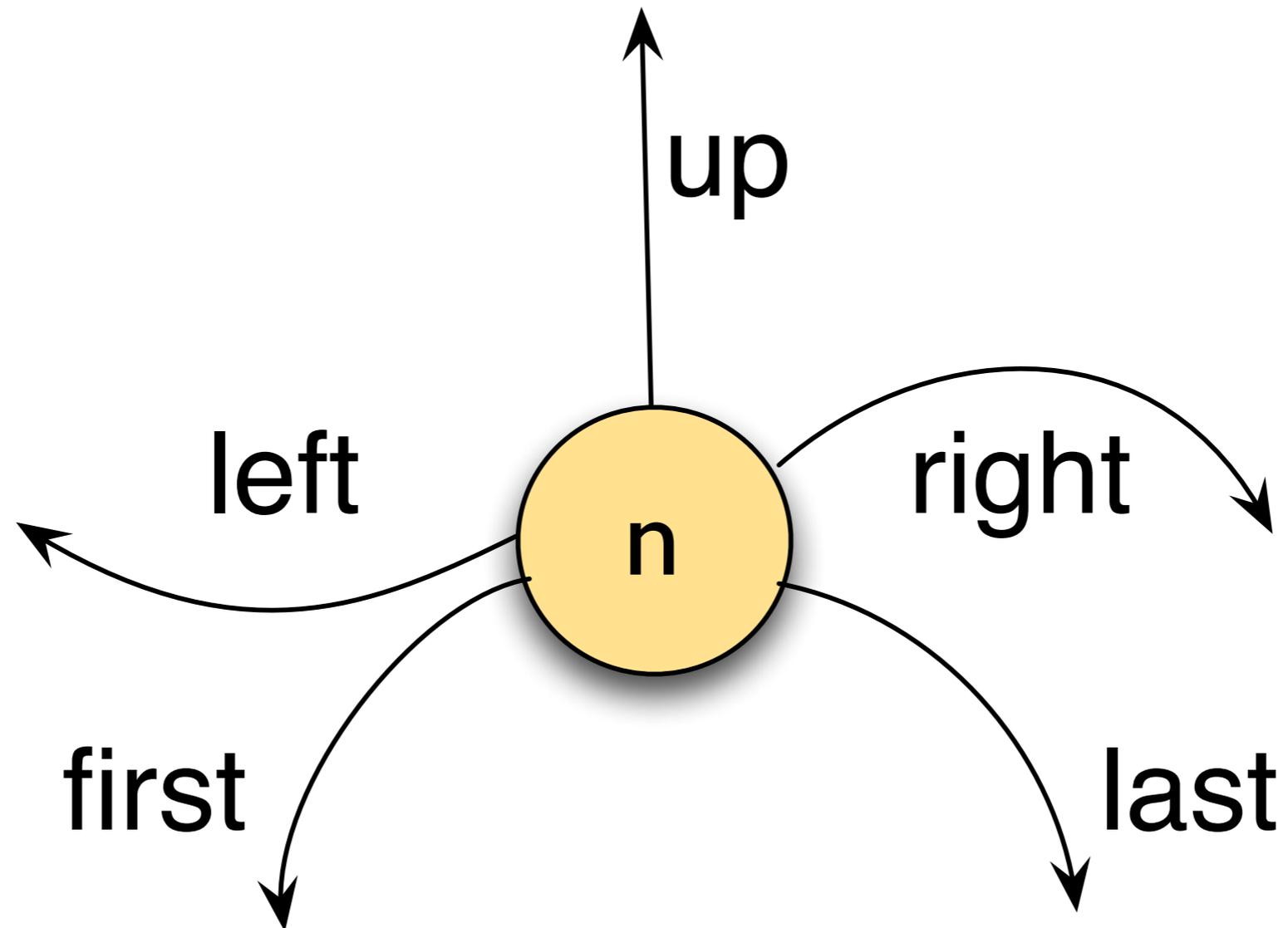
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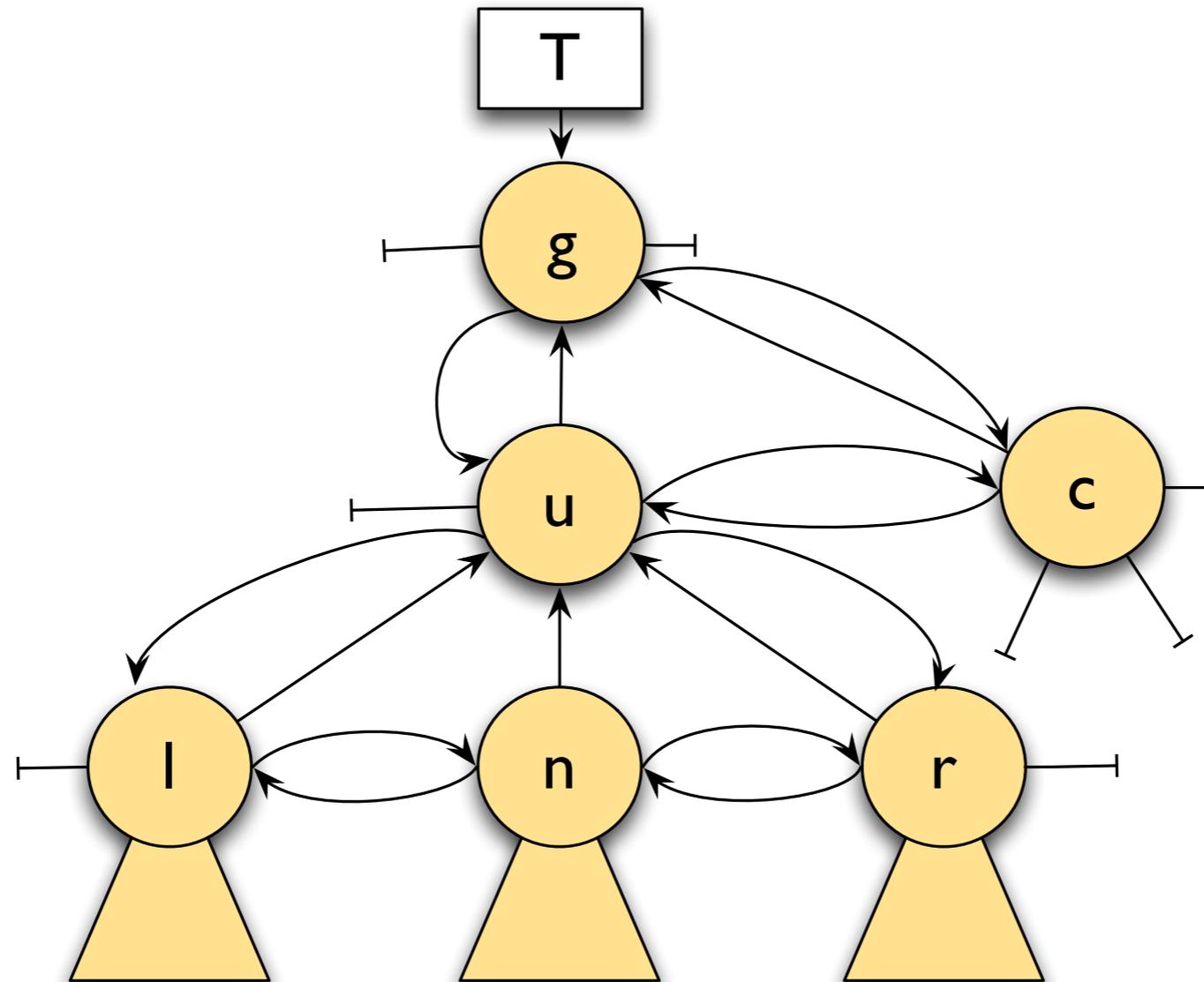
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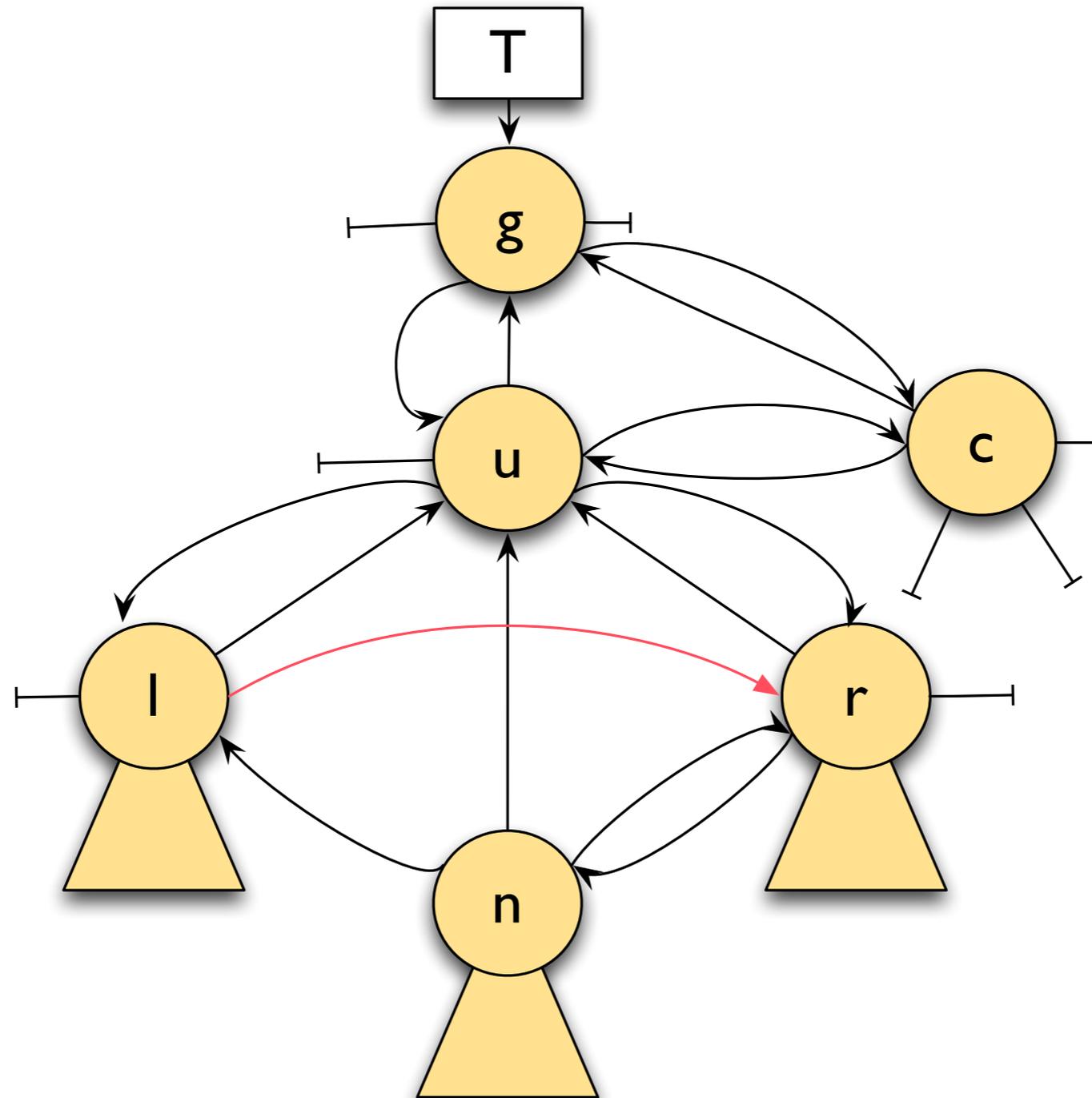
# Low Level Trees

## deleteTree Procedure



# Low Level Trees

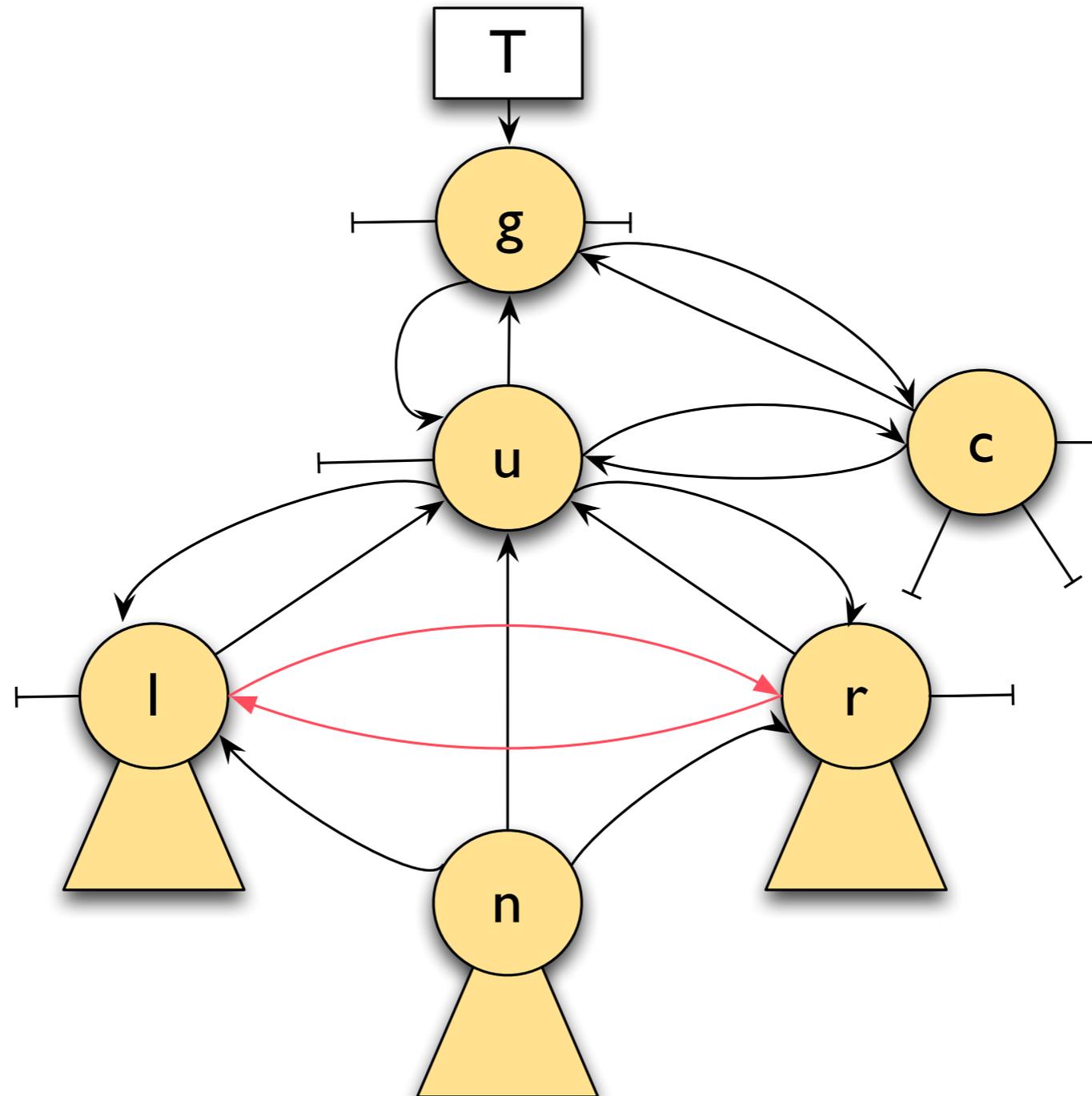
## deleteTree Procedure



||

# Low Level Trees

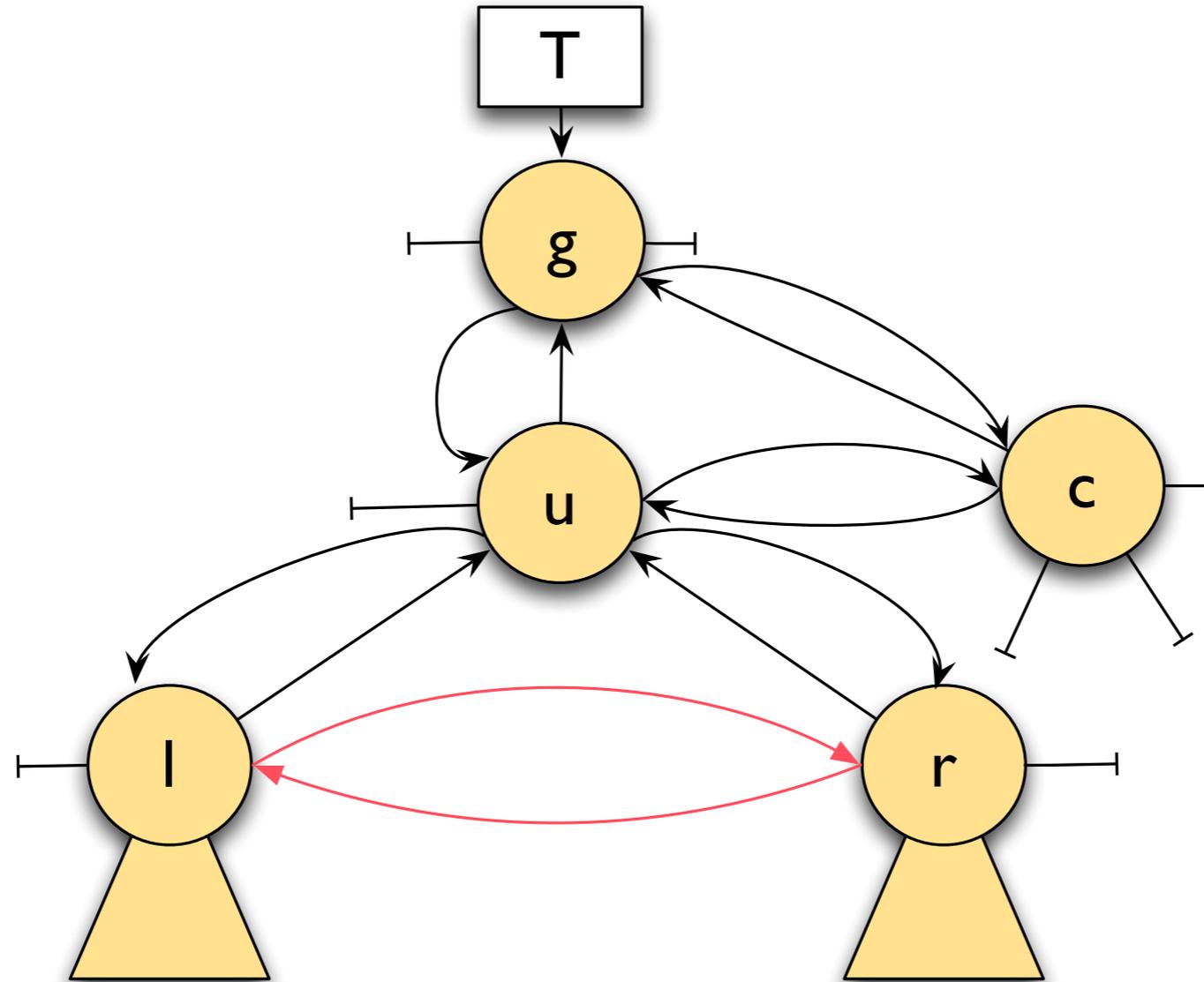
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||

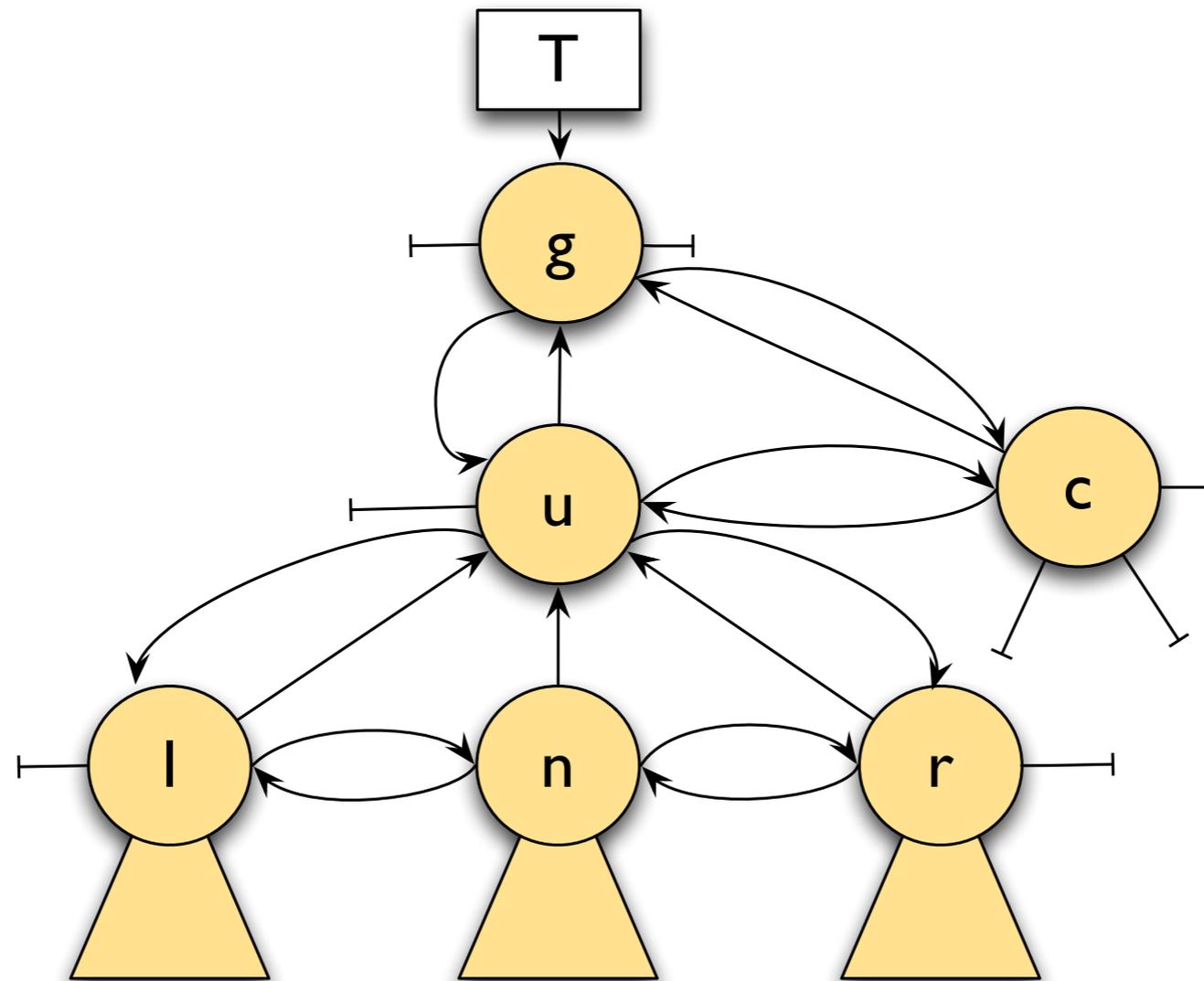
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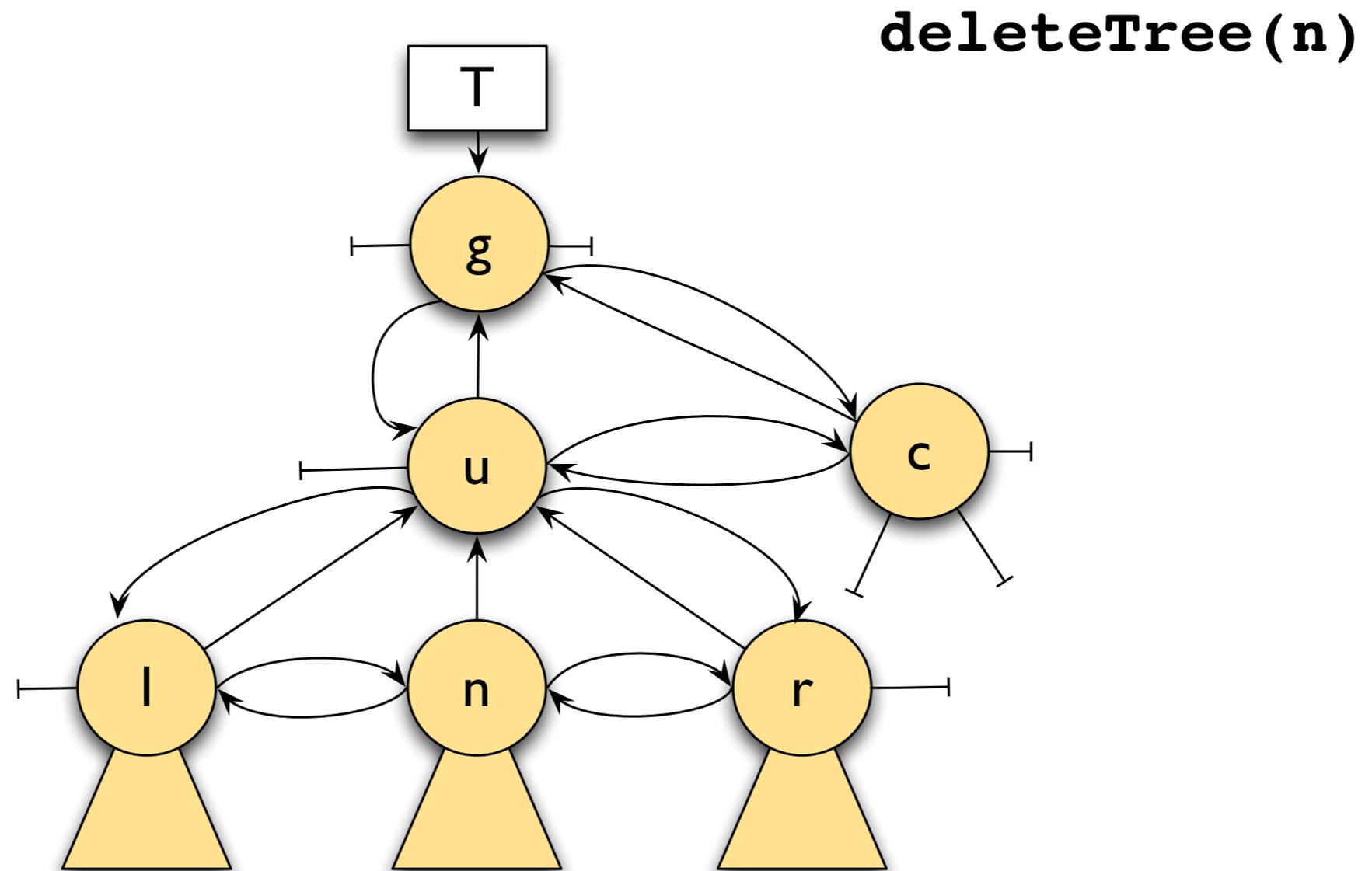
# Low Level Trees

## Concurrent deleteTree Procedure



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## Concurrent deleteTree Procedure

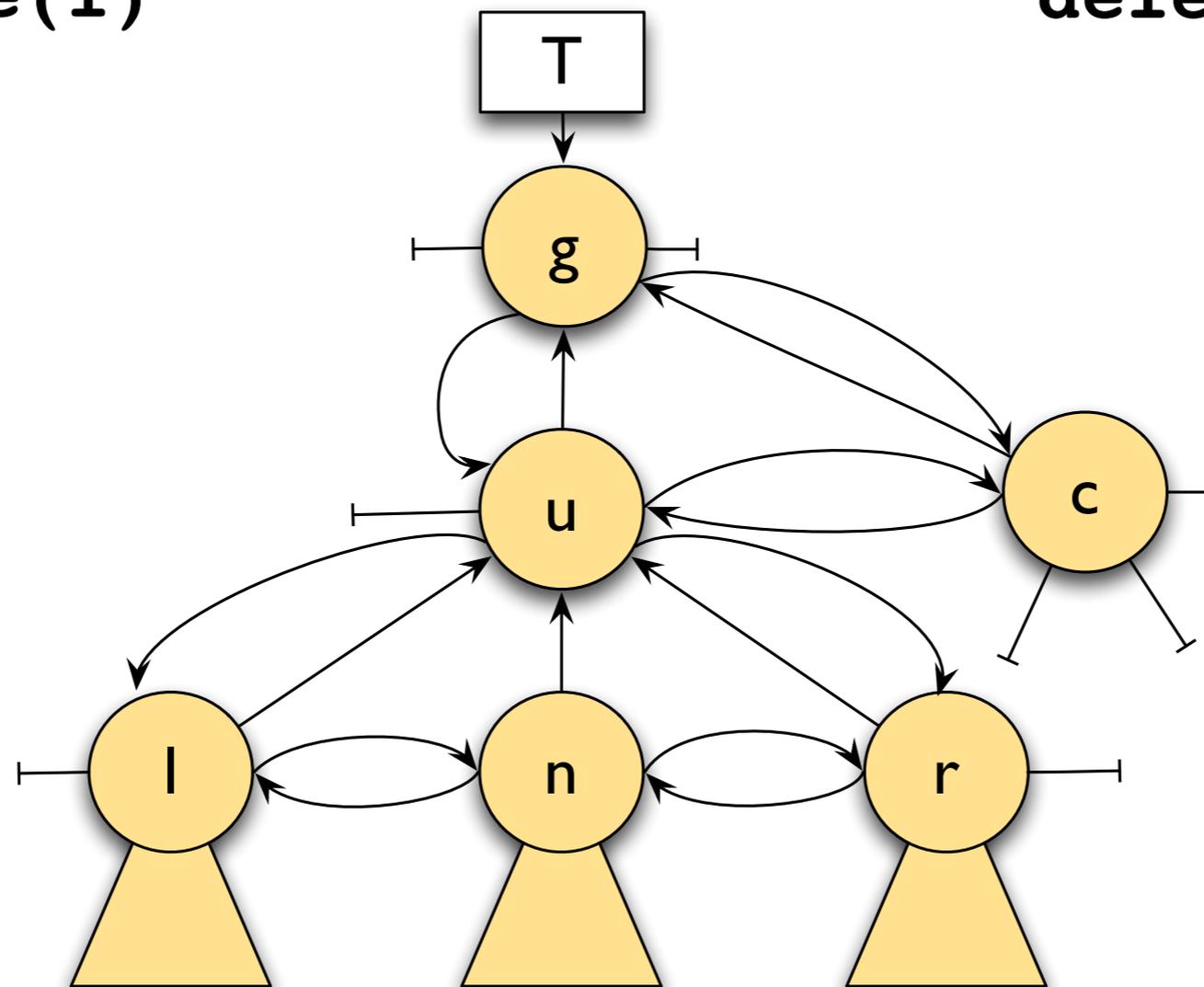


# Low Level Trees

## Concurrent deleteTree Procedure

**deleteTree(1)**

**deleteTree(n)**

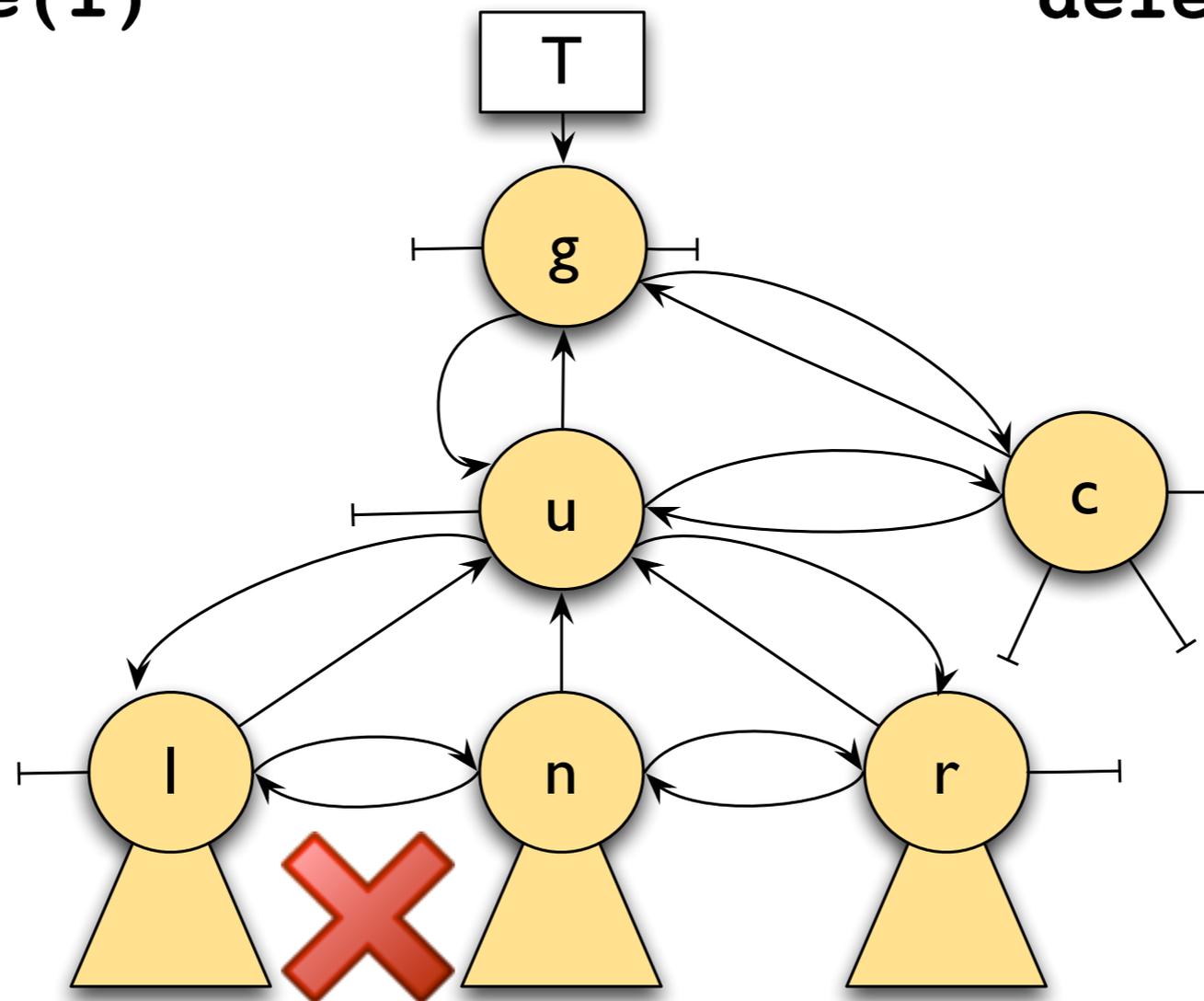


# Low Level Trees

## Concurrent deleteTree Procedure

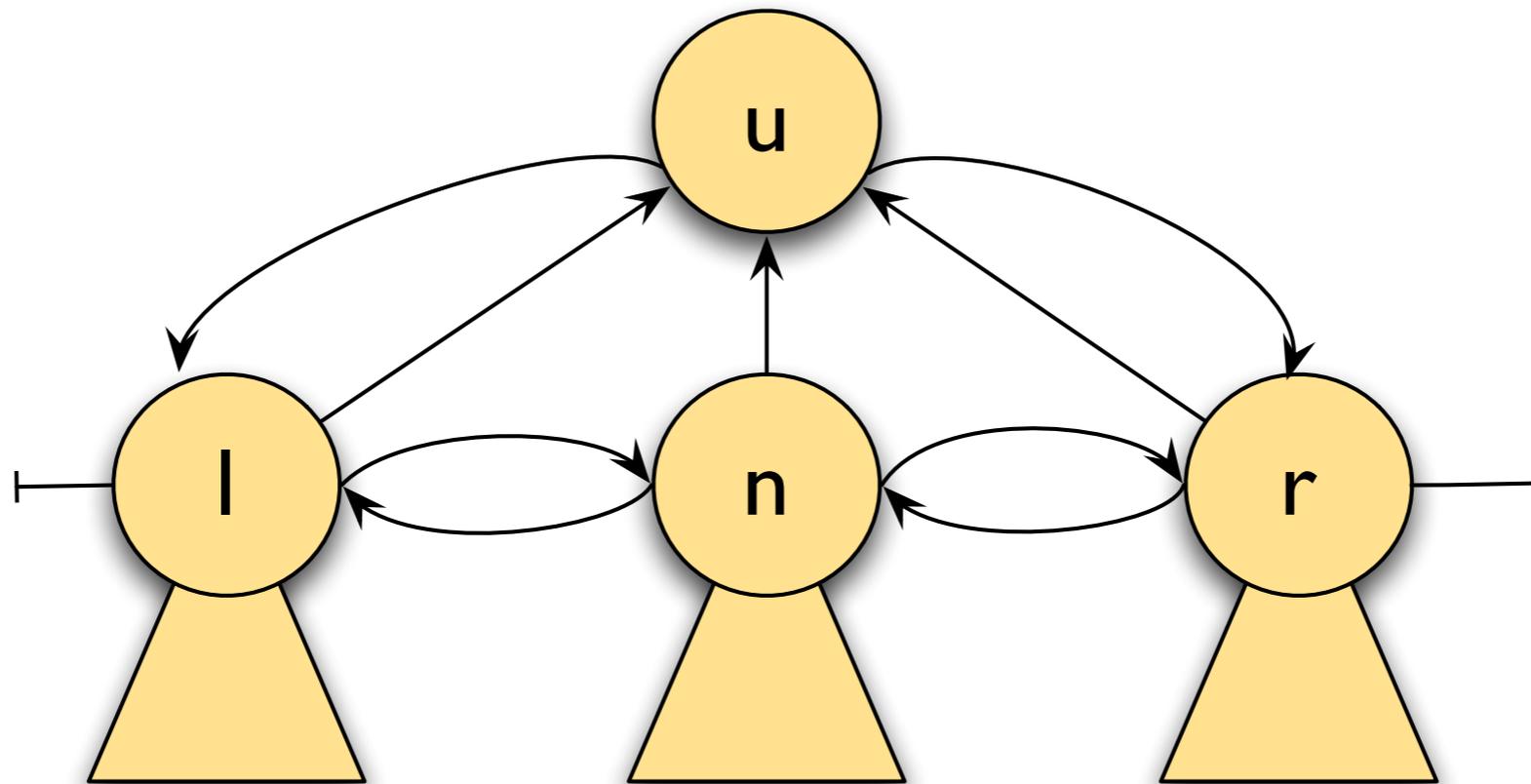
**deleteTree(1)**

**deleteTree(n)**



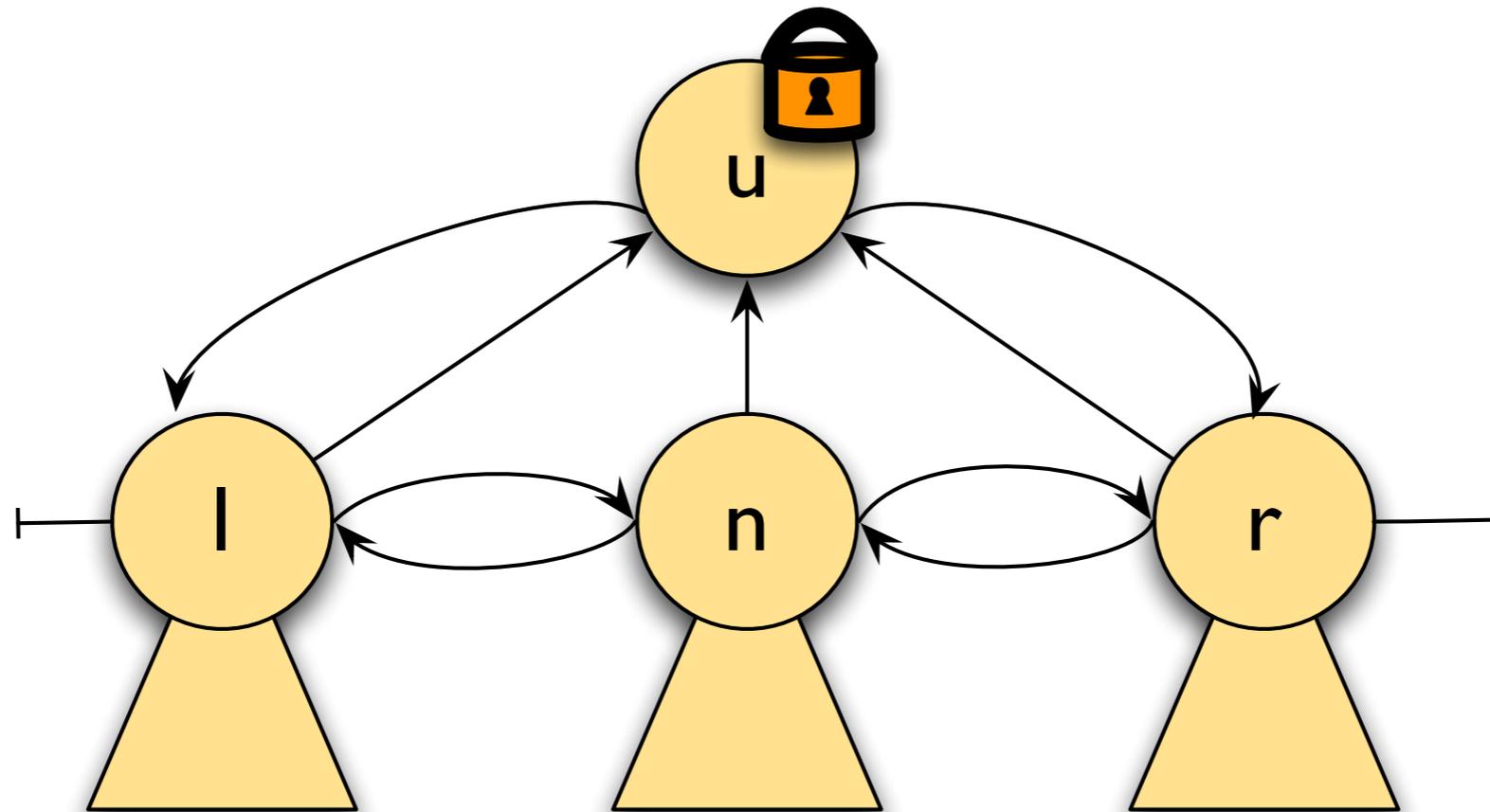
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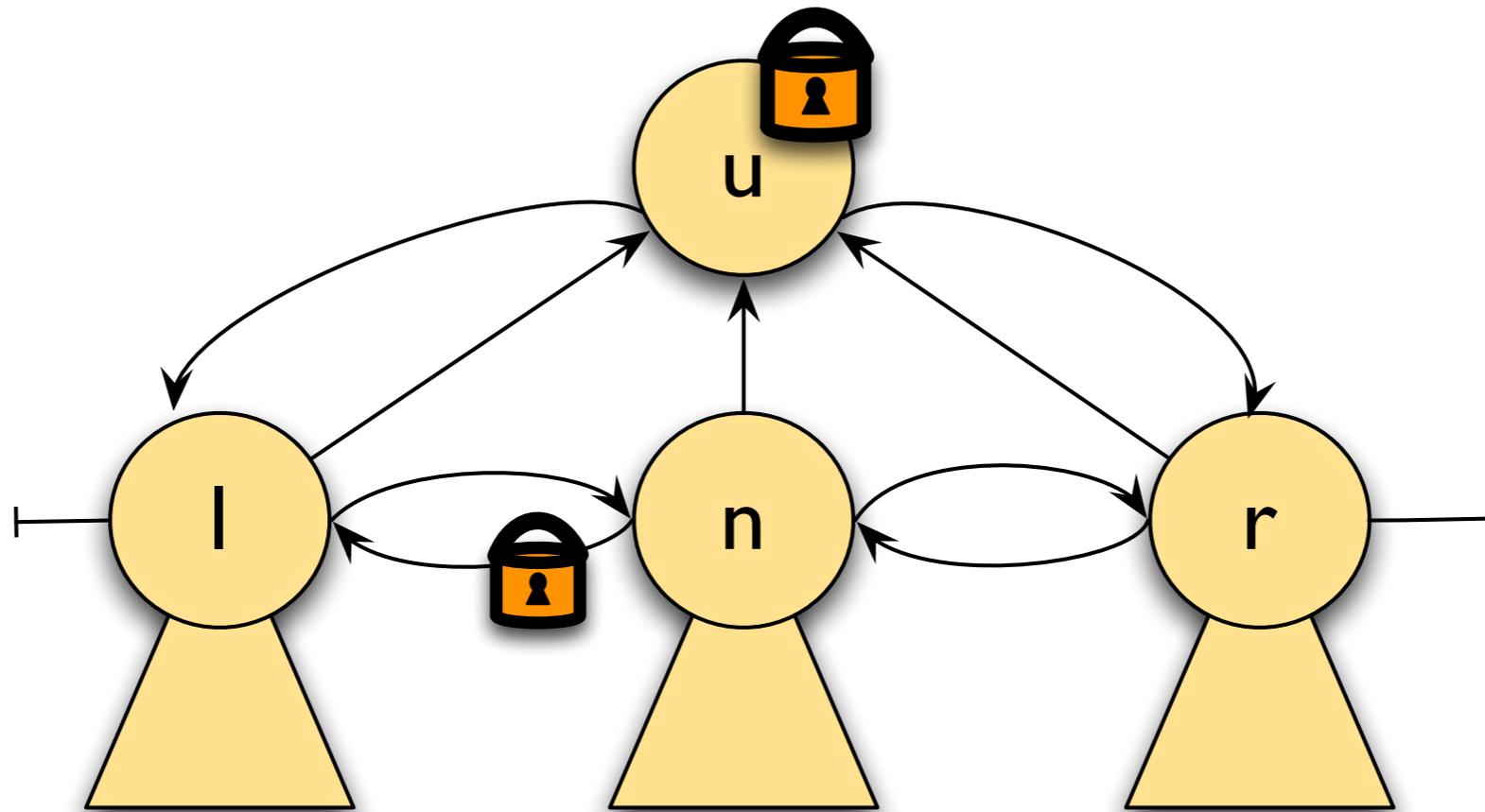
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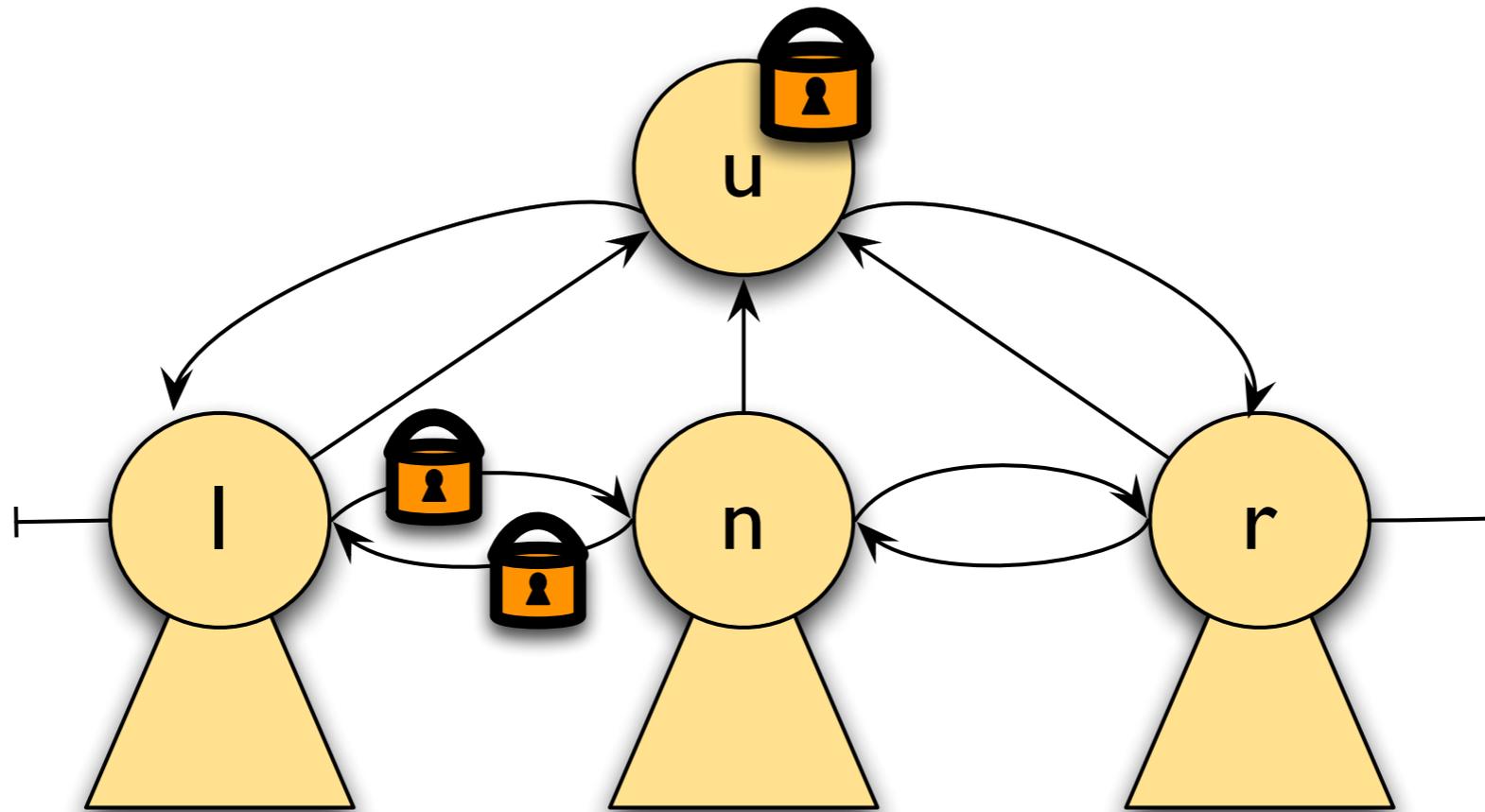
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## Concurrent deleteTree Procedure



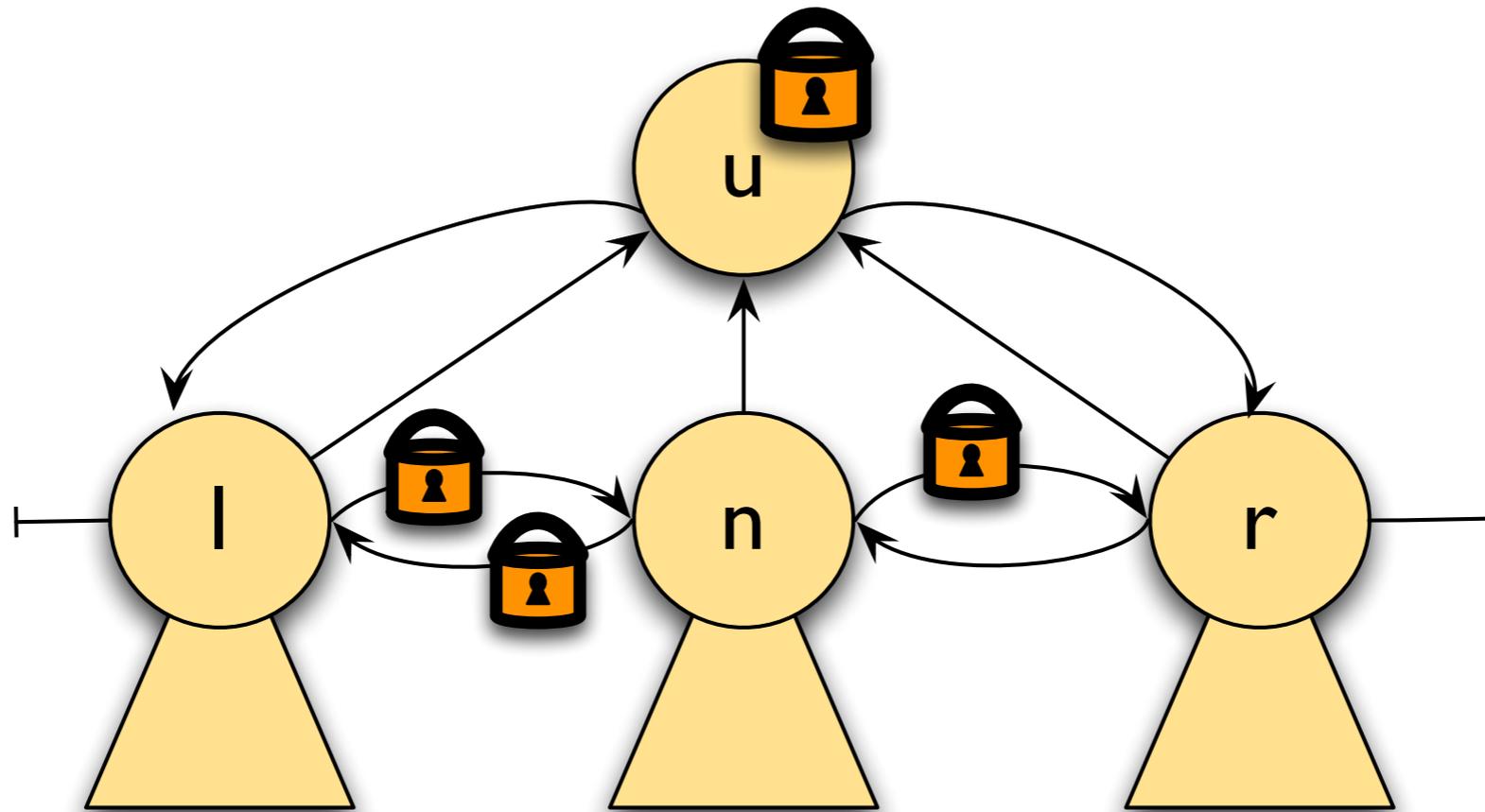
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## Concurrent deleteTree Procedure



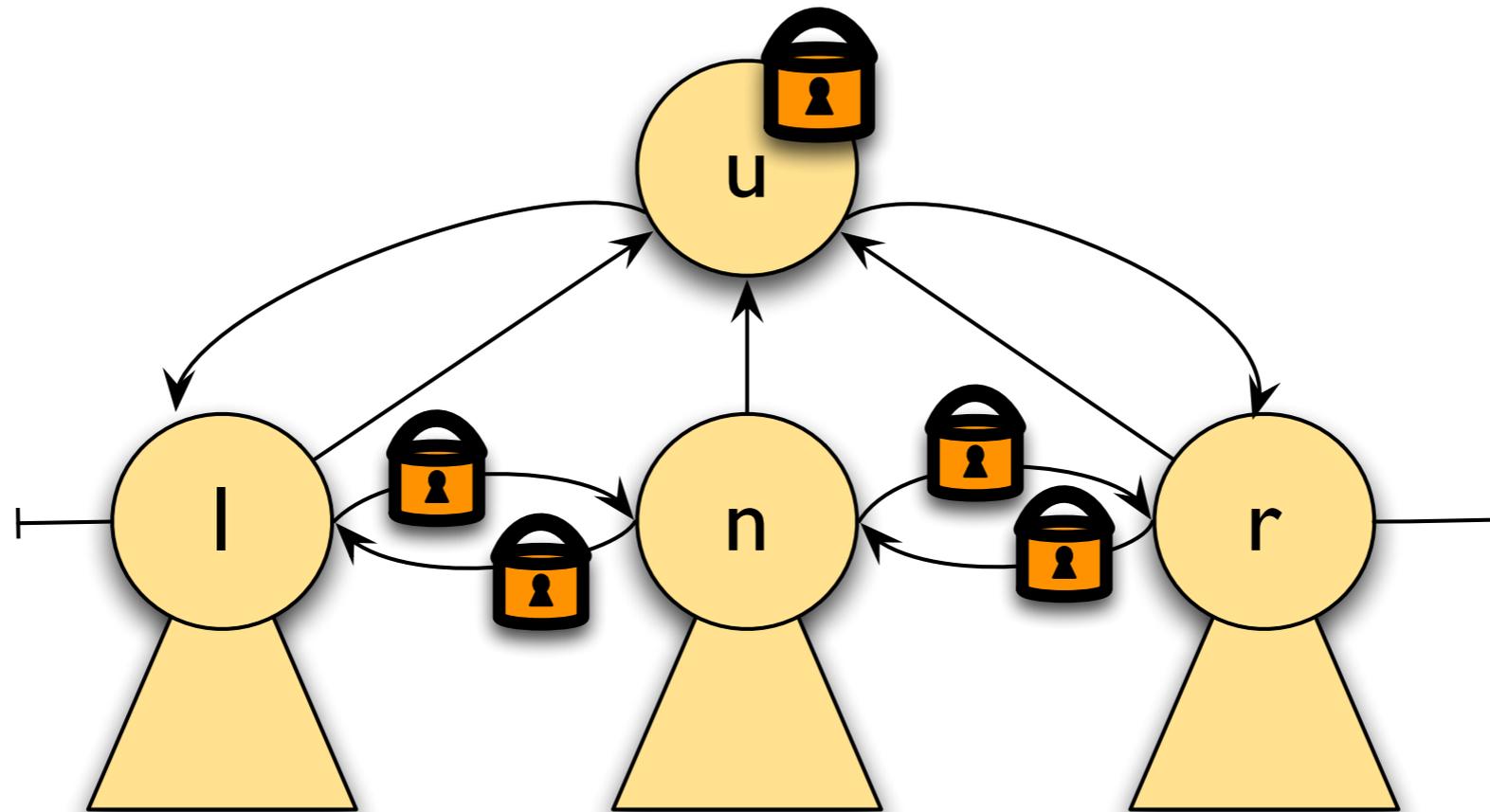
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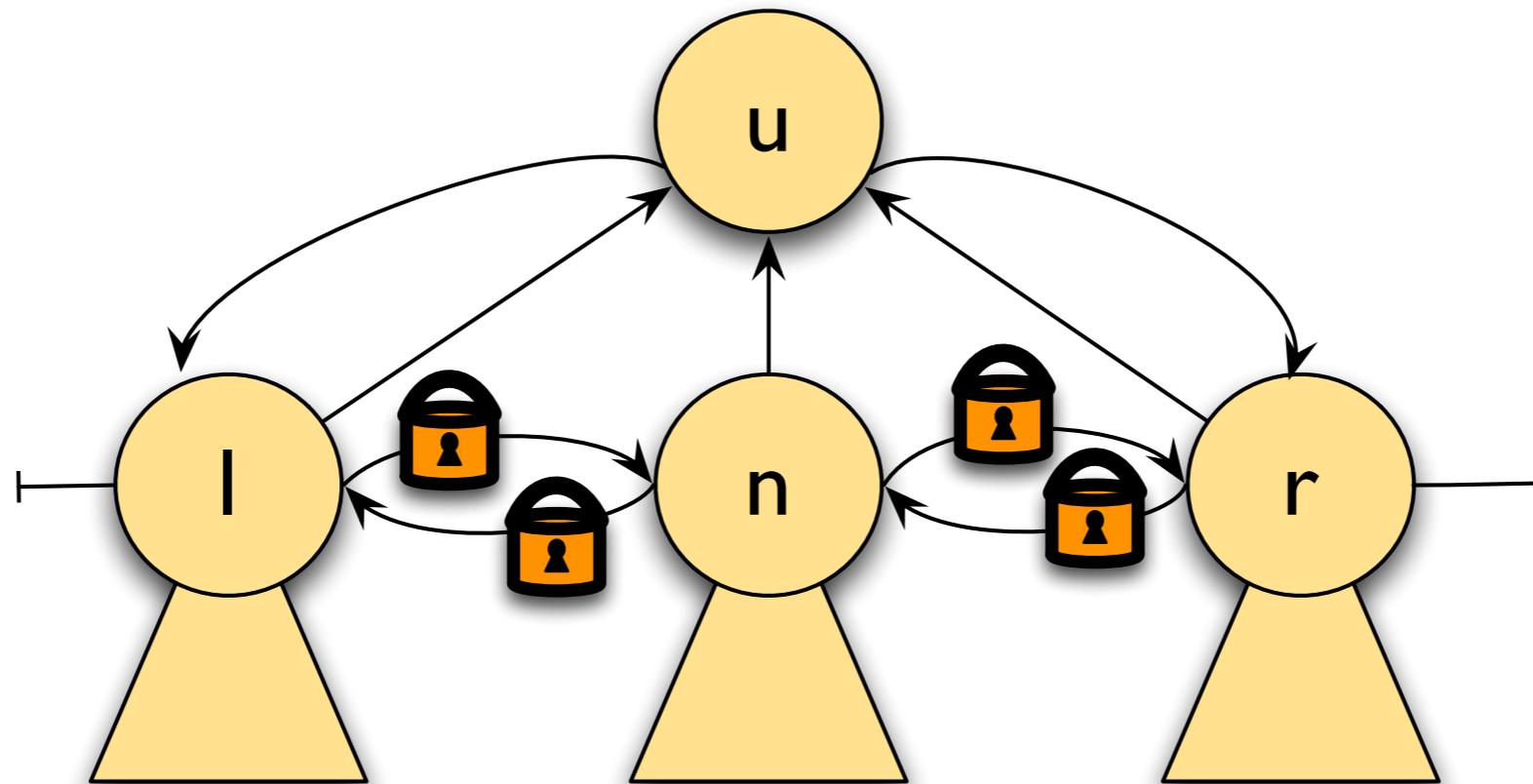
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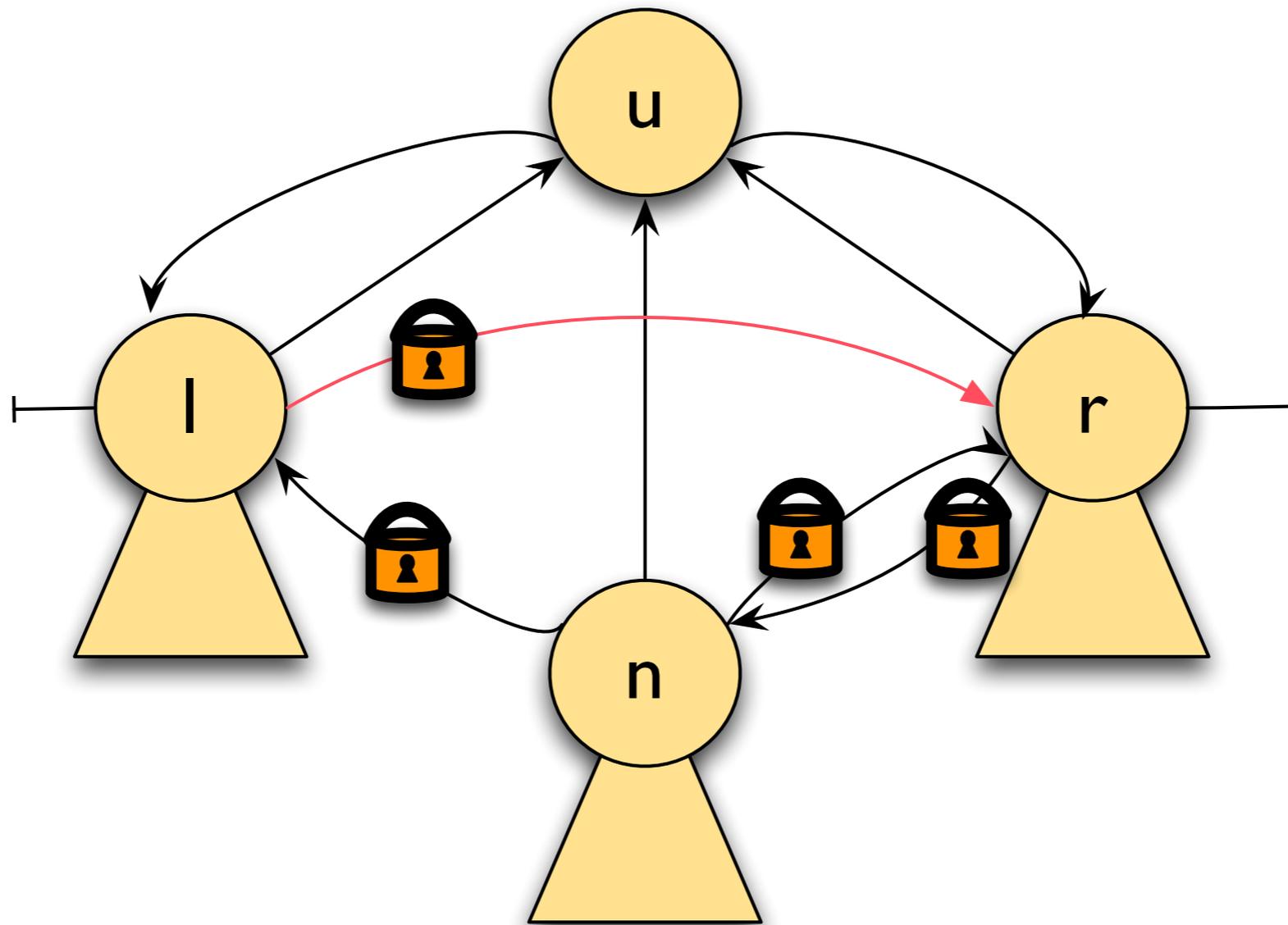
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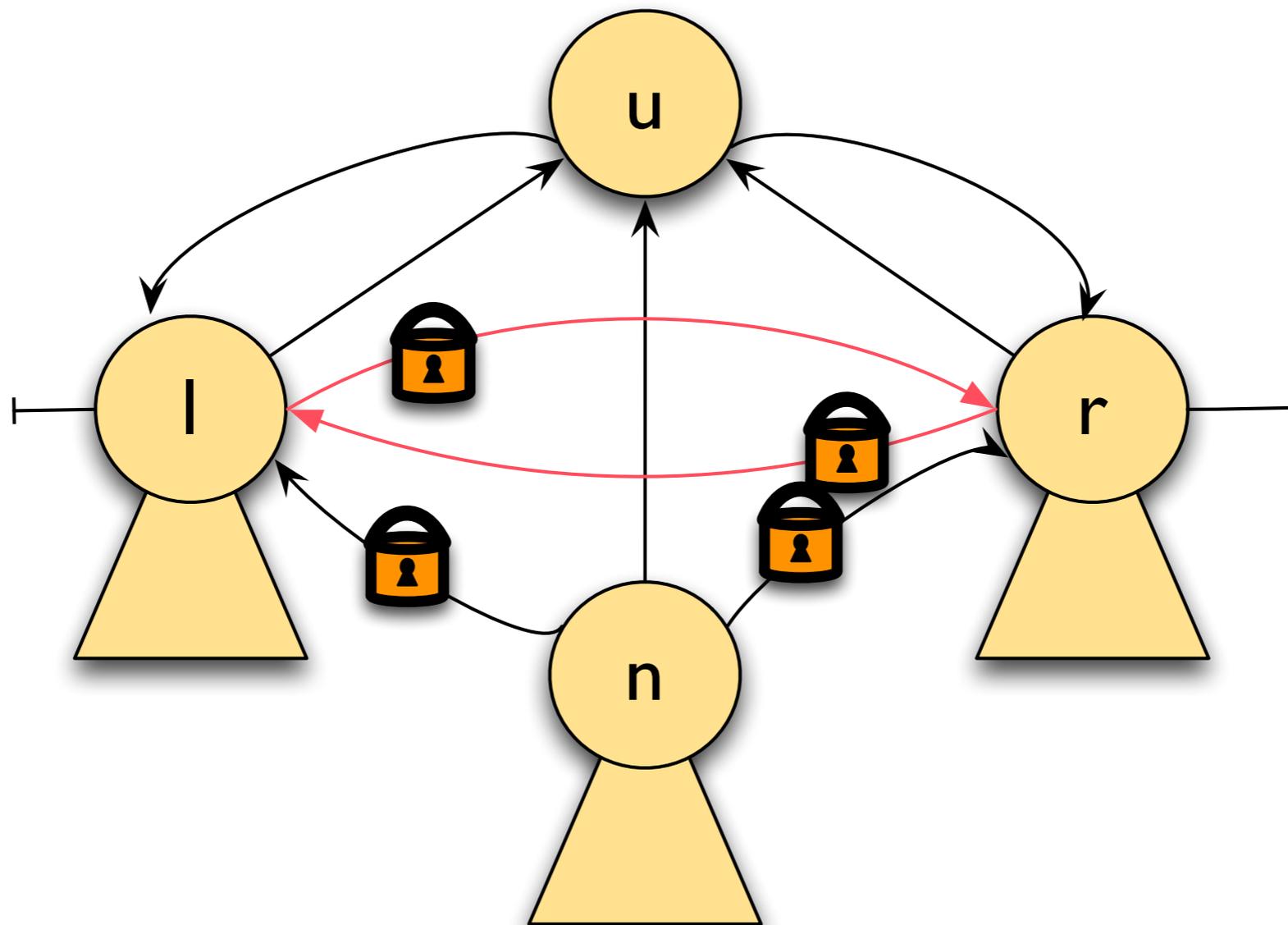
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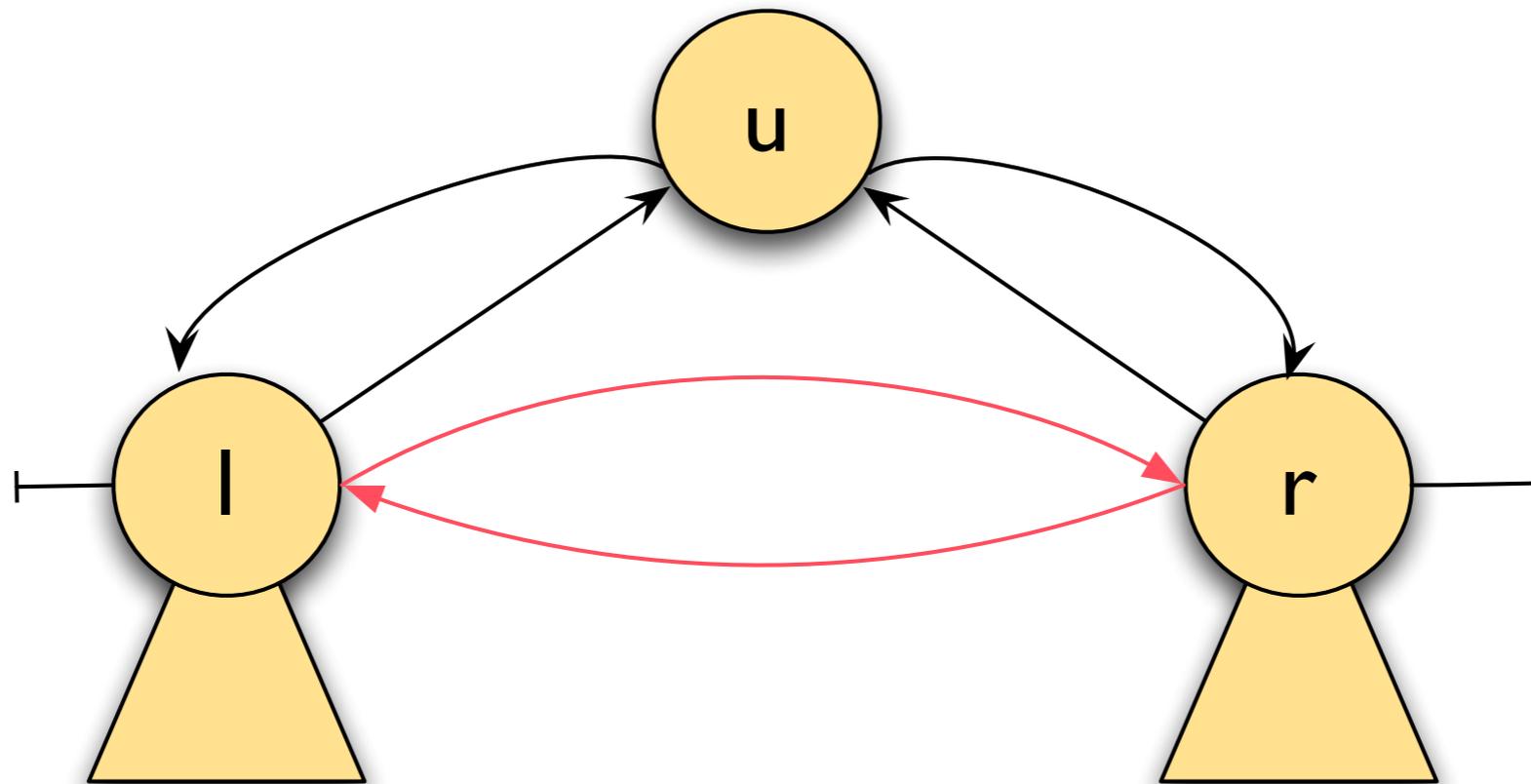
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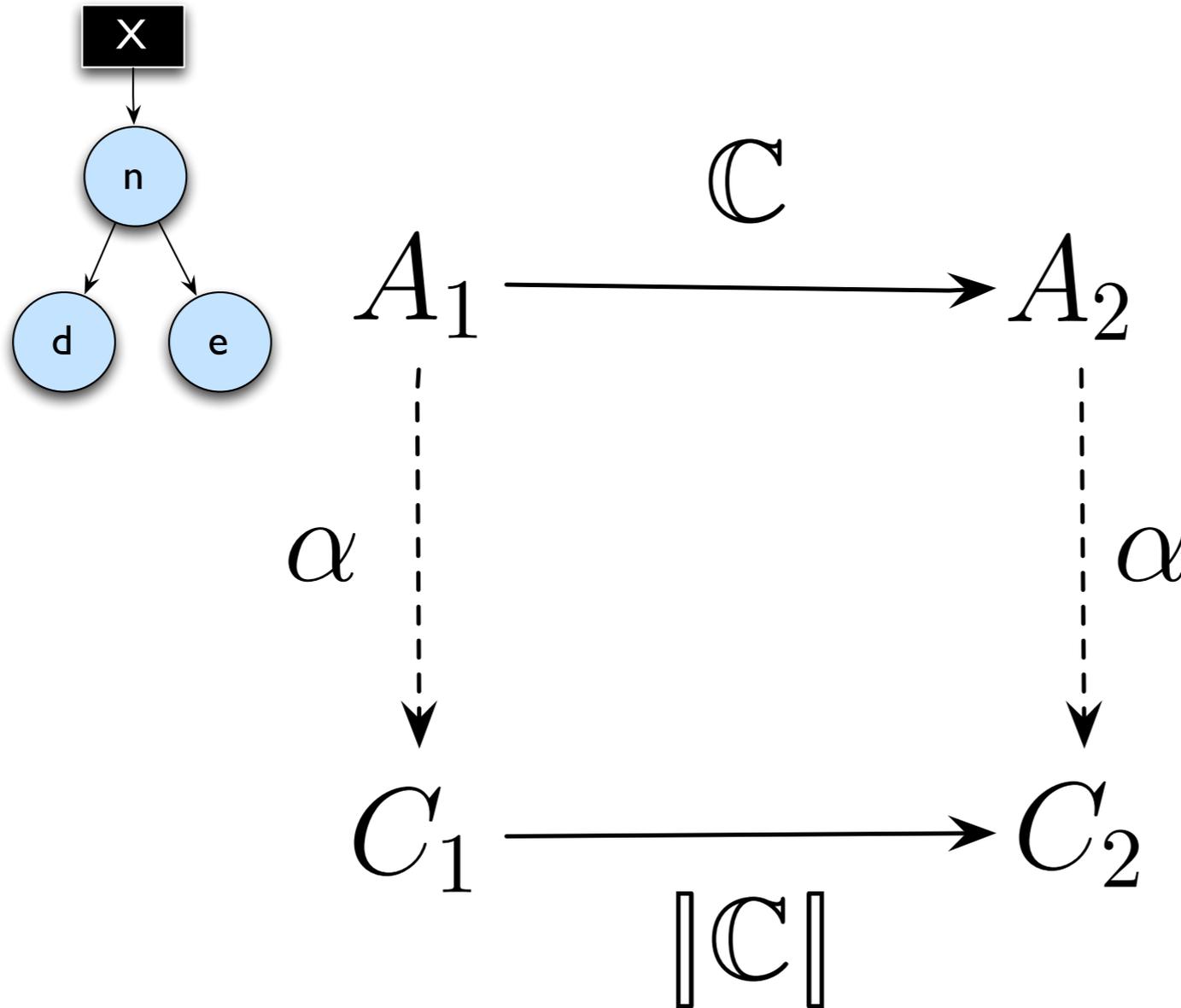
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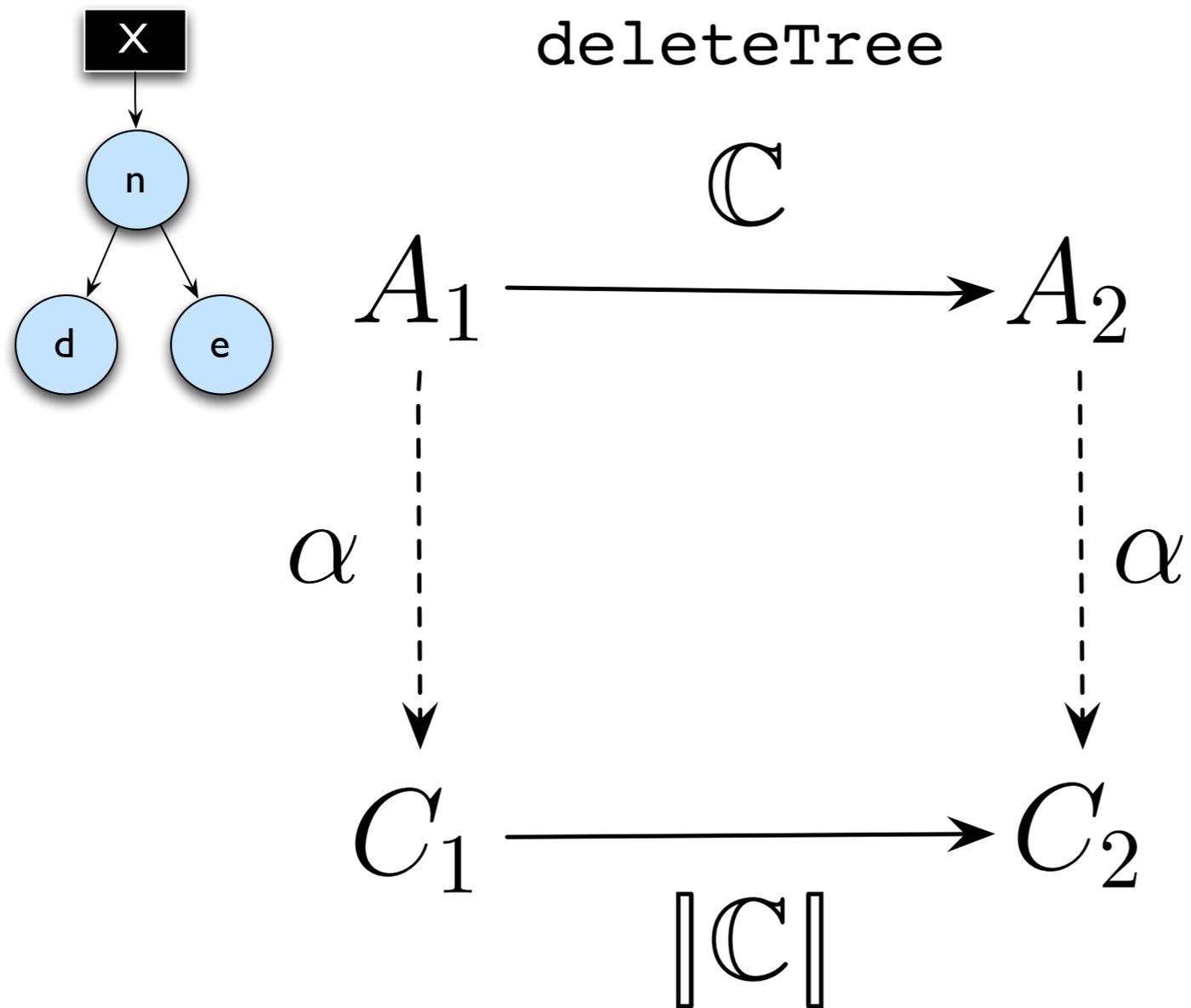
# Low Level Trees

Concrete tree representation for partial trees



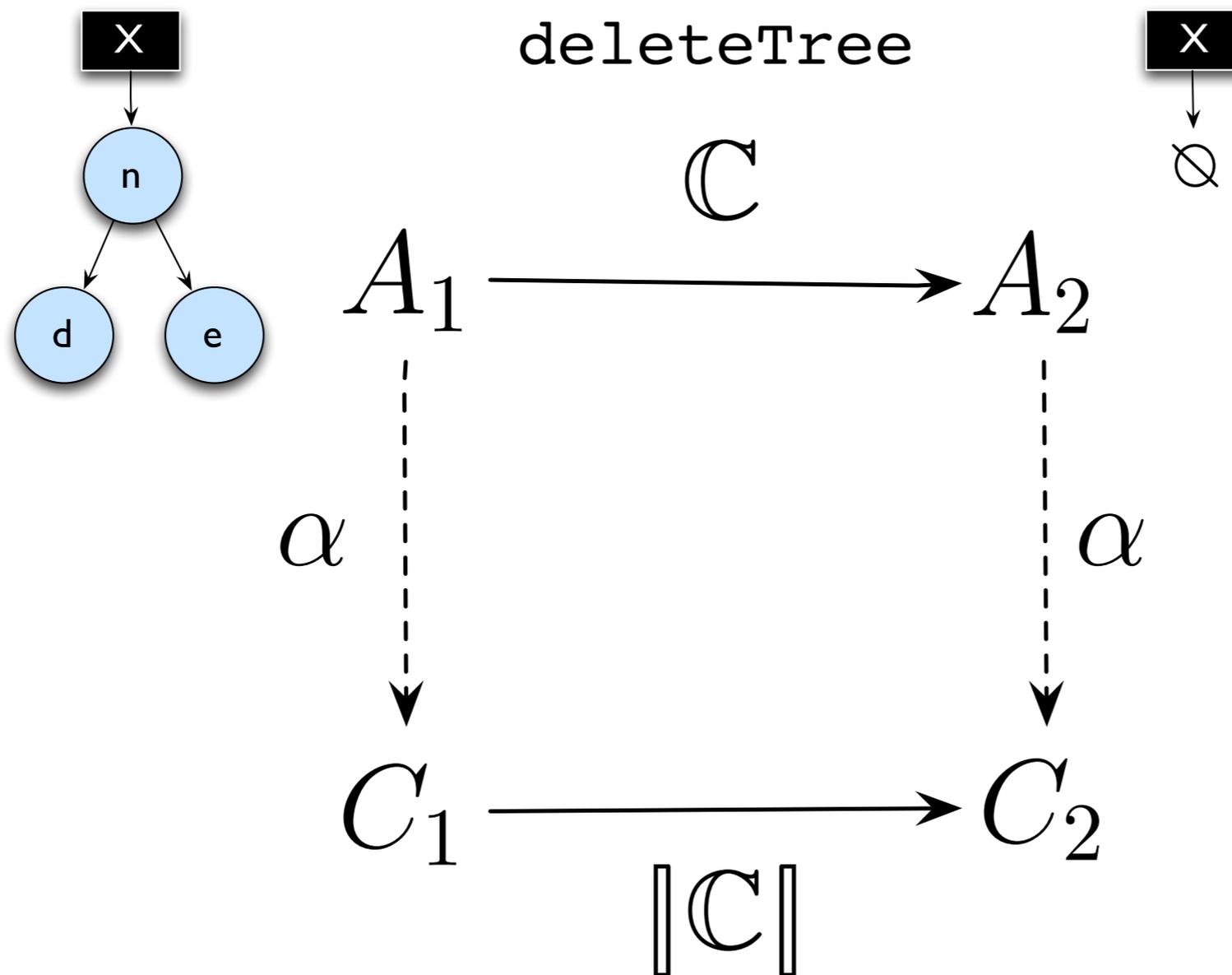
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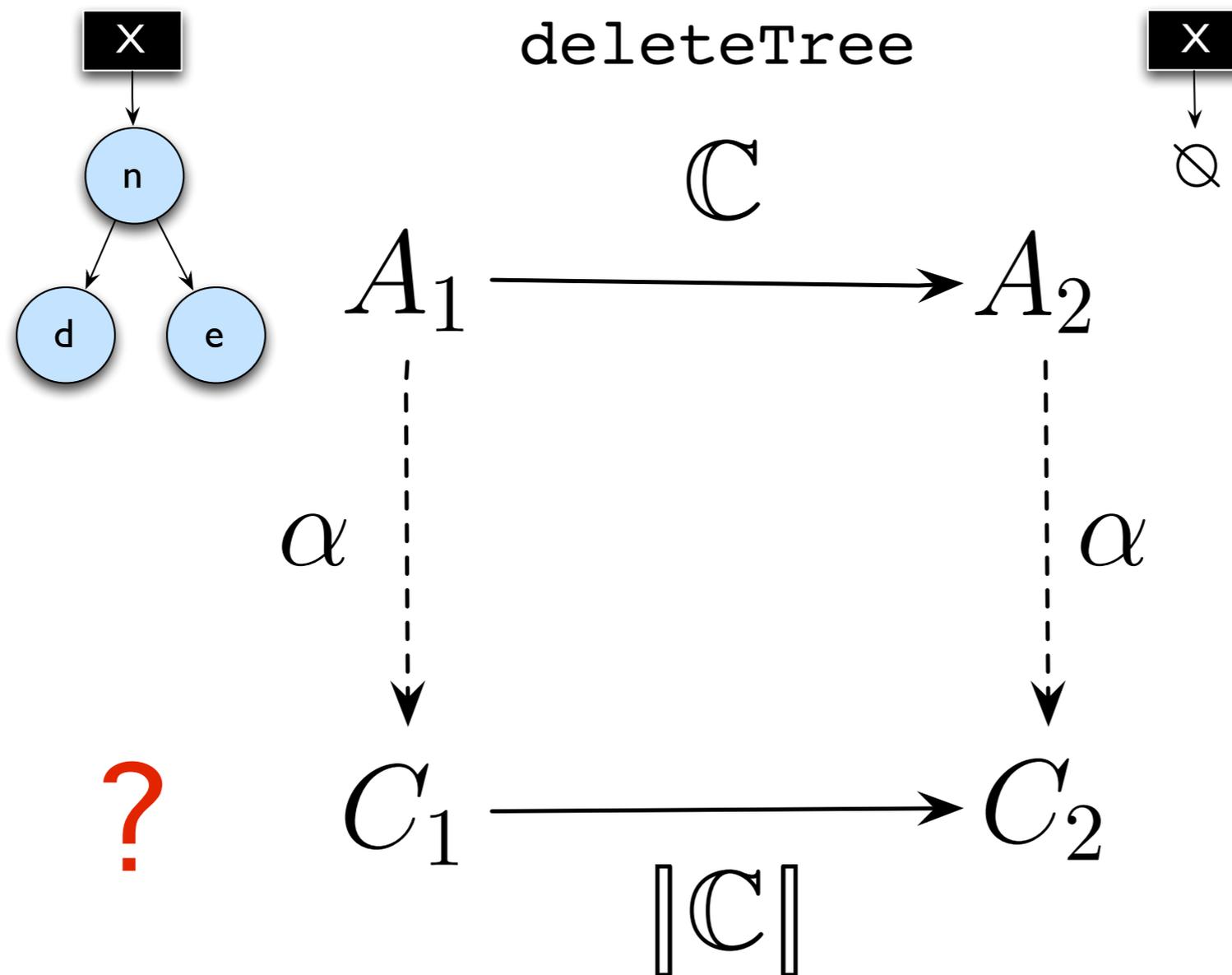
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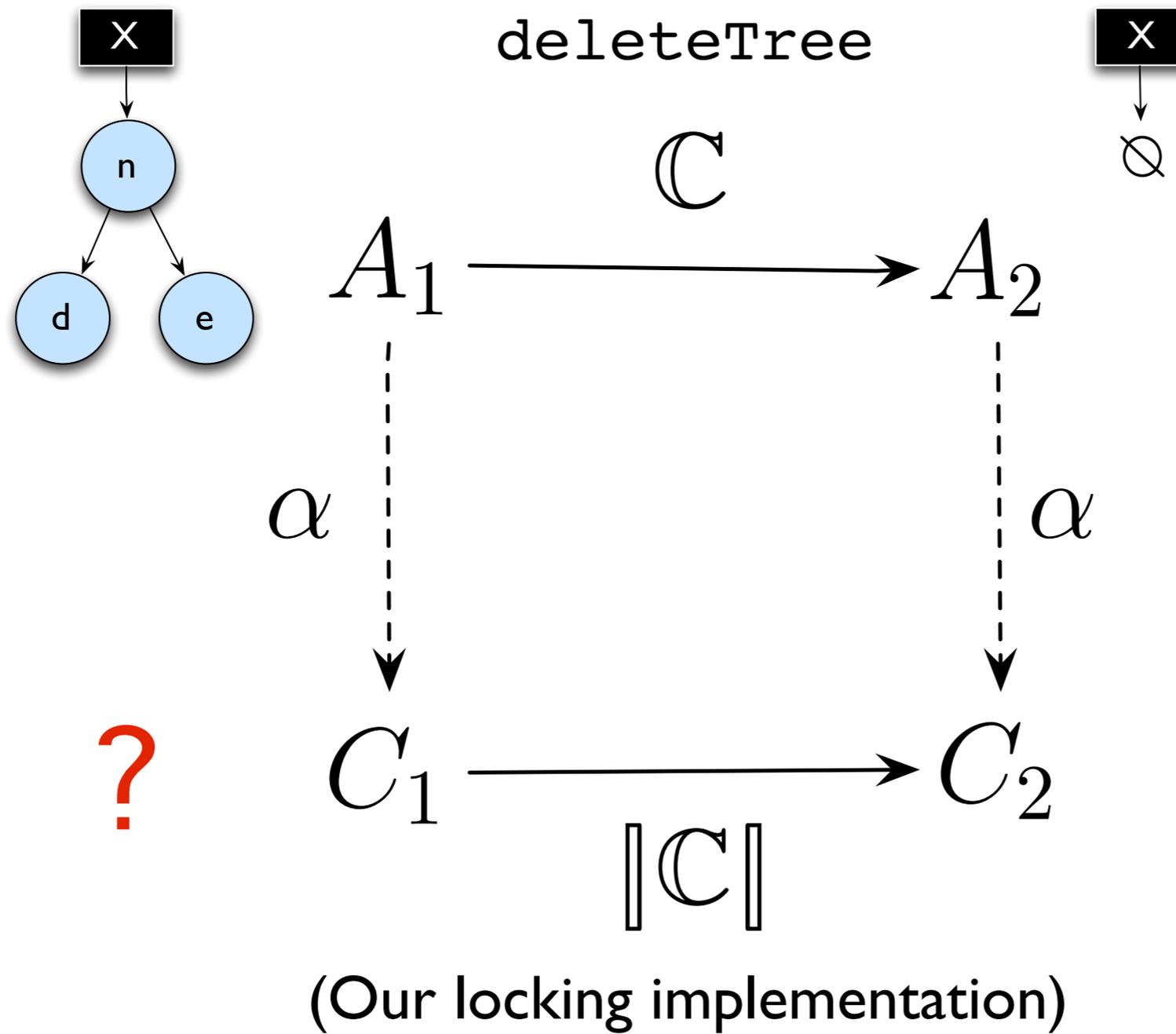
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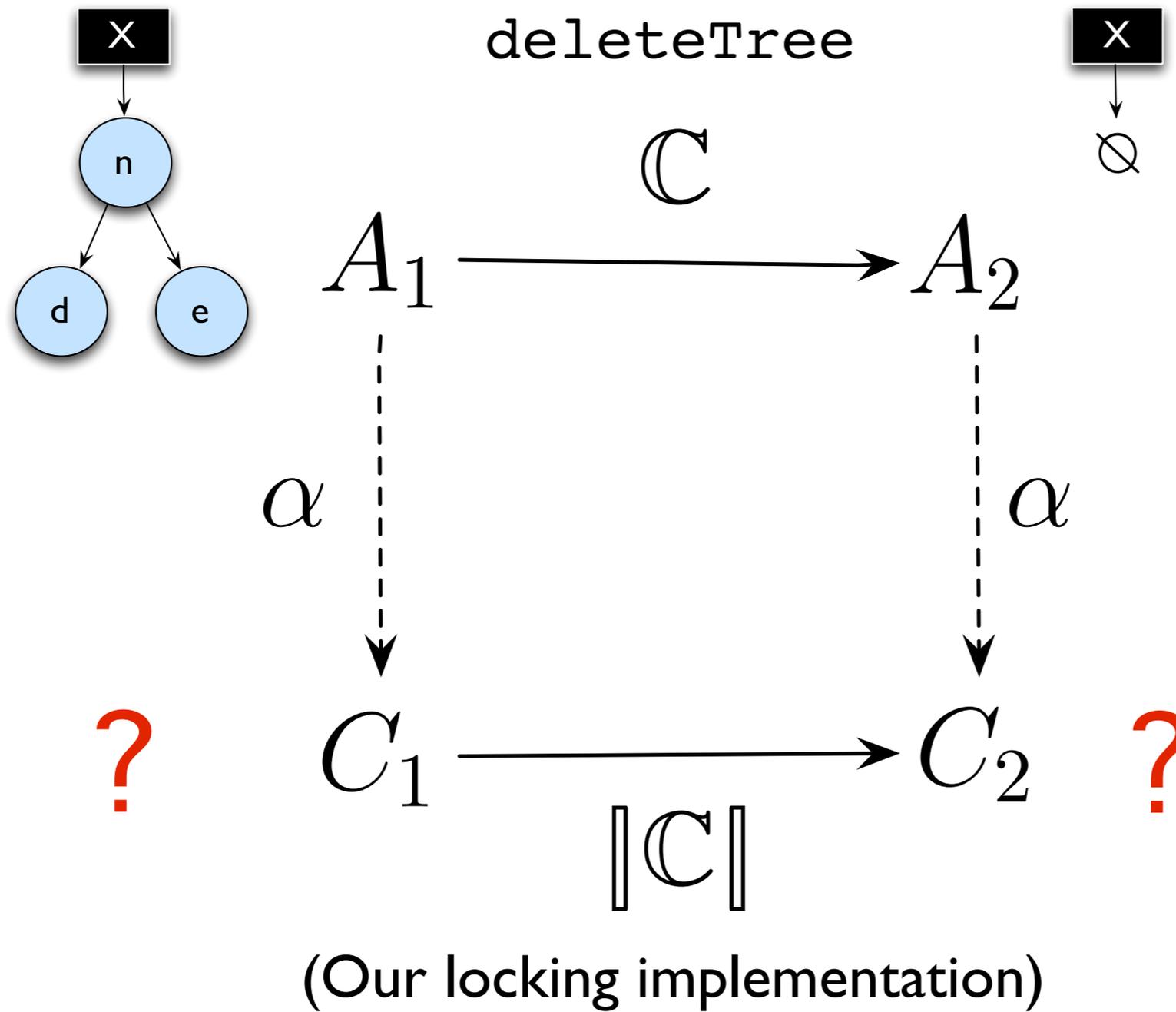
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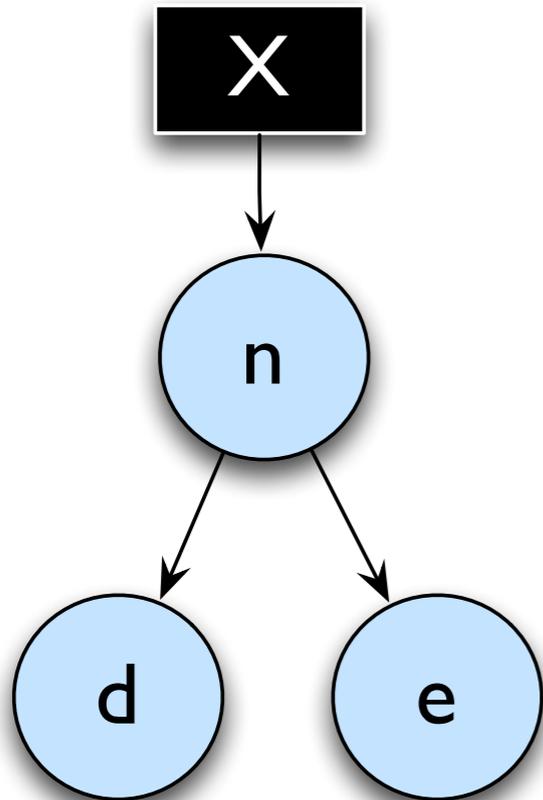
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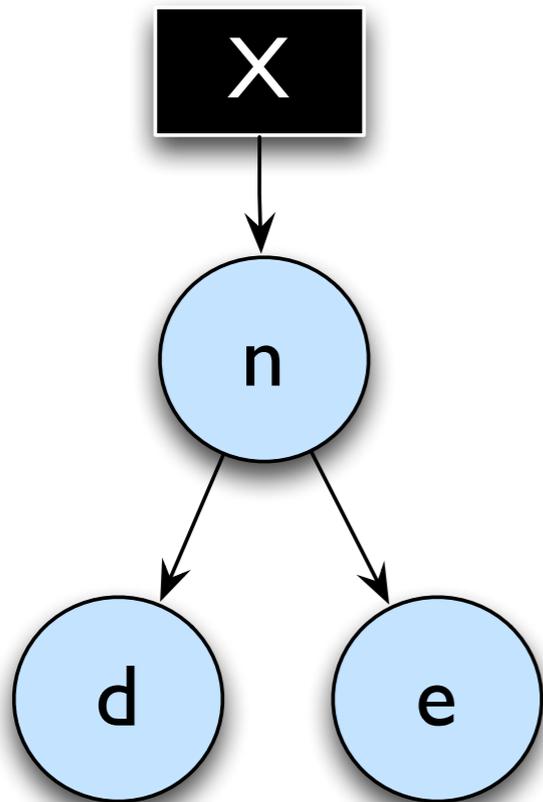
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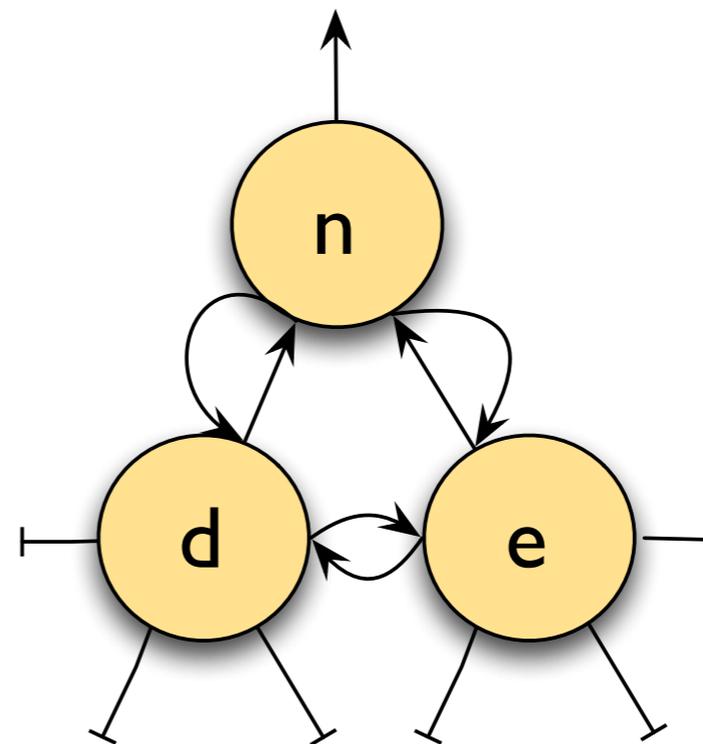
Abstract address: x

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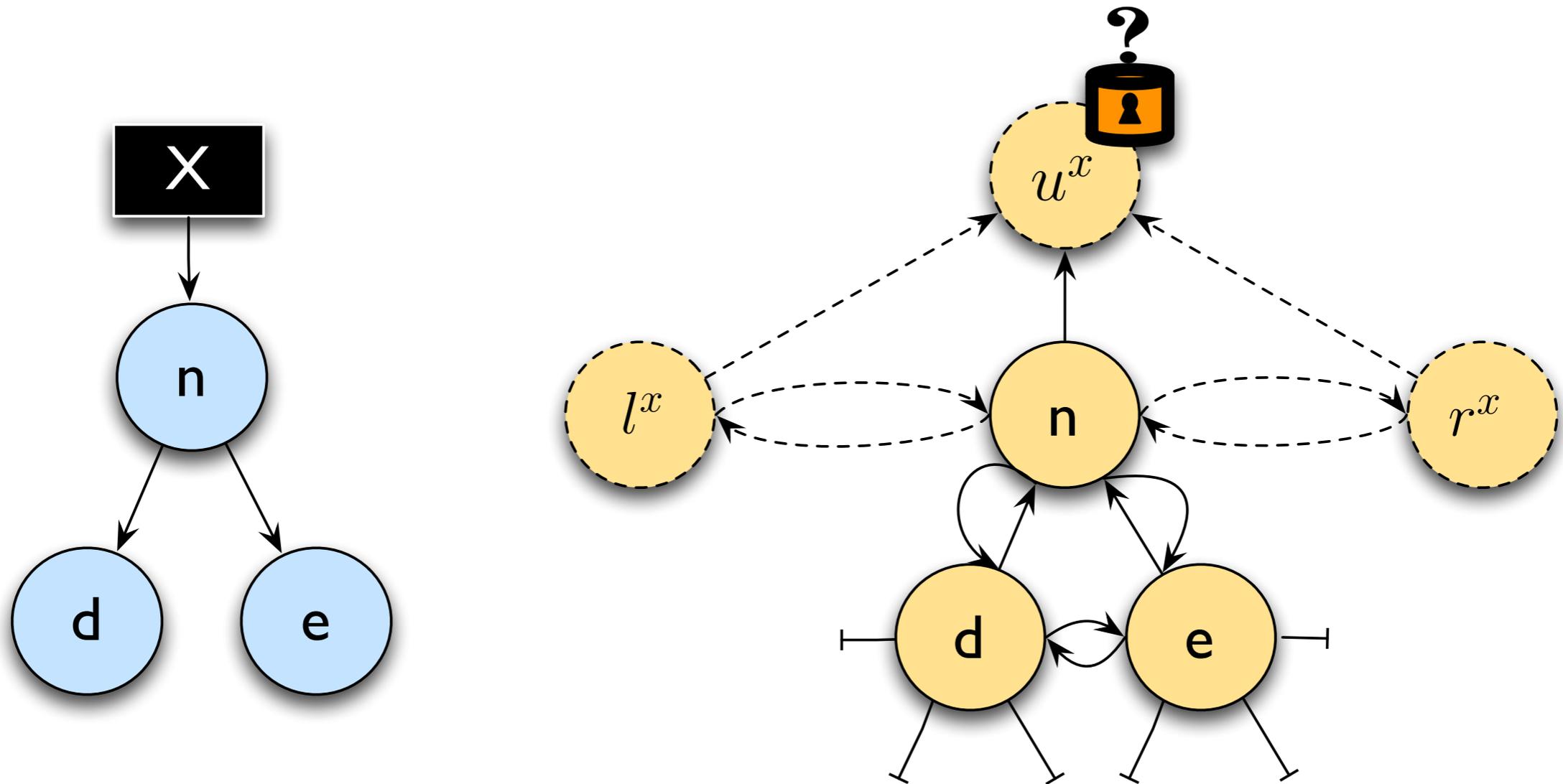


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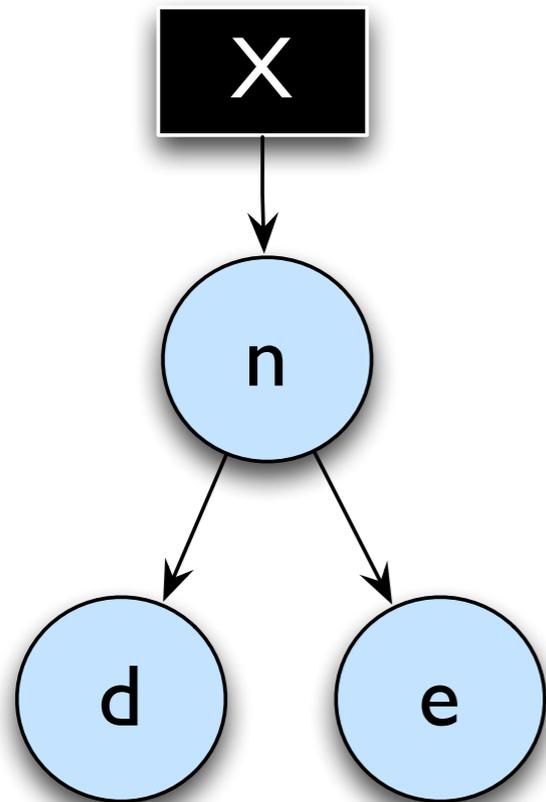
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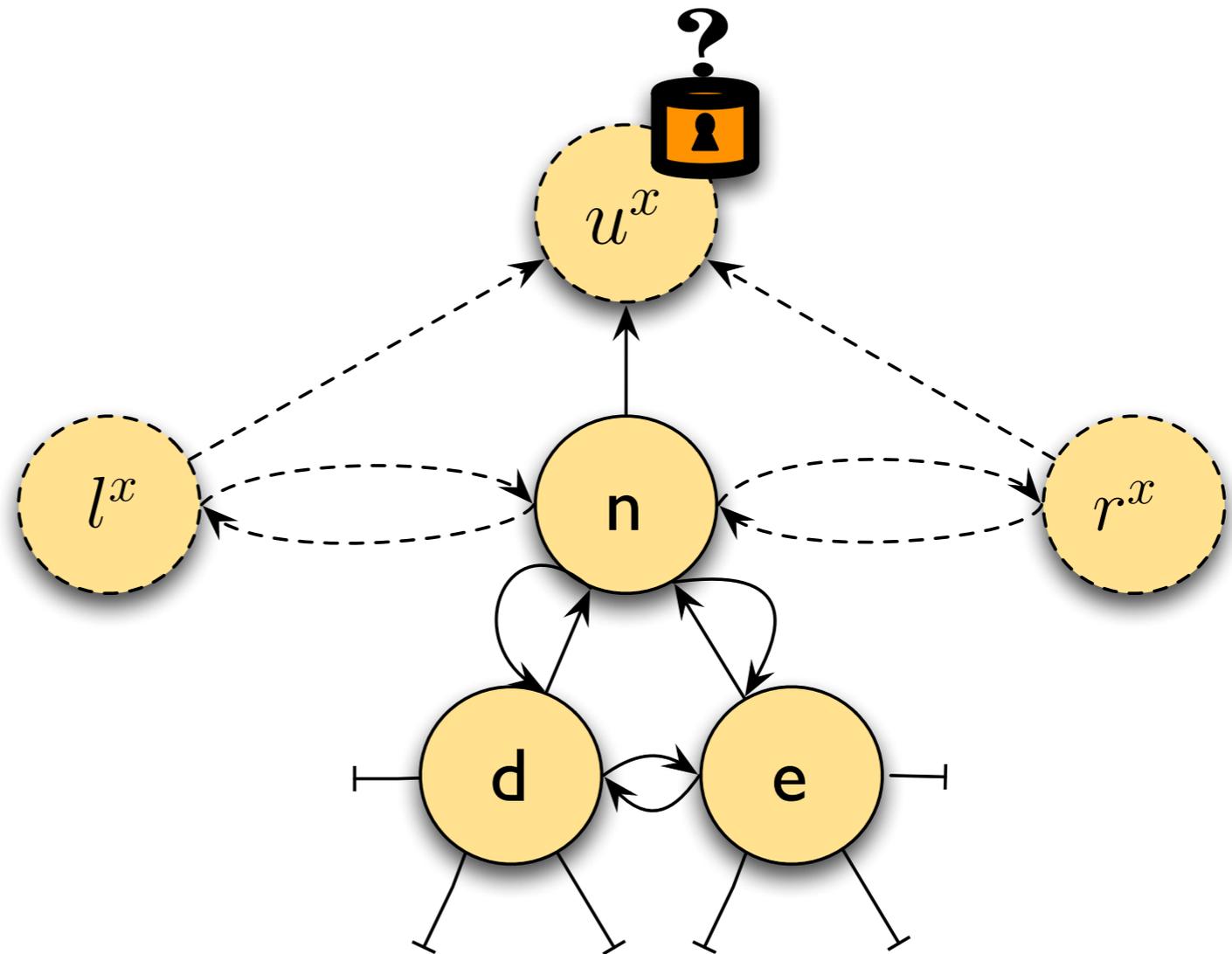
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Abstract address:  $x$

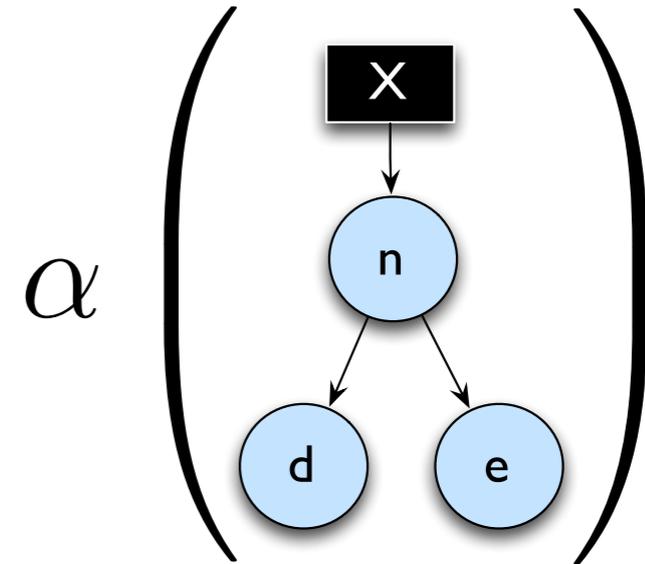
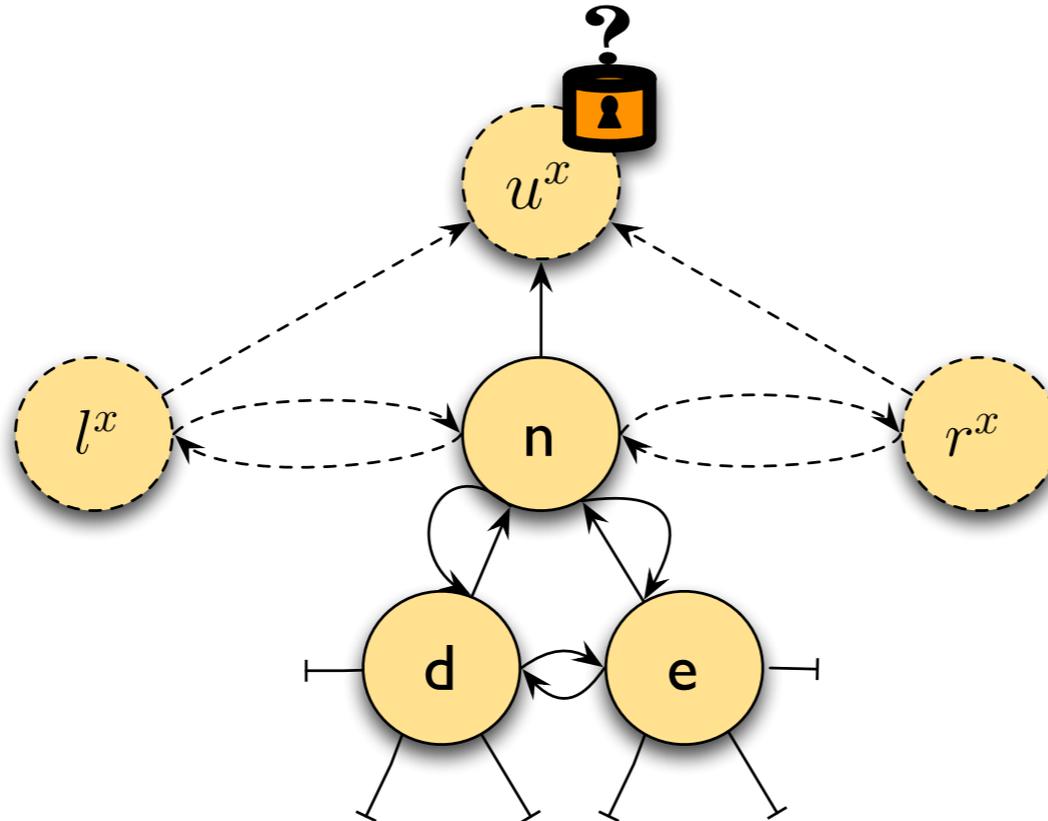


$$I_x^{out} = (l^x, u^x, r^x)$$

# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```

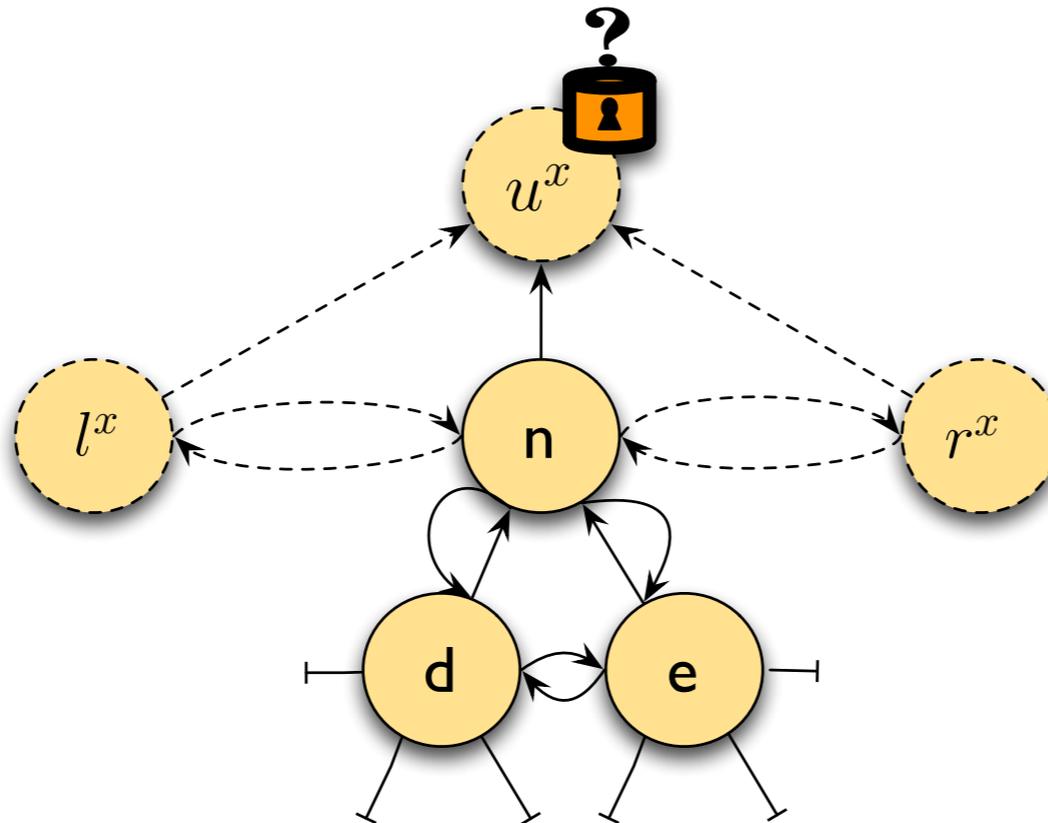


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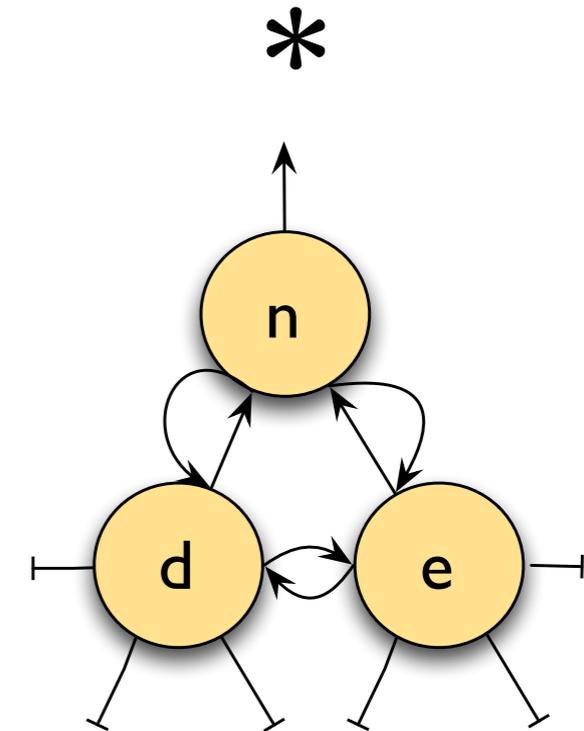
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  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
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  unlock(ul);
  //Pointer Swinging.
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  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



$\text{isParentLock}(u^x)$



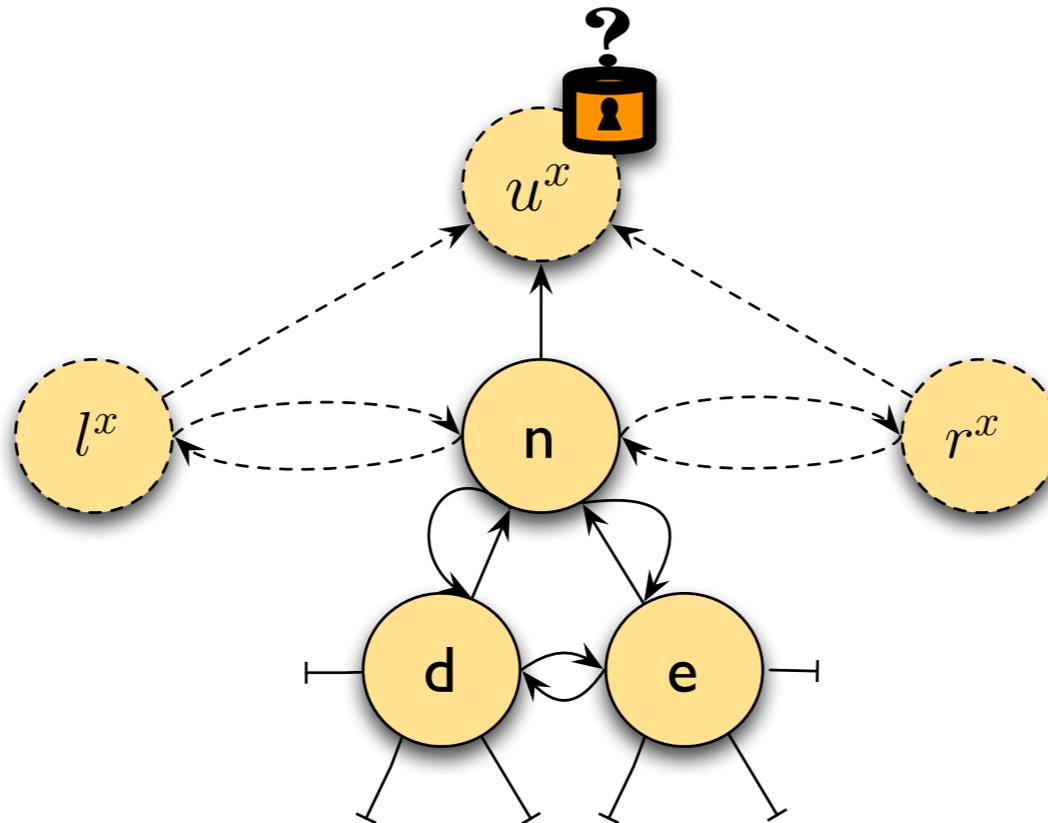
$\text{Crust}(n, n)(l^x, u^x, r^x)$

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proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



$\text{isParentLock}(u^x)$

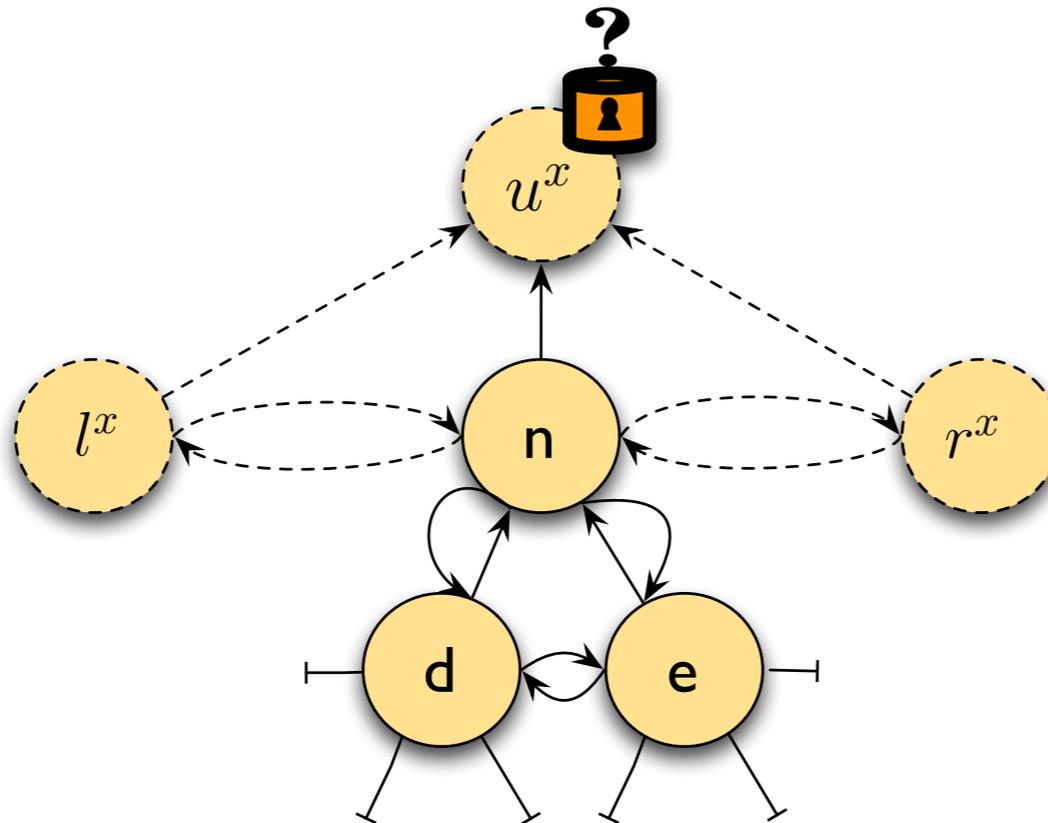
\*

$\text{Crust}(n, n)(l^x, u^x, r^x)$

# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
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  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
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  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



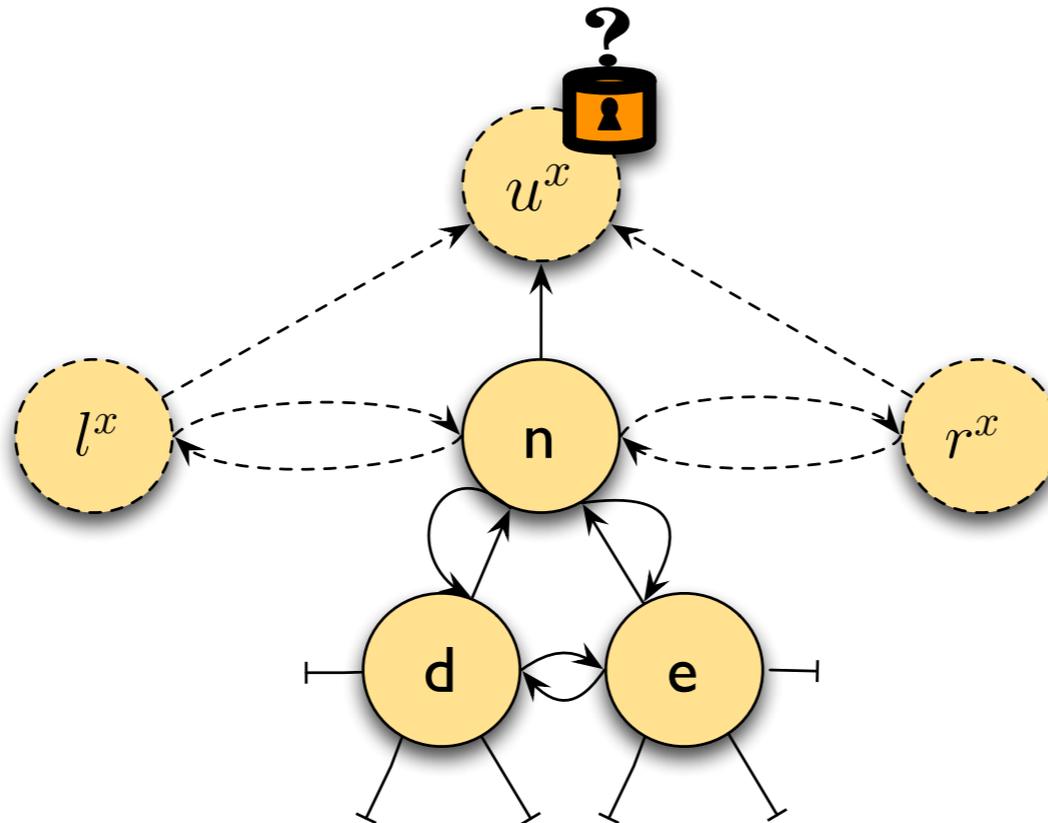
$\text{isParentLock}(u^x)$

\*

$\text{Crust}(n, n)(l^x, u^x, r^x)$

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  u := [n.up]; d := [n.first]; ul:= [n.upL];
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  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
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  else if u ≠ null then lock(u.lastL);
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  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
```



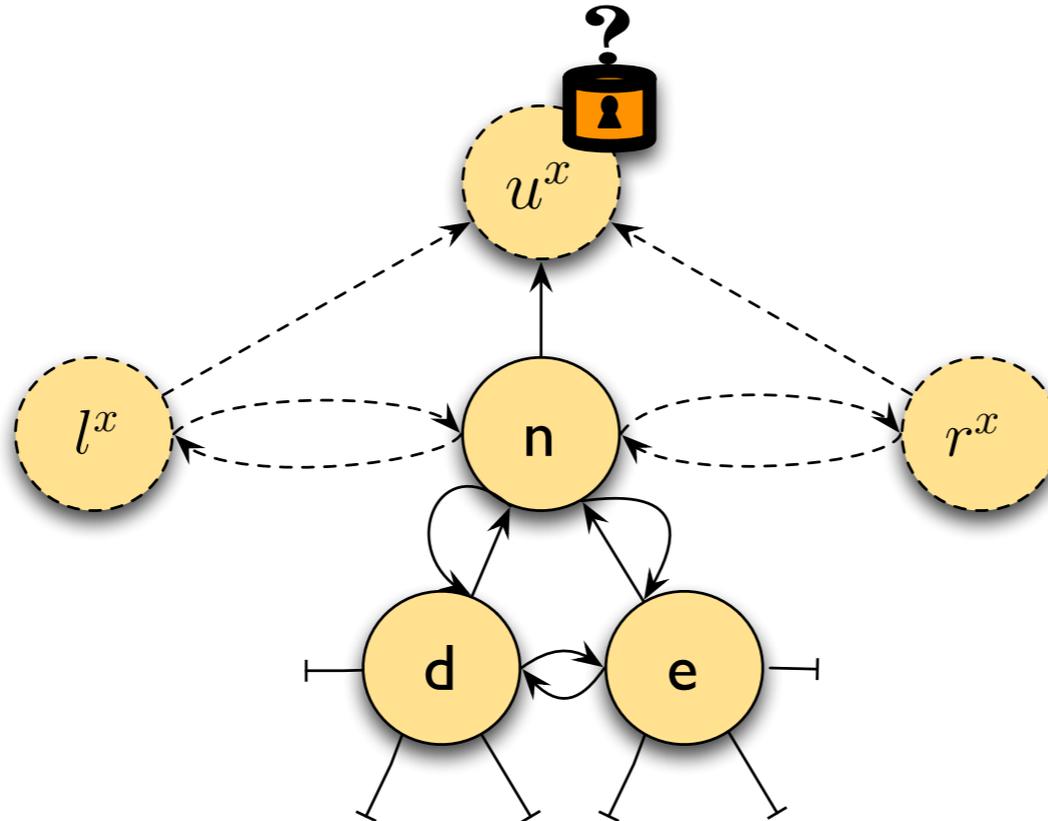
$\text{isParentLock}(u^x)$

# Refinement (Axiomatic Correctness)

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  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
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  if r ≠ null then [r.left] := l;
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  if l ≠ null then unlock(l.rightL);
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  if r ≠ null then unlock(r.leftL);
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  disposeNode(n);
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$\text{isParentLock}(u^x)$

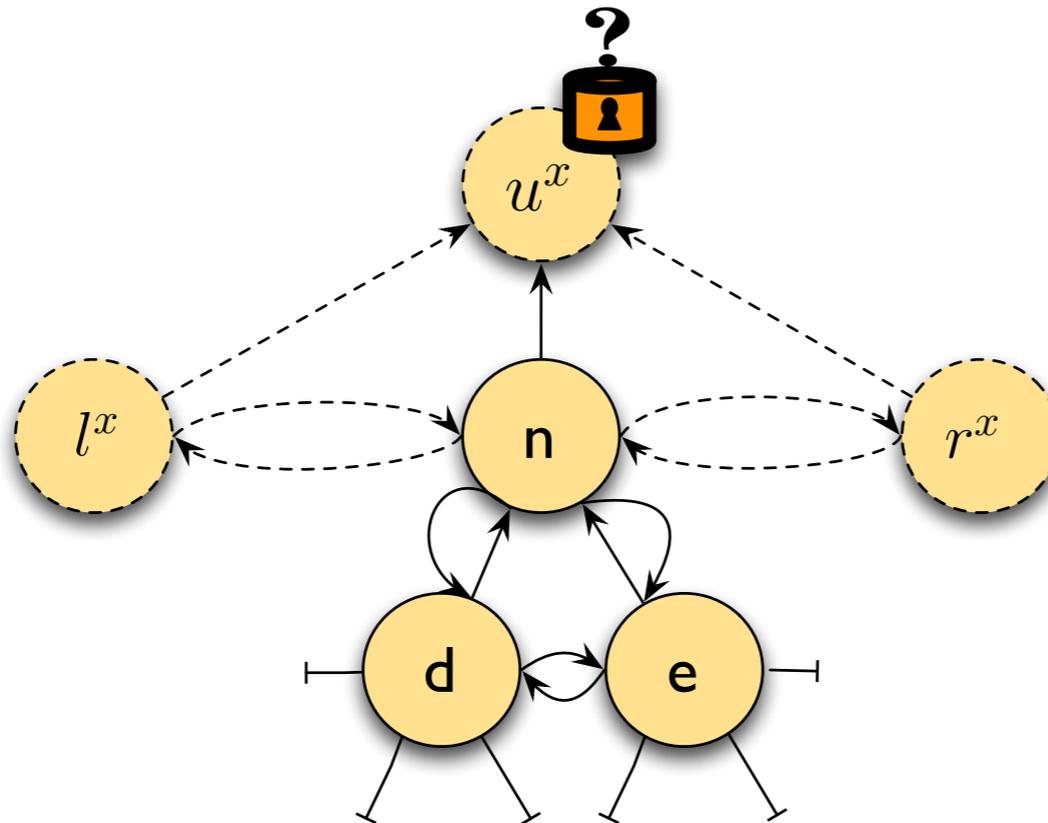


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  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



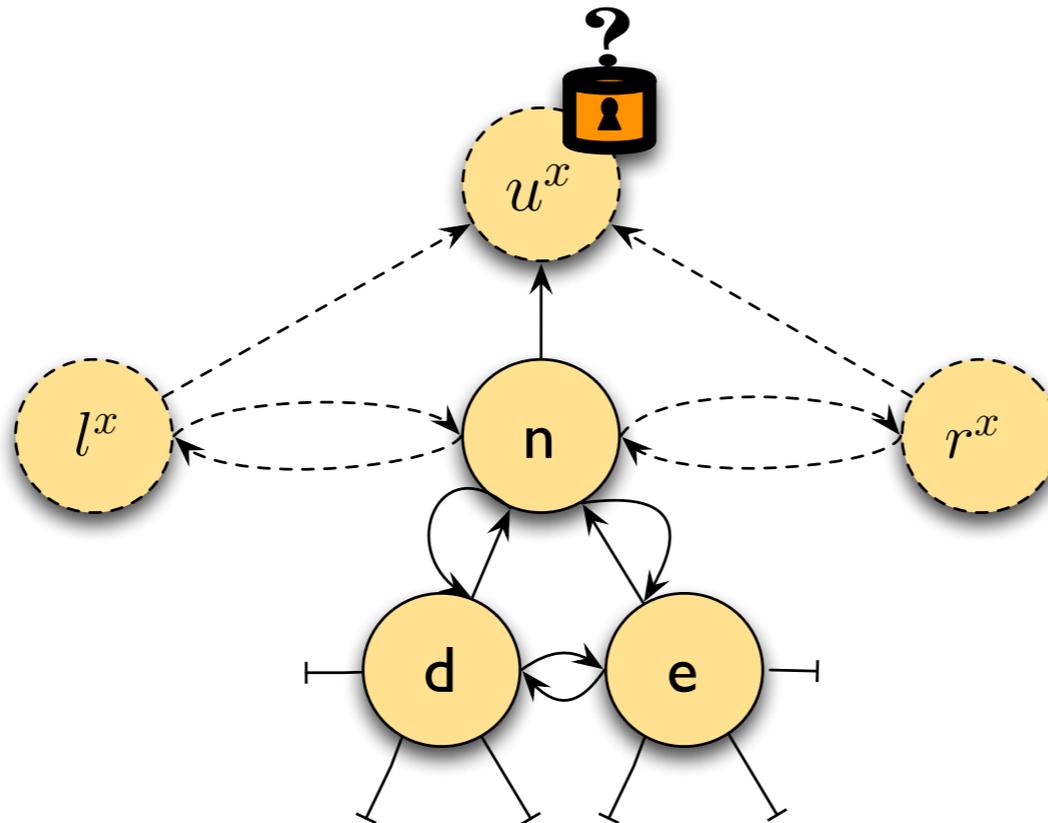
$\text{isParentLock}(u^x)$



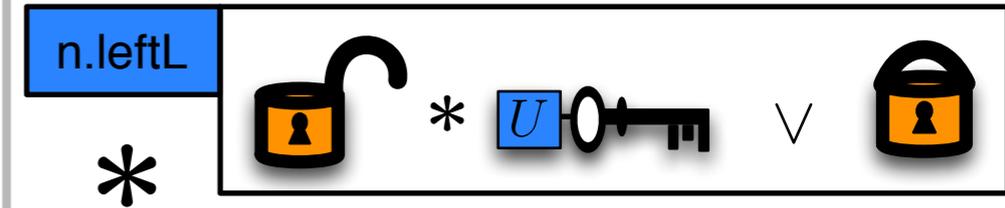
# Refinement (Axiomatic Correctness)

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  disposeNode(n);
}
  
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$\text{isParentLock}(u^x)$

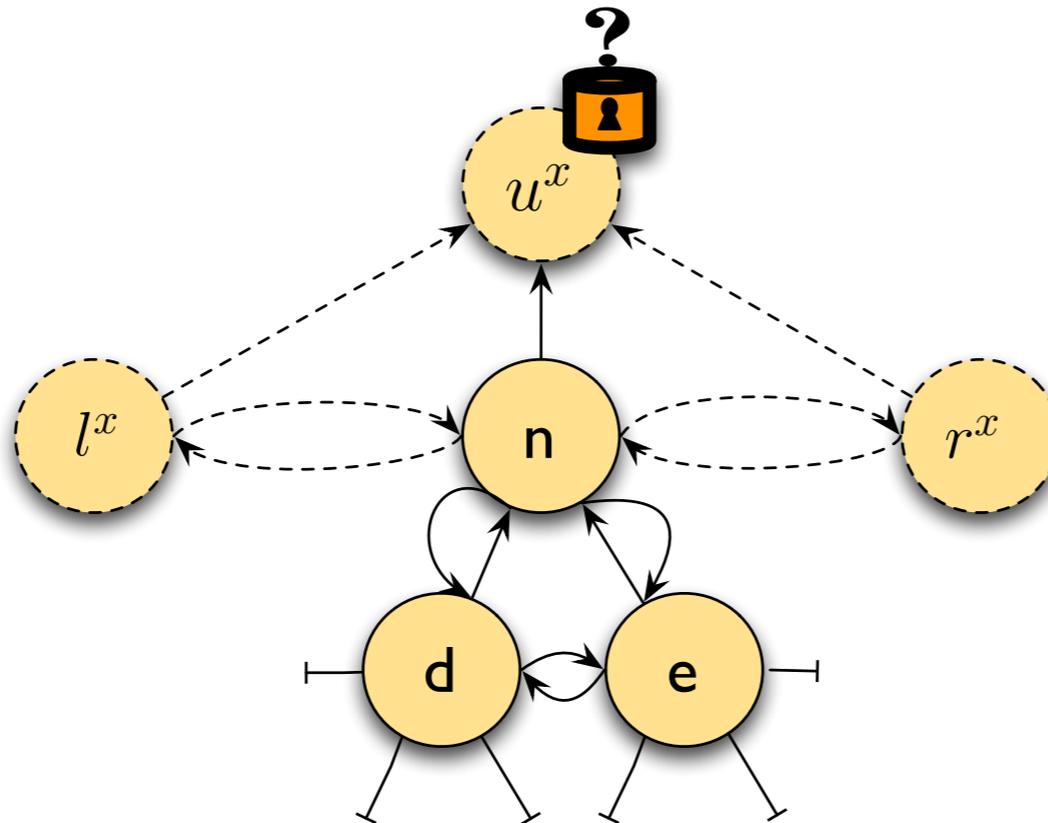


# Refinement (Axiomatic Correctness)

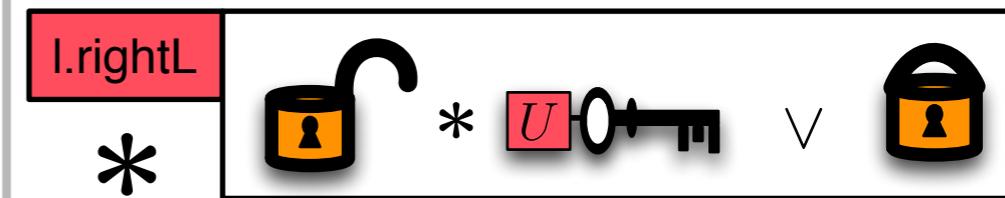
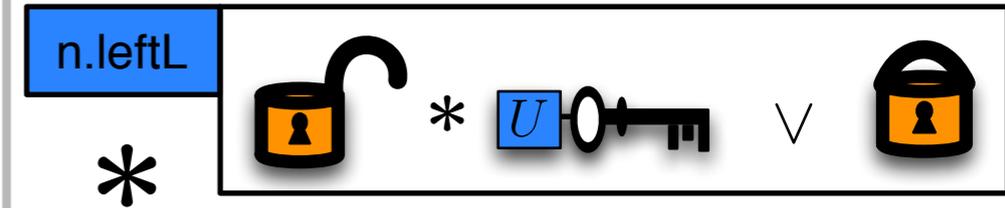
```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
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  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



isParentLock( $u^x$ )

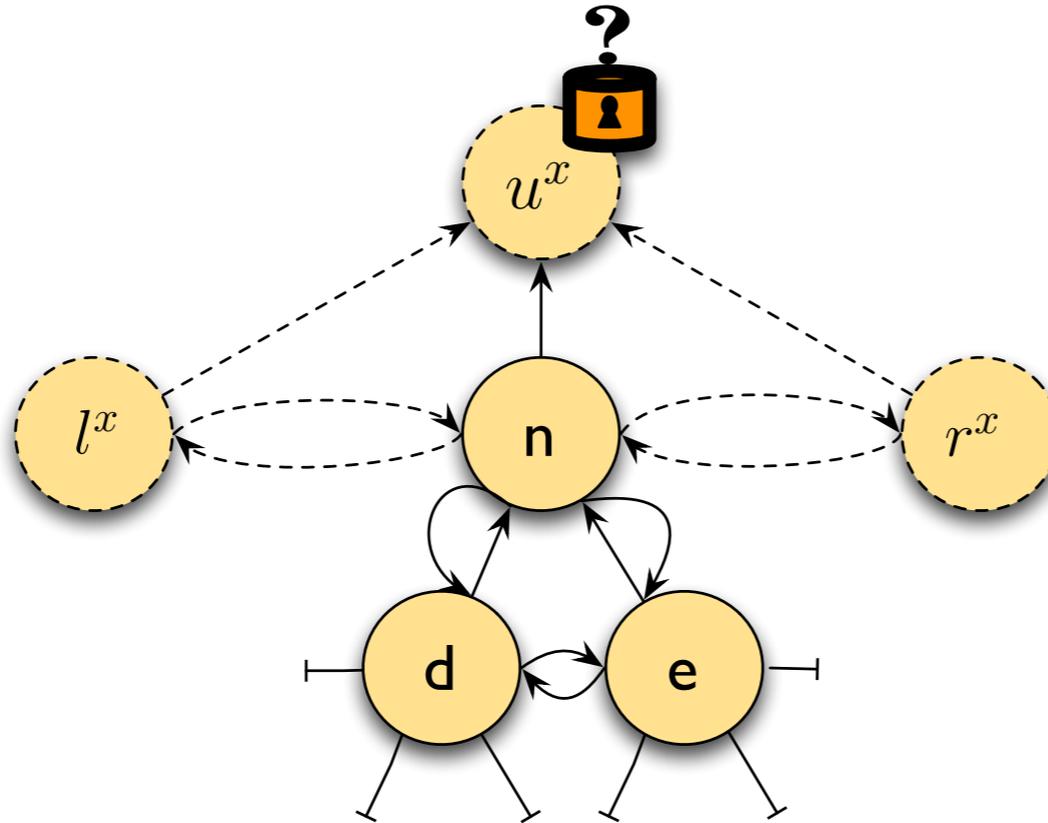


# Refinement (Axiomatic Correctness)

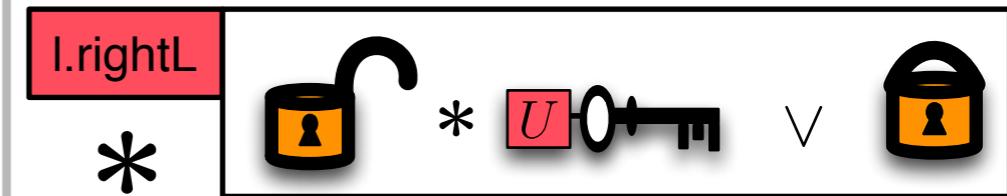
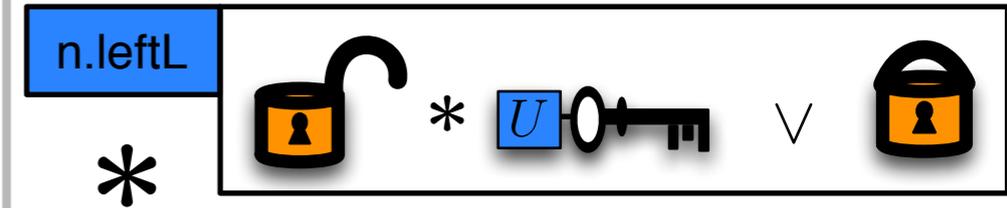
```

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  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
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  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



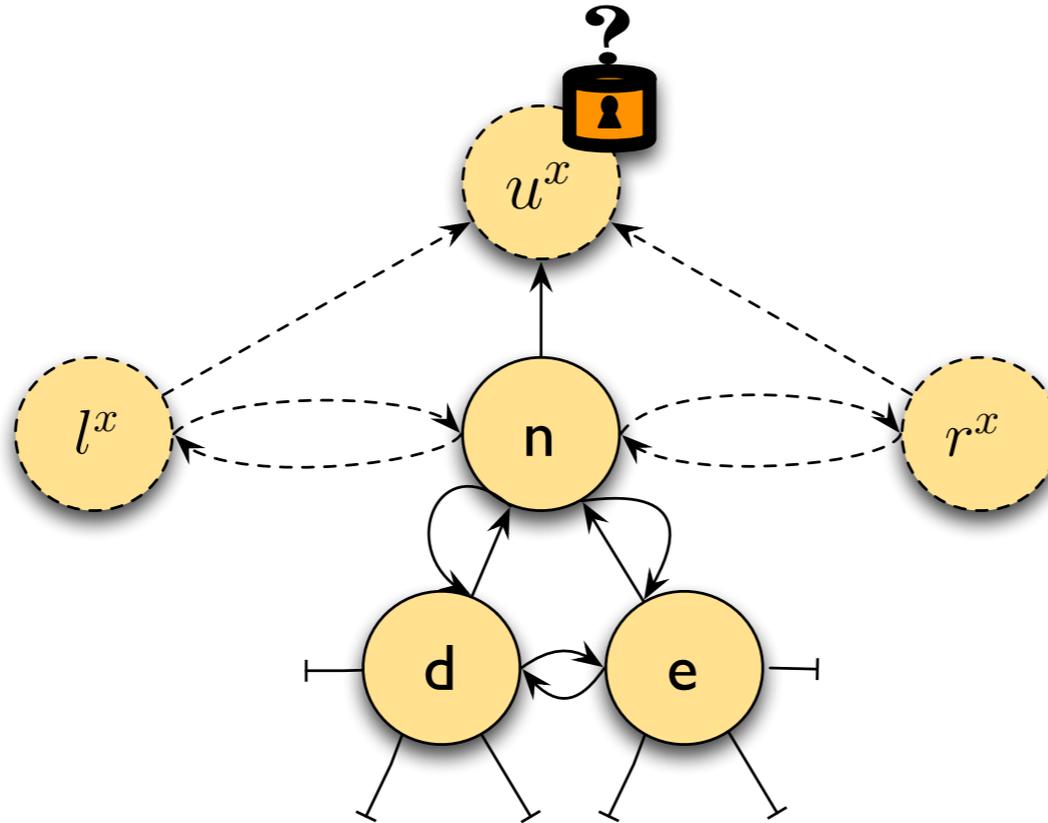
isParentLock( $u^x$ )



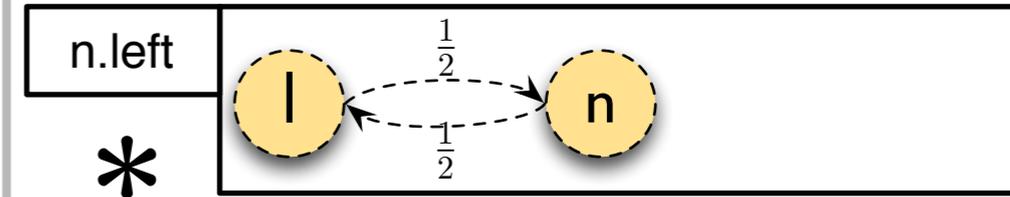
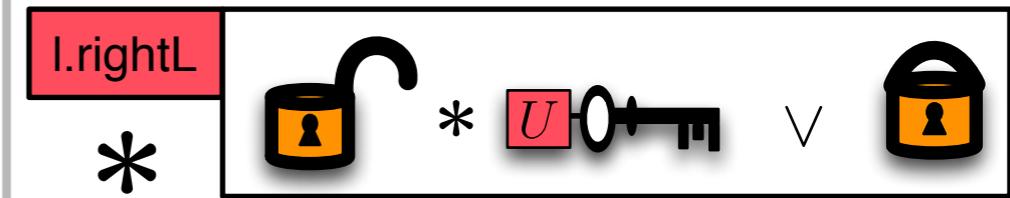
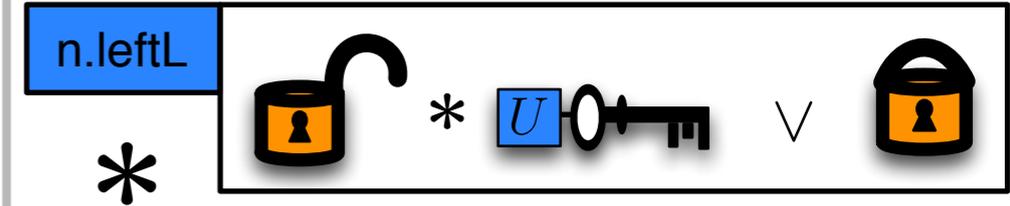
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
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  call disposeForest(d);
  disposeNode(n);
}
  
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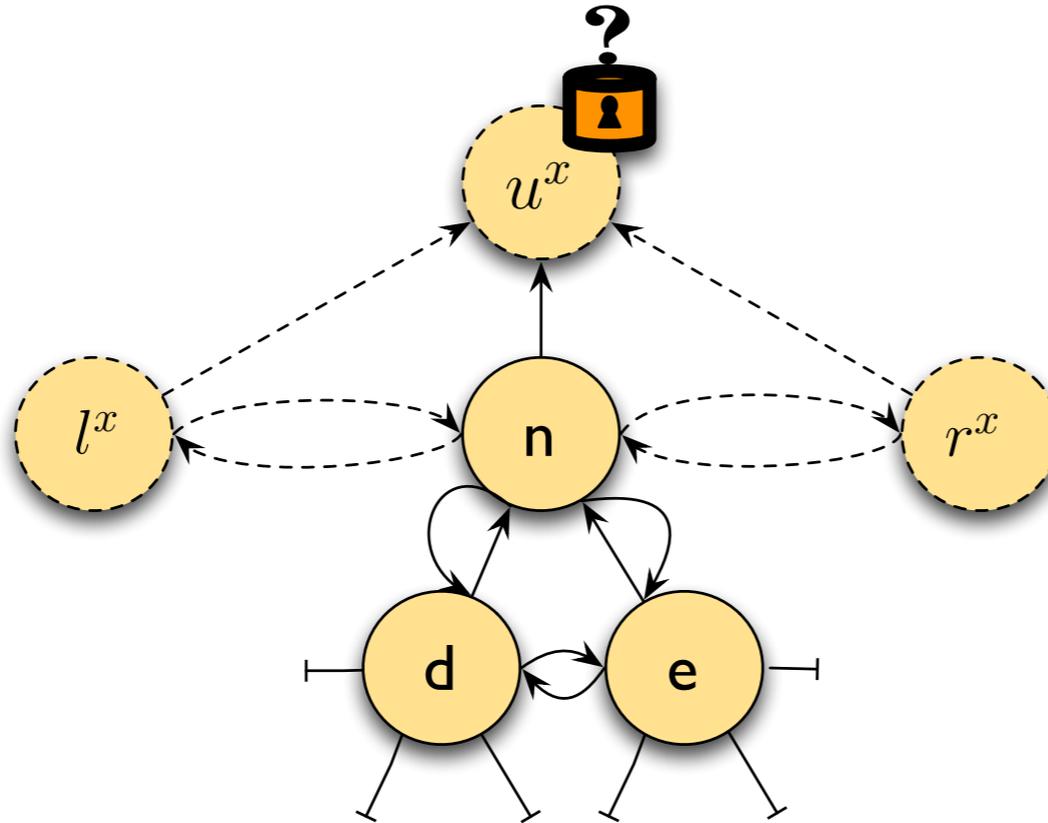
isParentLock( $u^x$ )



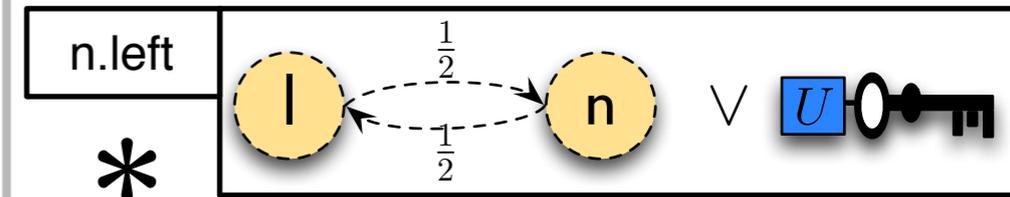
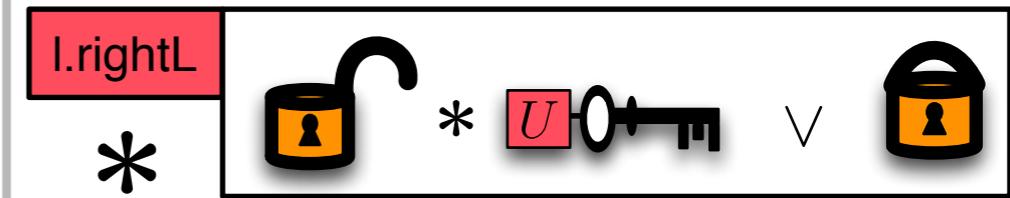
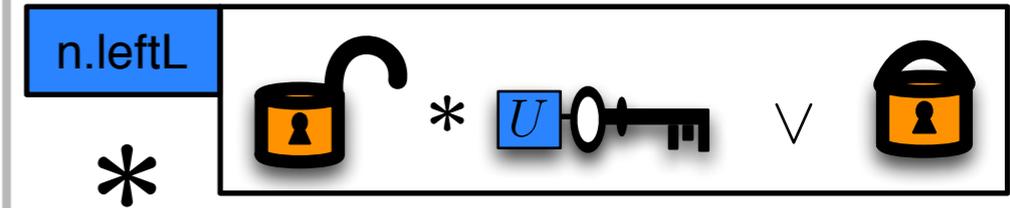
# Refinement (Axiomatic Correctness)

```

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  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
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  disposeNode(n);
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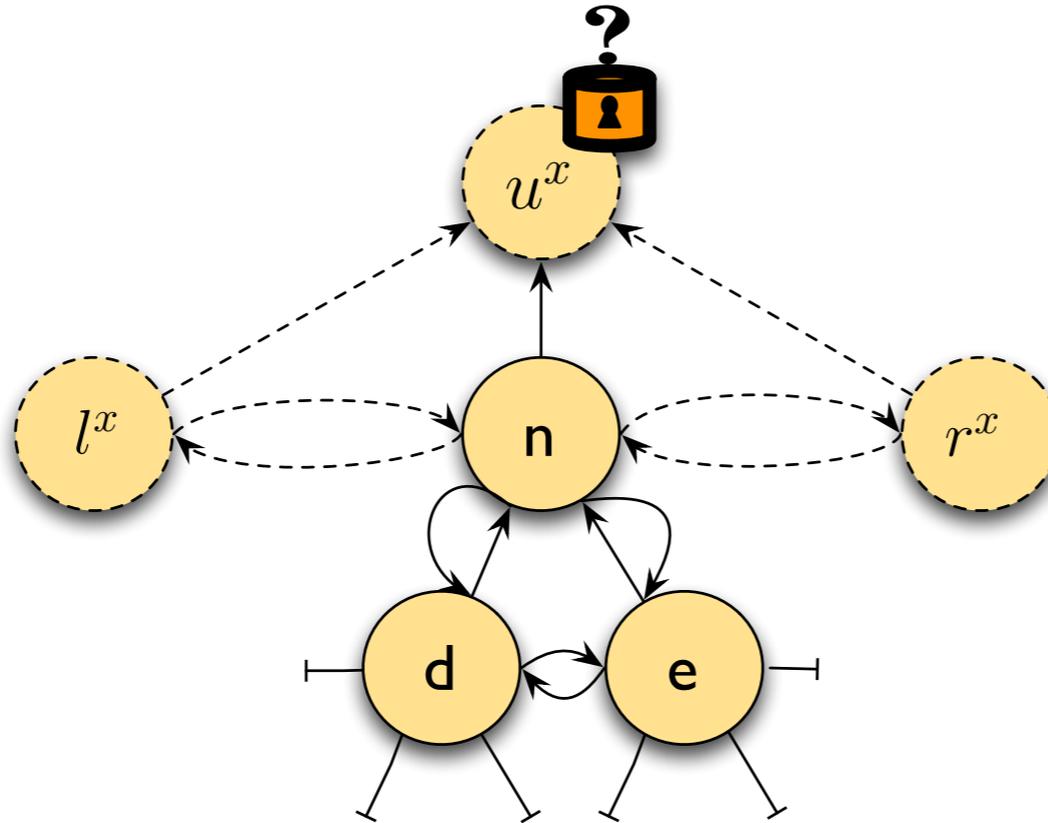
isParentLock( $u^x$ )



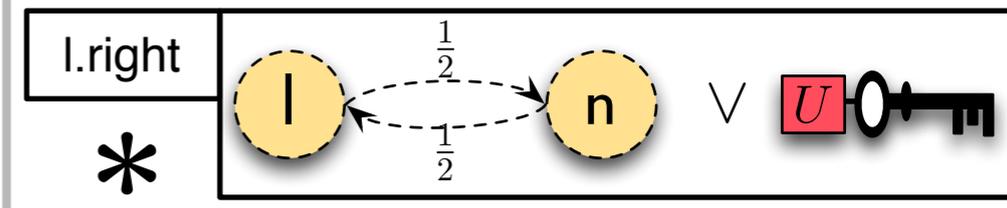
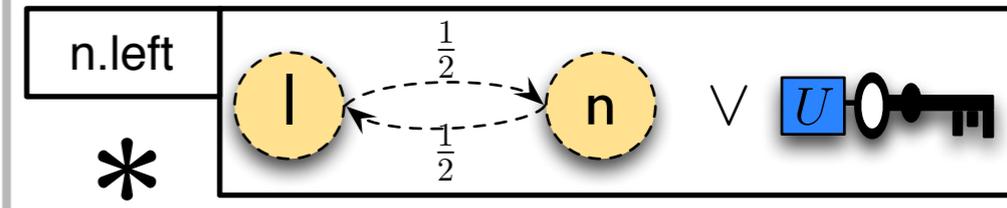
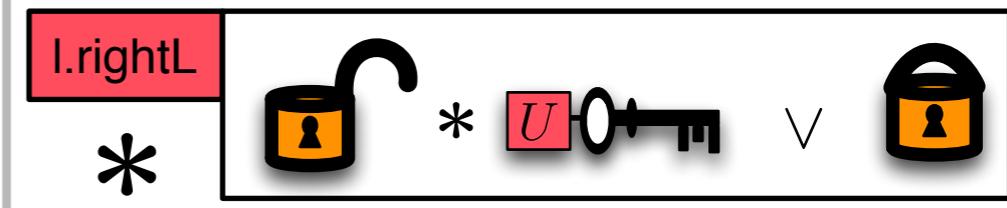
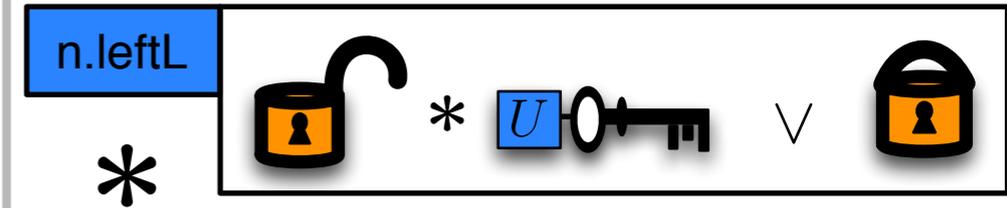
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  lock(n.leftL); l:= [n.left];
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  else if u ≠ null then lock(u.firstL);
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isParentLock( $u^x$ )



# Refinement (Axiomatic Correctness)

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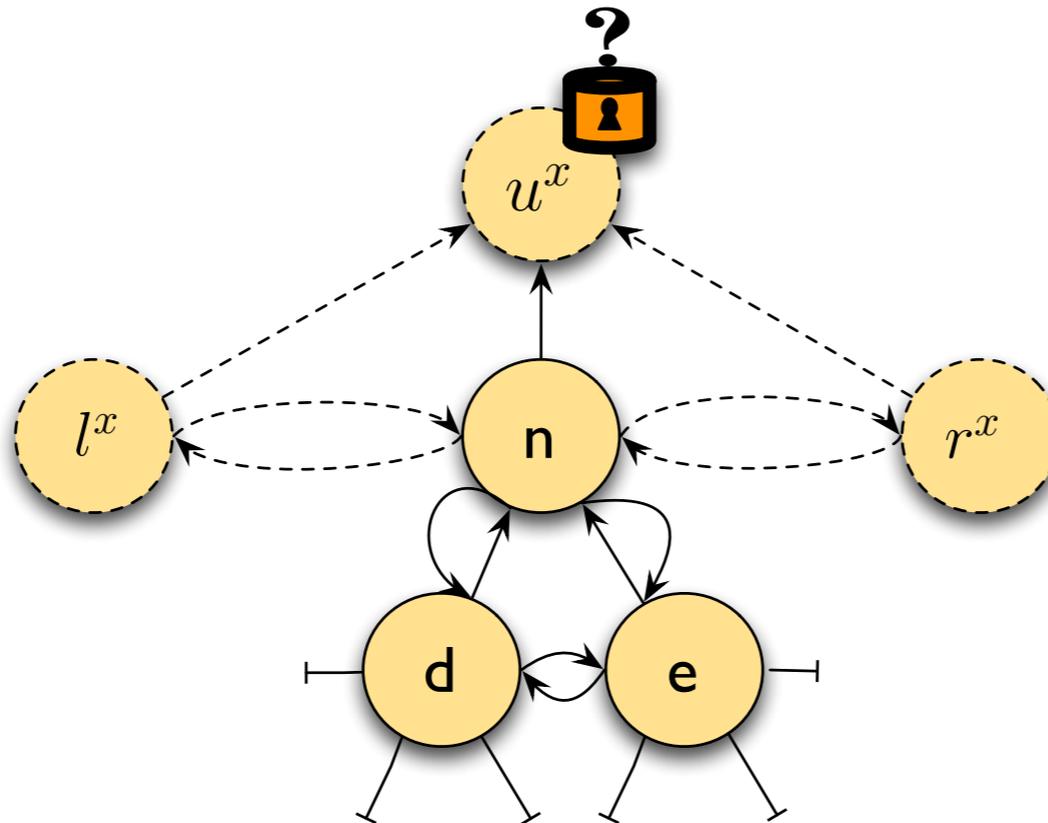
```

**u := n.up;**  
**lock(u);**

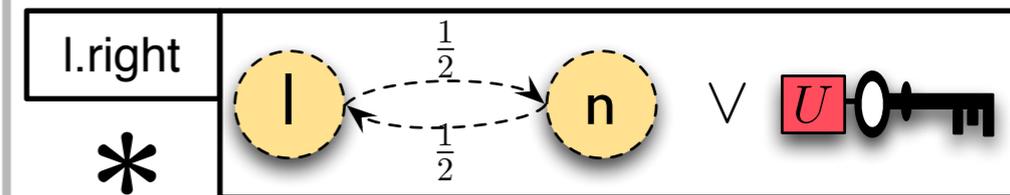
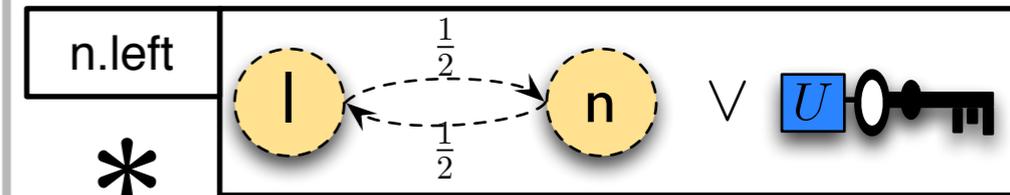
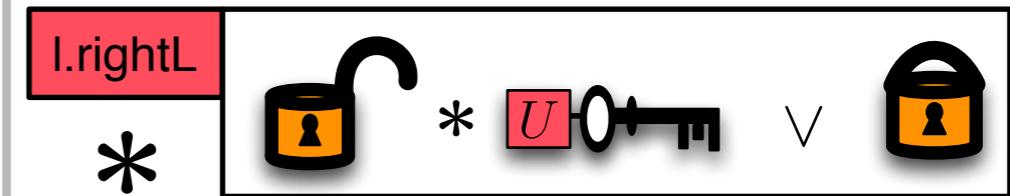
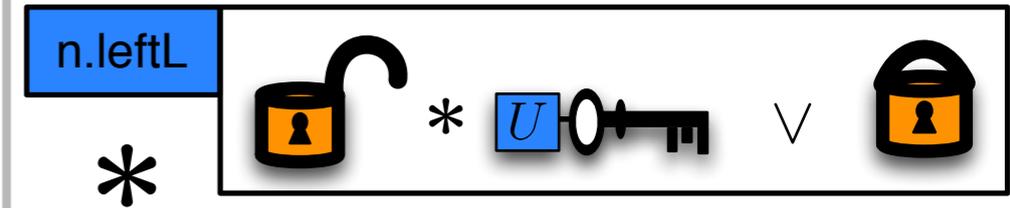
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isParentLock( $u^x$ )



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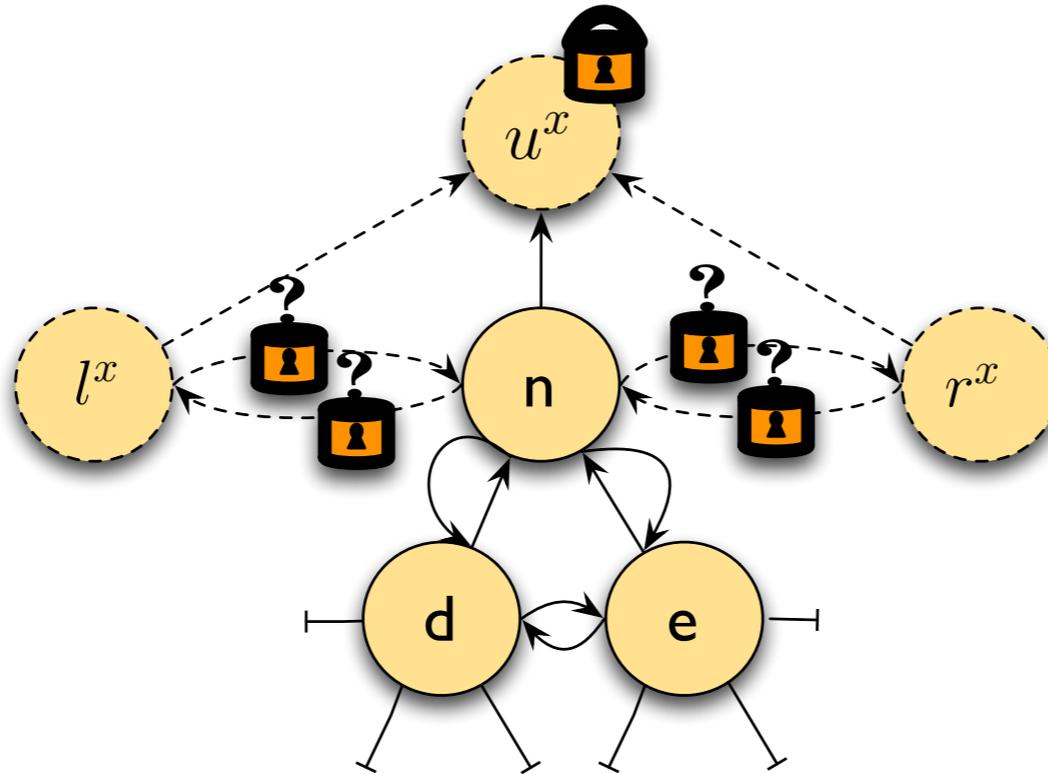
```

**u := n.up;**  
**lock(u);**

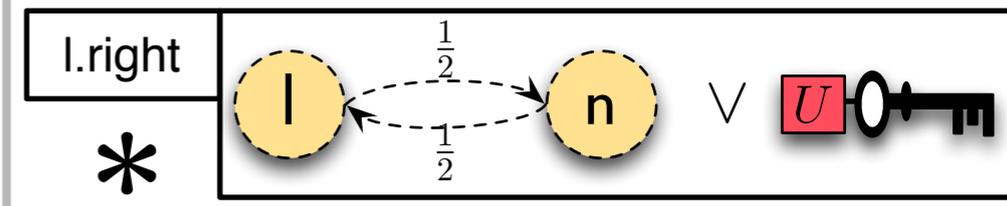
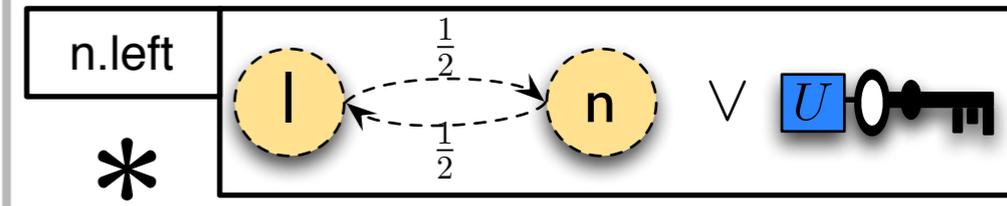
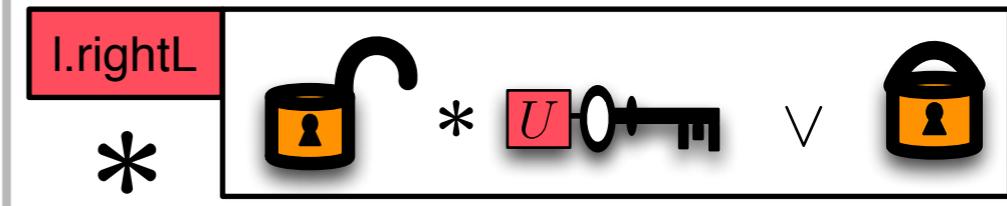
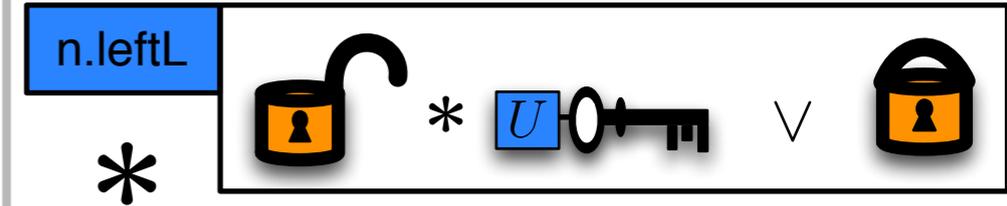
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  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
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  call disposeForest(d);
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isParentLock( $u^x$ )



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  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

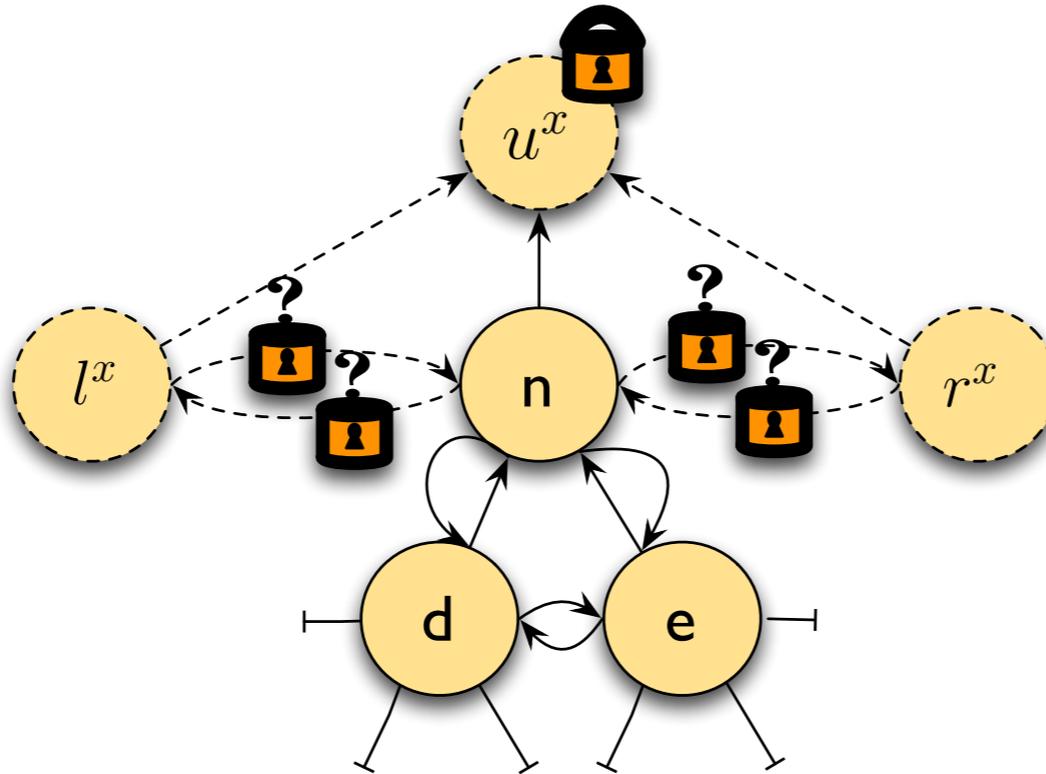
```

**u := n.up;**  
**lock(u);**

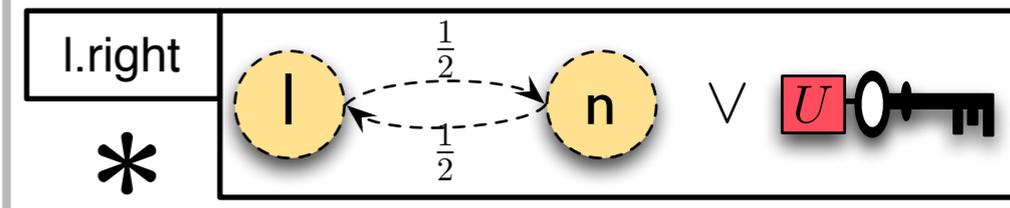
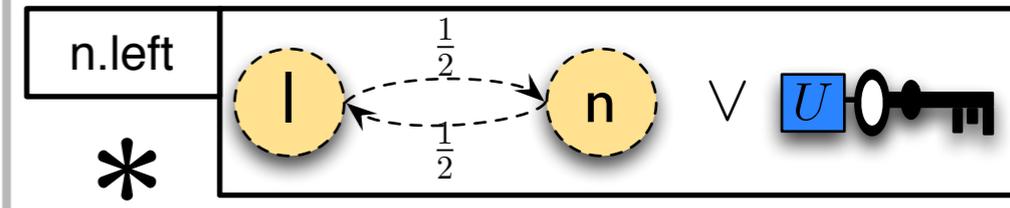
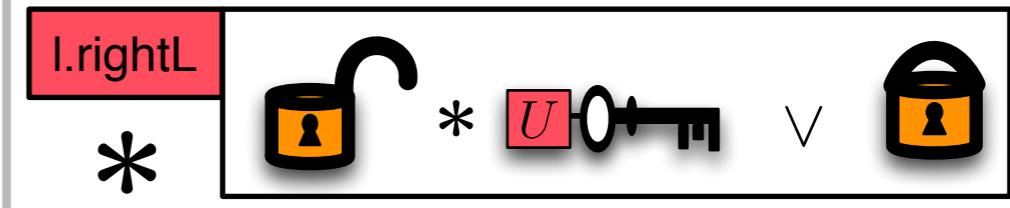
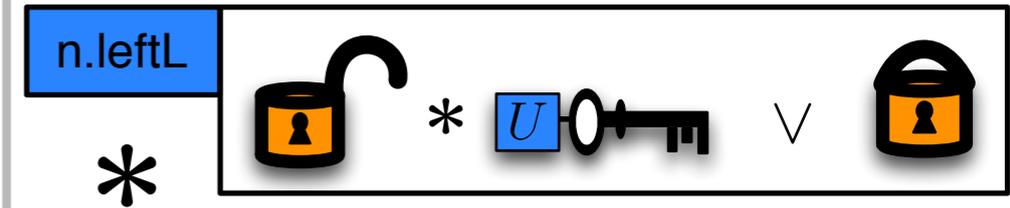
```

  if l ≠ null then [l.right] := l;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

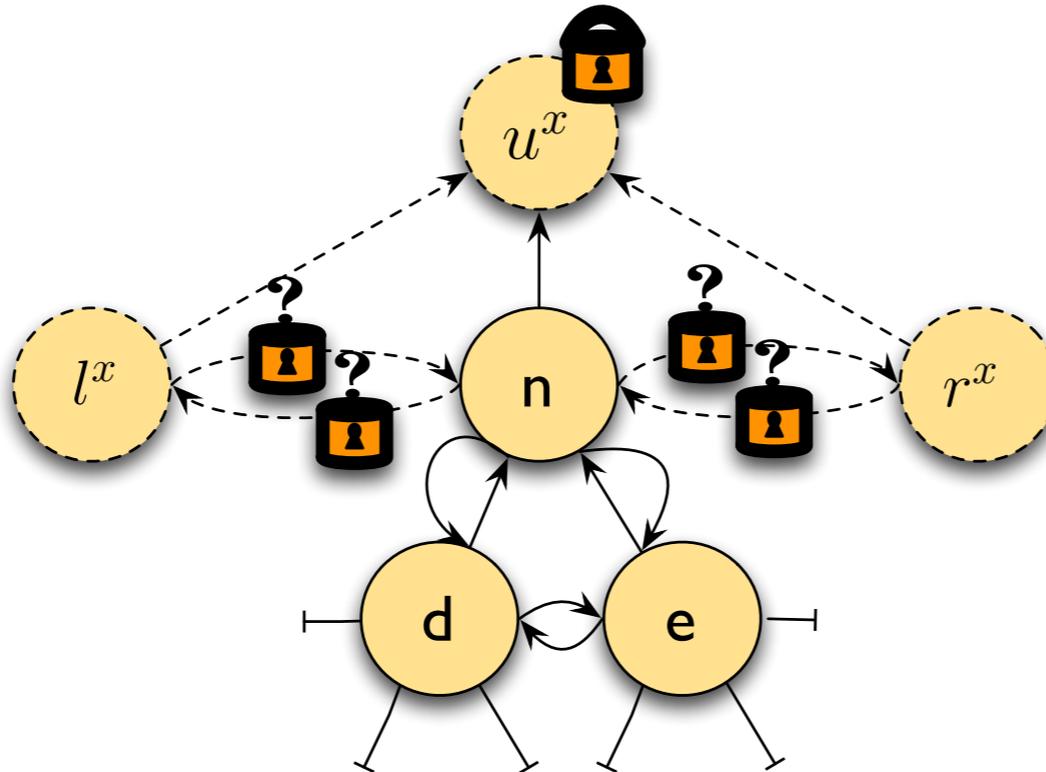
```

**u := n.up;  
lock(u);**

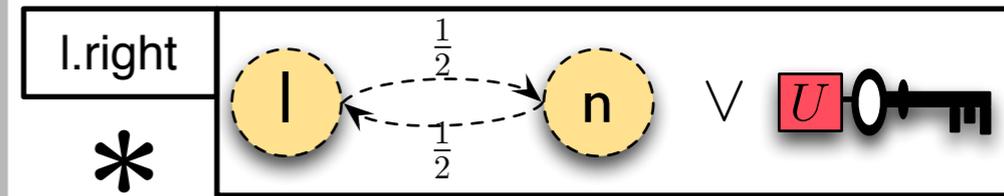
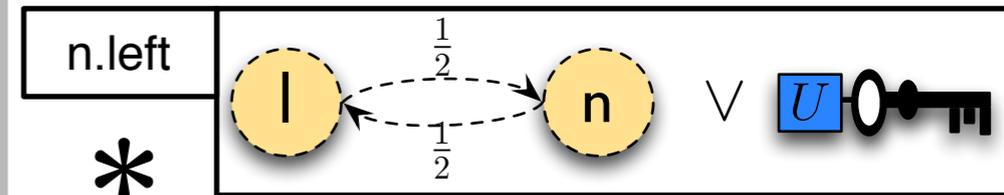
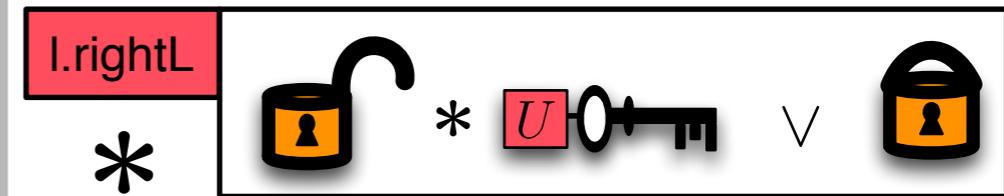
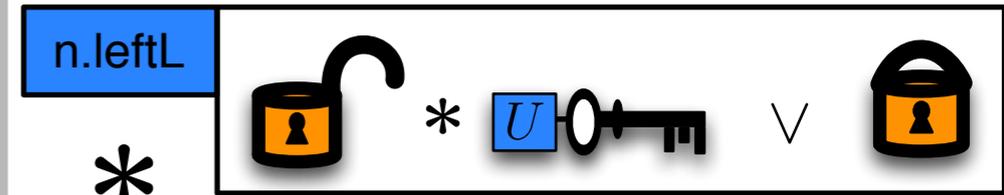
```

  if l ≠ null then [l.right] := l;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

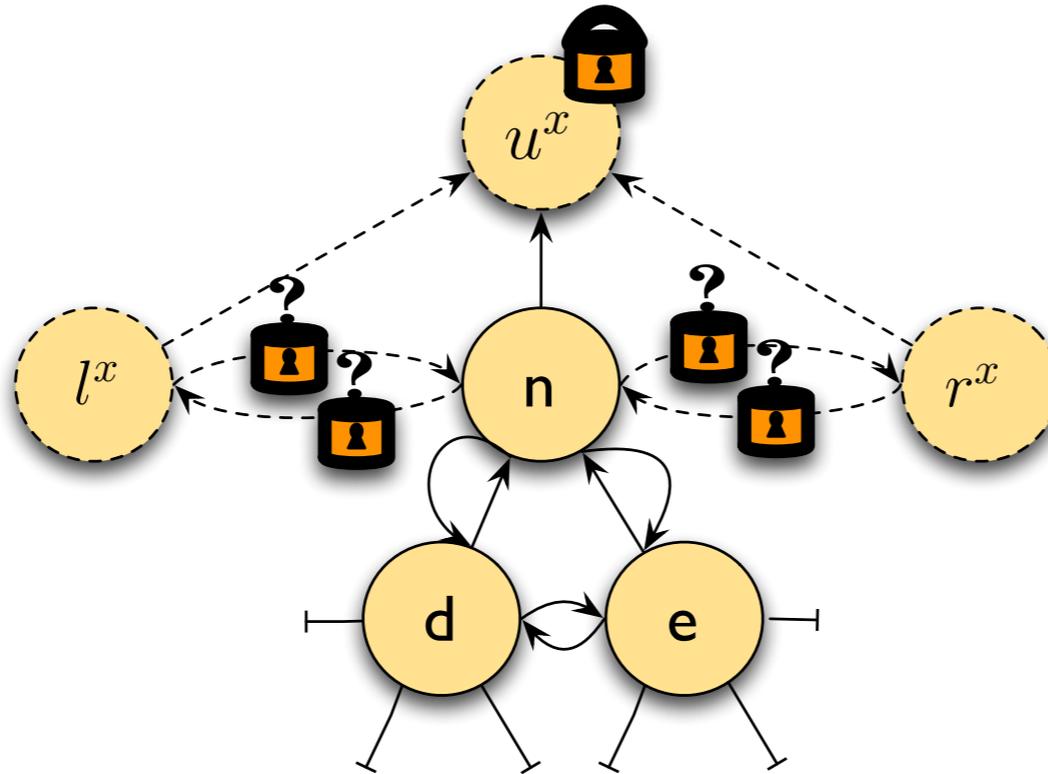
```

**u := n.up;**  
**lock(u);**

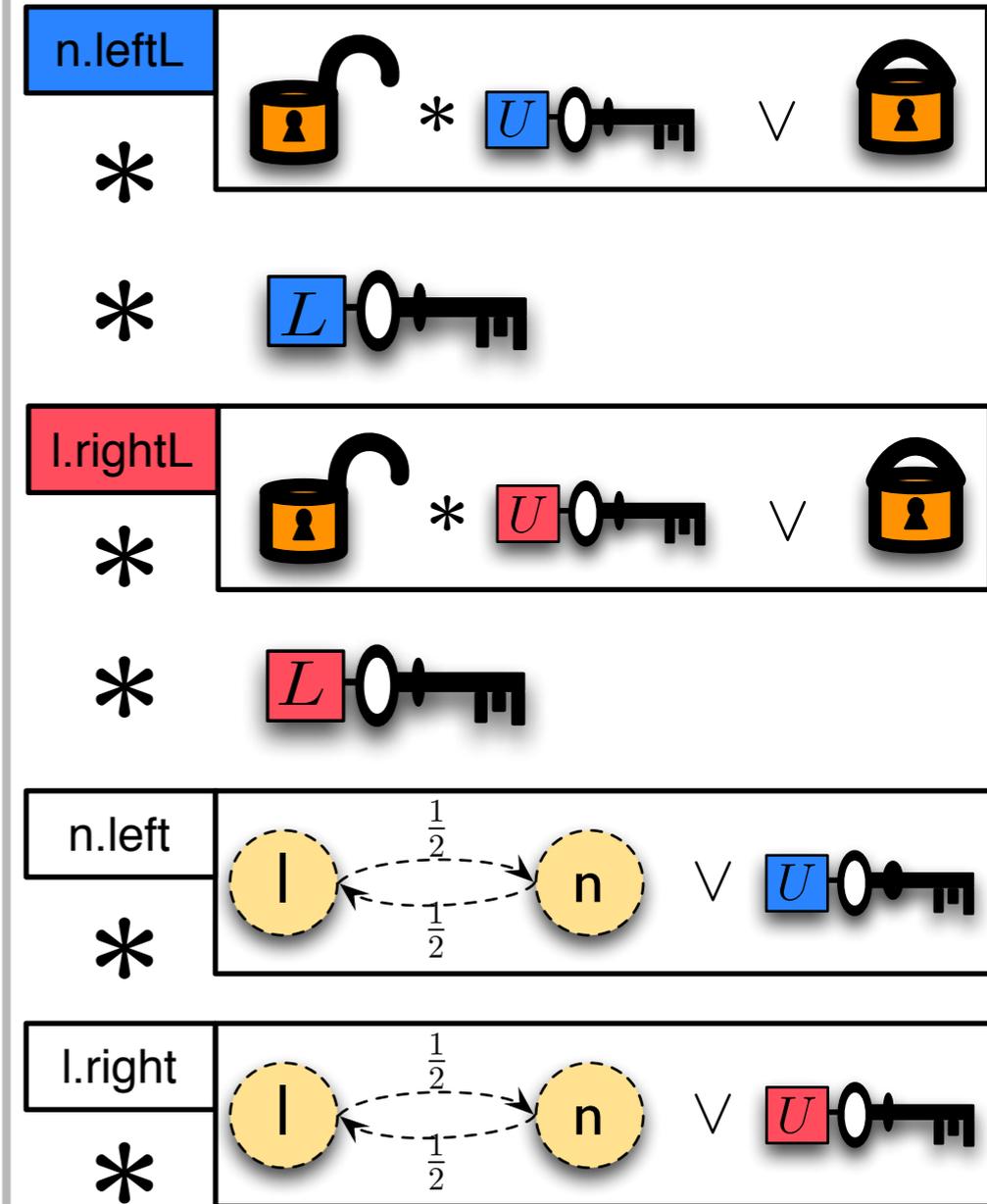
```

  if l ≠ null then [l.right] := l;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

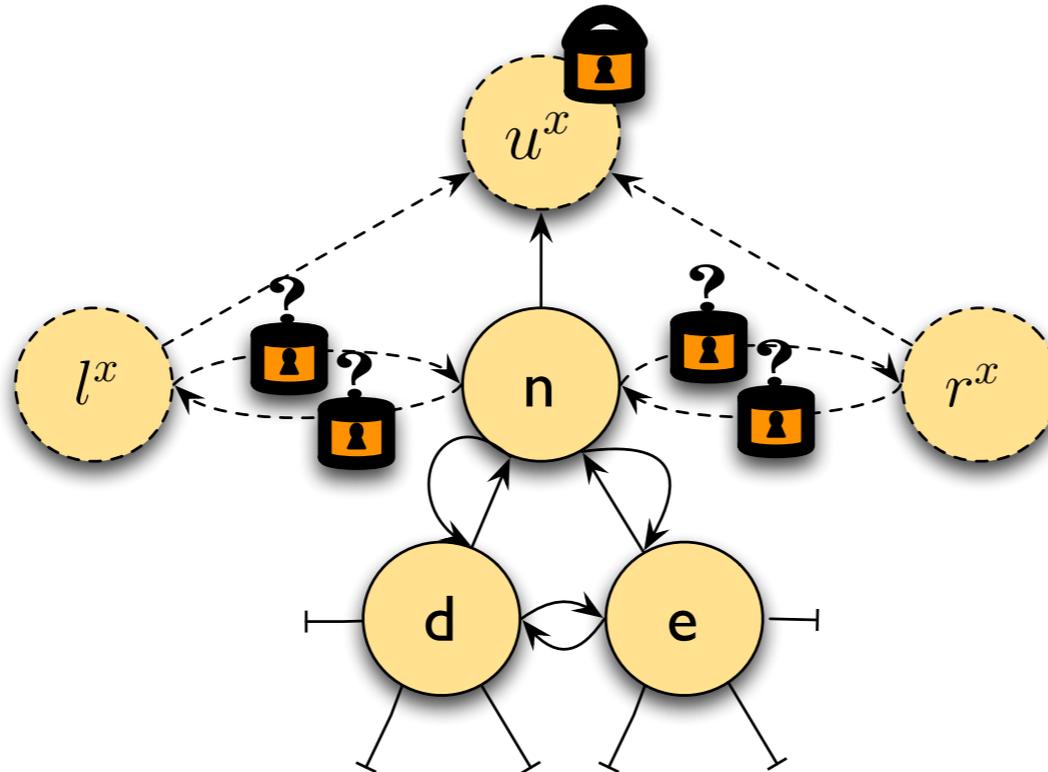
```

**lock(n.left);**

```

else if u ≠ null then lock(u.lastL);
unlock(ul);
//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



parentLocked( $u^x$ )

**n.leftL**

\* \* ∨

\*

**l.rightL**

\* \* ∨

\*

**n.left**

\*  $\xleftrightarrow{\frac{1}{2}}$   $\xleftrightarrow{\frac{1}{2}}$  ∨

**l.right**

\*  $\xleftrightarrow{\frac{1}{2}}$   $\xleftrightarrow{\frac{1}{2}}$  ∨

# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

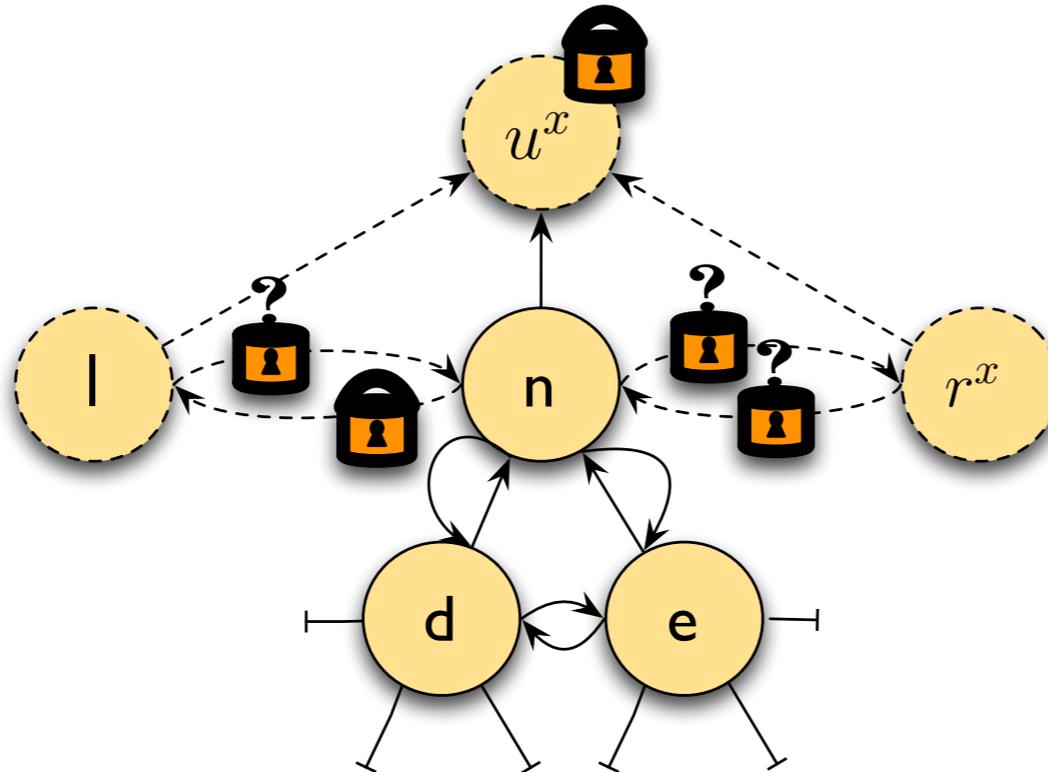
```

**lock(n.left);**

```

  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )

**n.leftL**

\* \* ∨

\*

**l.rightL**

\* \* ∨

\*

**n.left**

\*  $\xrightarrow{\frac{1}{2}}$   $\xrightarrow{\frac{1}{2}}$  ∨

**l.right**

\*  $\xrightarrow{\frac{1}{2}}$   $\xrightarrow{\frac{1}{2}}$  ∨

# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

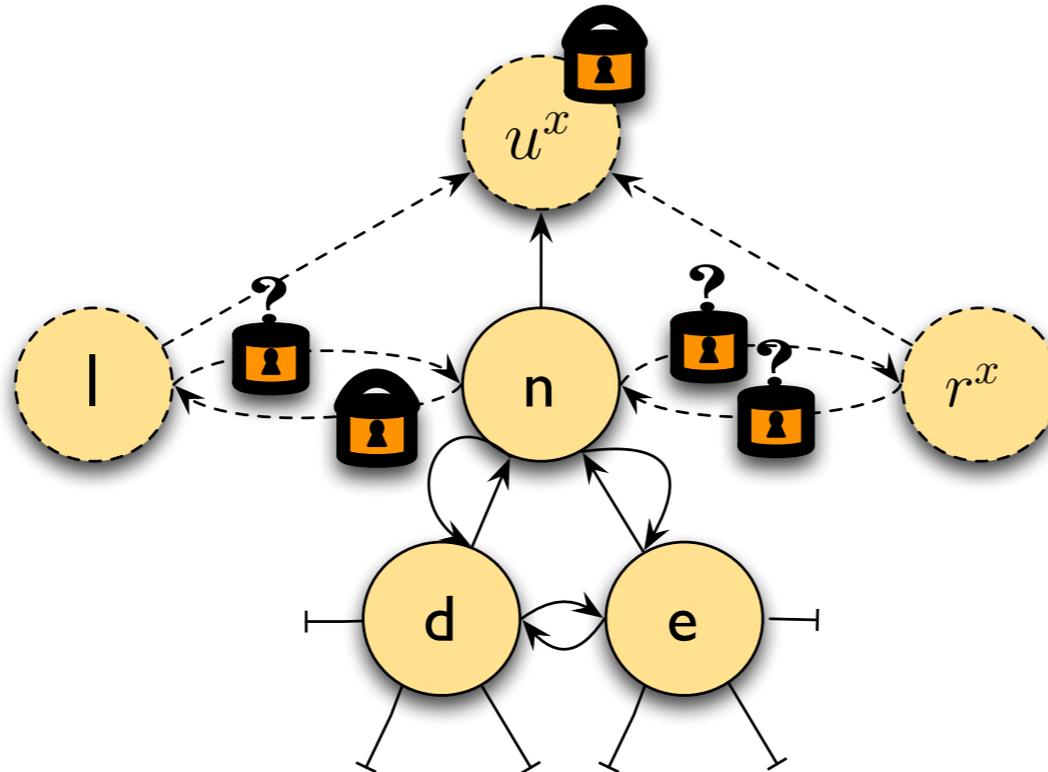
```

**lock(n.left);**

```

  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )

\*  $U$  \*  $n.leftL$

\*  $L$

$l.rightL$  \*  $U$   $\vee$   $U$

\*  $L$

$n.left$  \*  $l \xrightarrow{\frac{1}{2}} n \xrightarrow{\frac{1}{2}} l$   $\vee$   $U$

$l.right$  \*  $l \xrightarrow{\frac{1}{2}} n \xrightarrow{\frac{1}{2}} l$   $\vee$   $U$

# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)

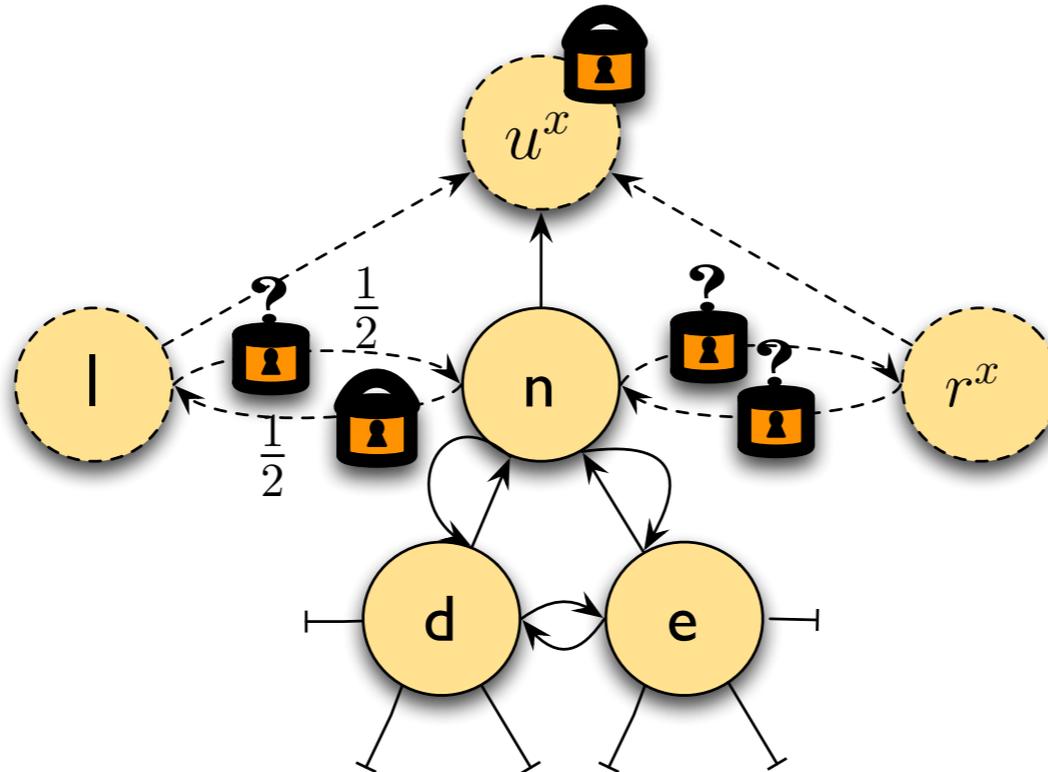
```

**lock(n.left);**

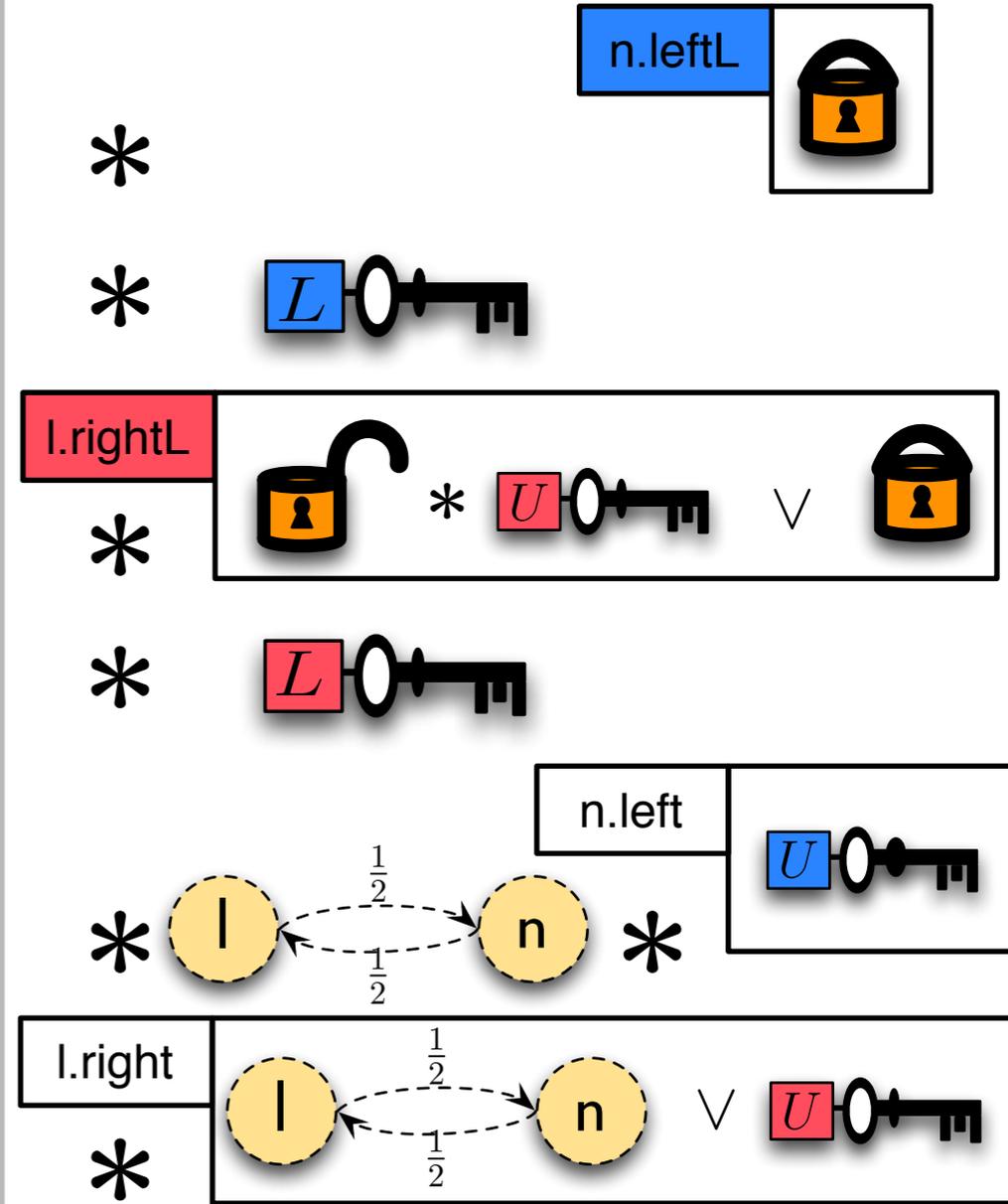
```

else if u ≠ null then lock(u.lastL);
unlock(ul);
//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL):

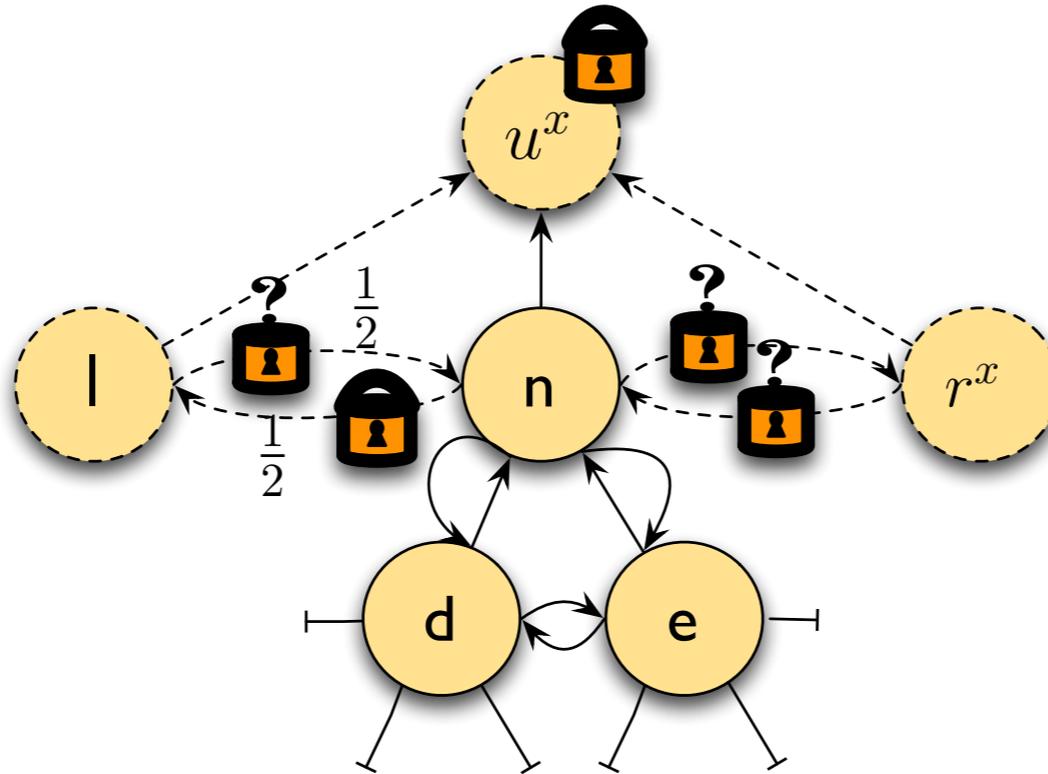
```

**l := n.left;**  
**lock(l.right);**

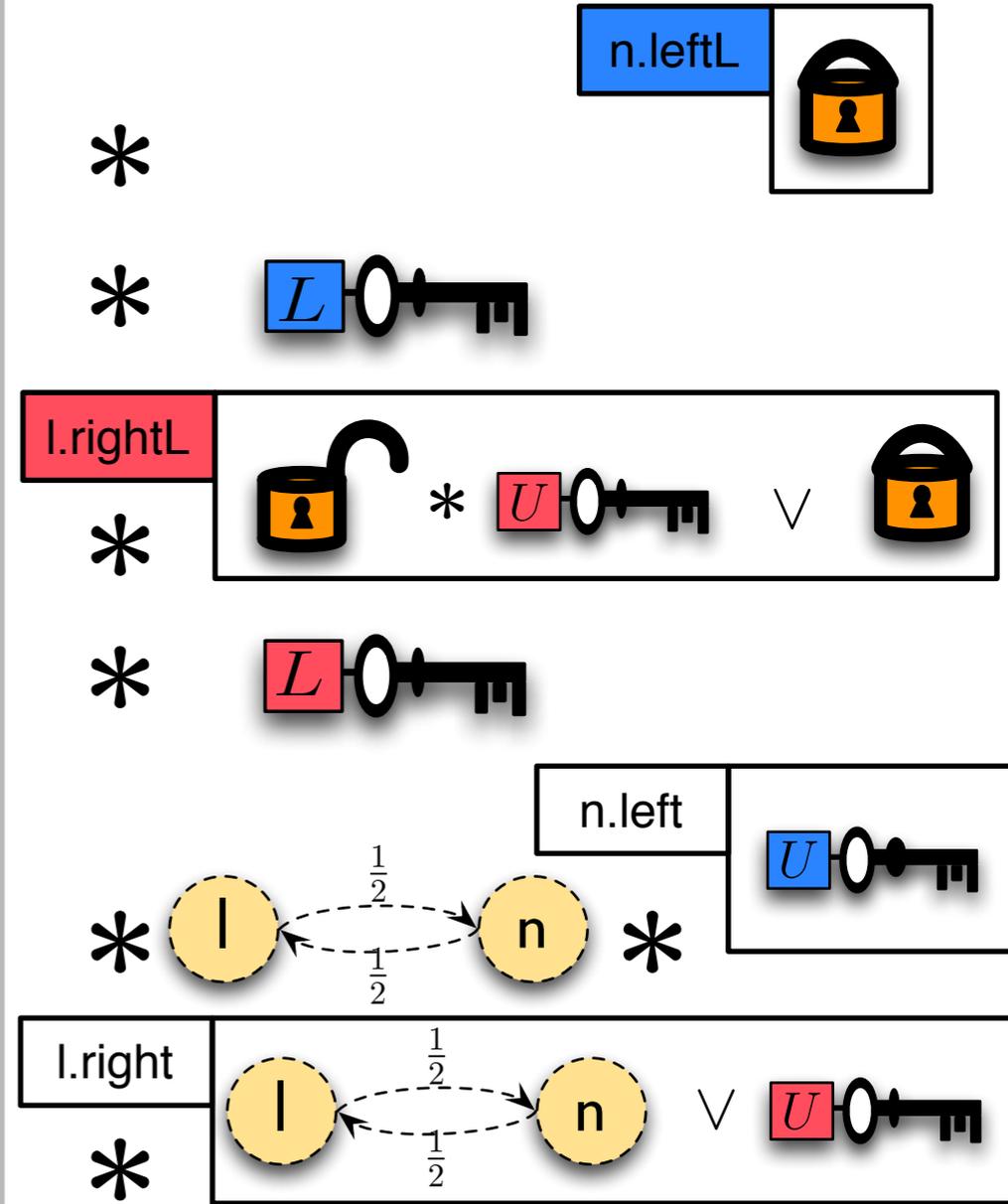
```

//Unlocking the acquired locks.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

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  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL):

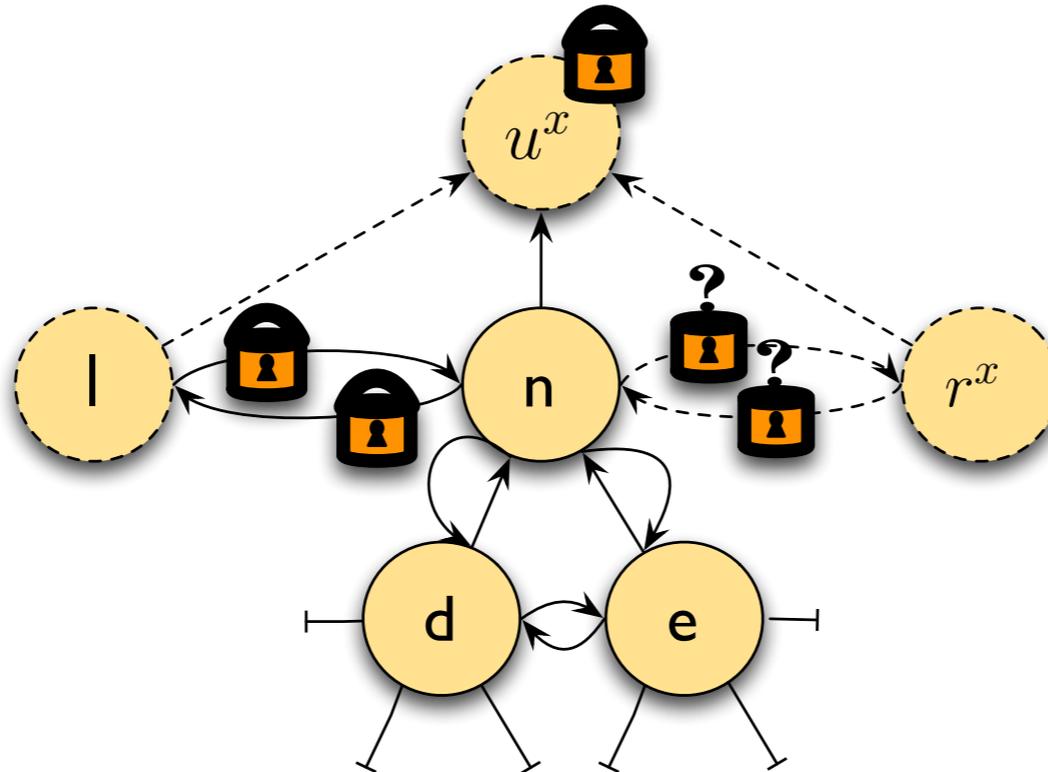
```

**l := n.left;**  
**lock(l.right);**

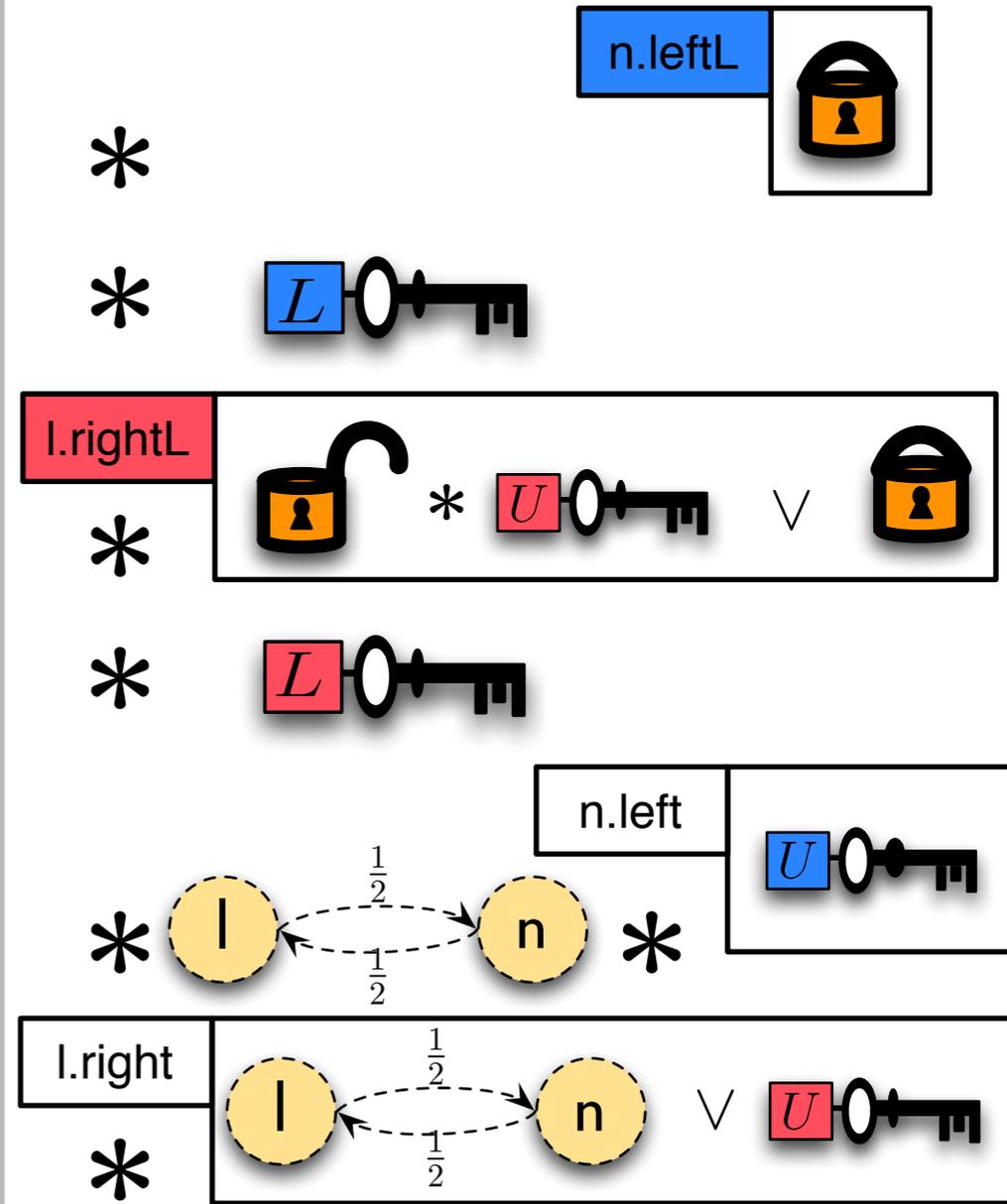
```

//Unlocking the acquired locks.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



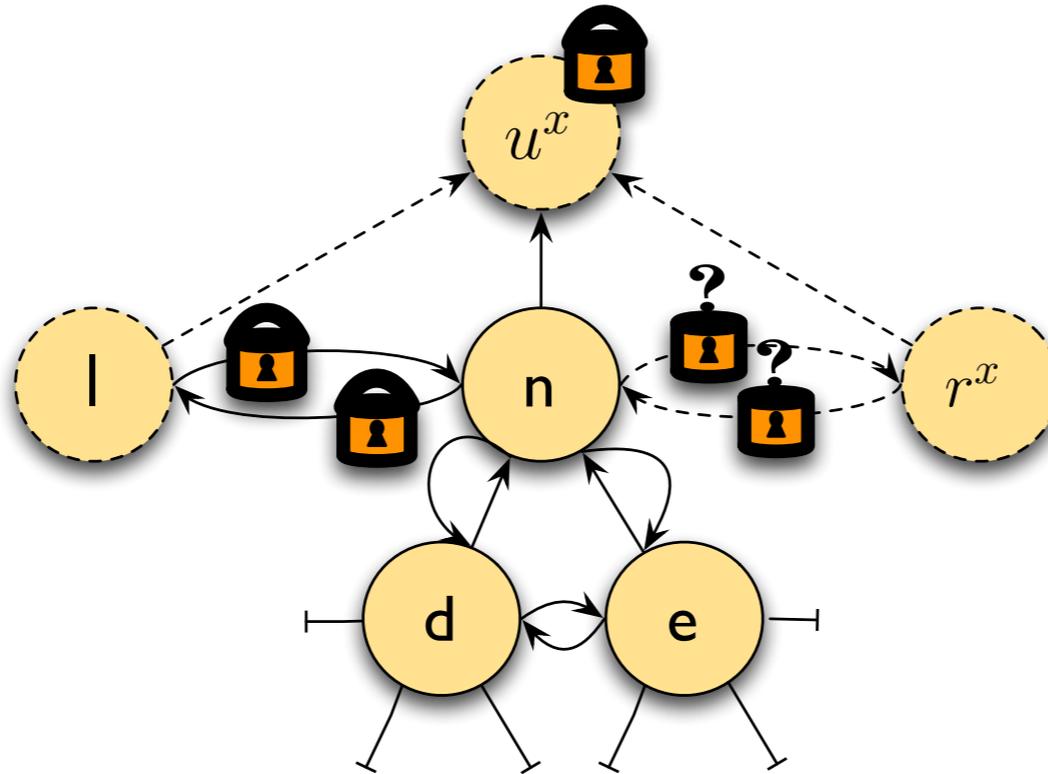
parentLocked( $u^x$ )



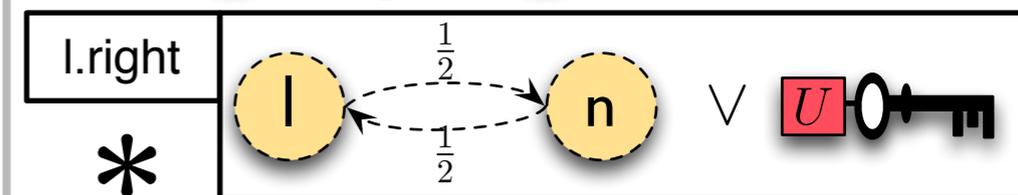
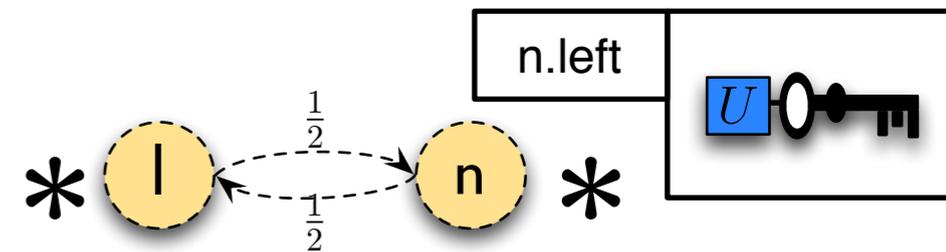
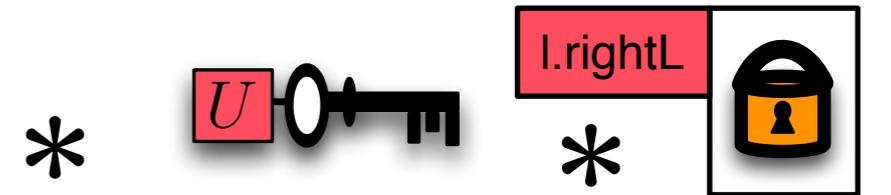
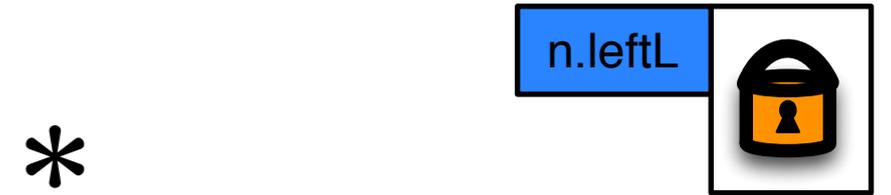
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  l:= n.left;
  lock(l.right);
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



parentLocked( $u^x$ )

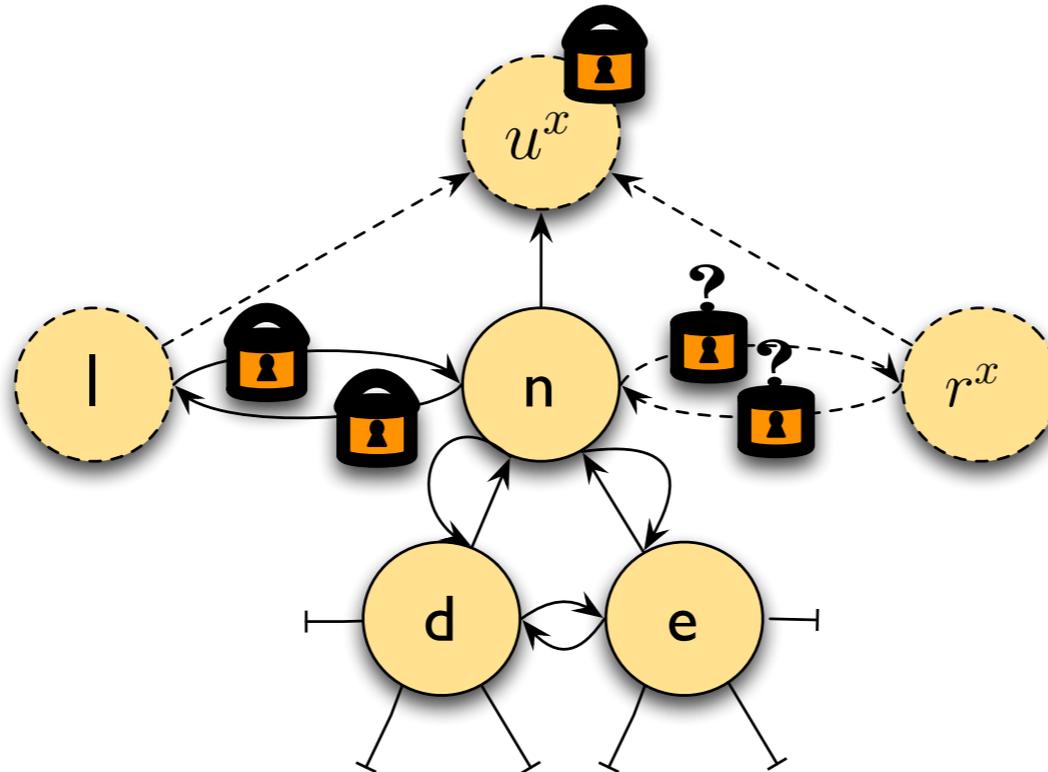


# Refinement (Axiomatic Correctness)

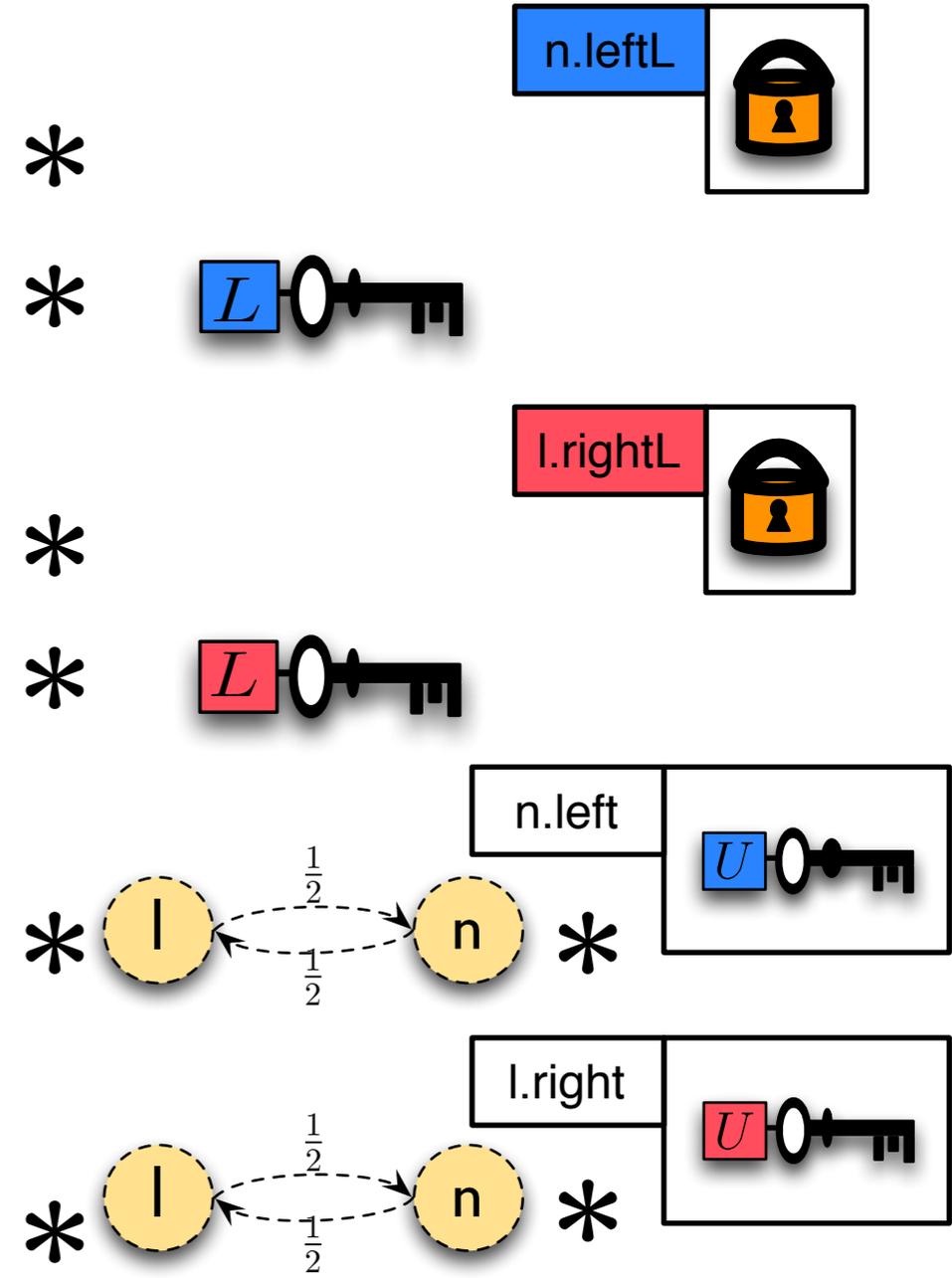
```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  l:= n.left;
  lock(l.right);
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



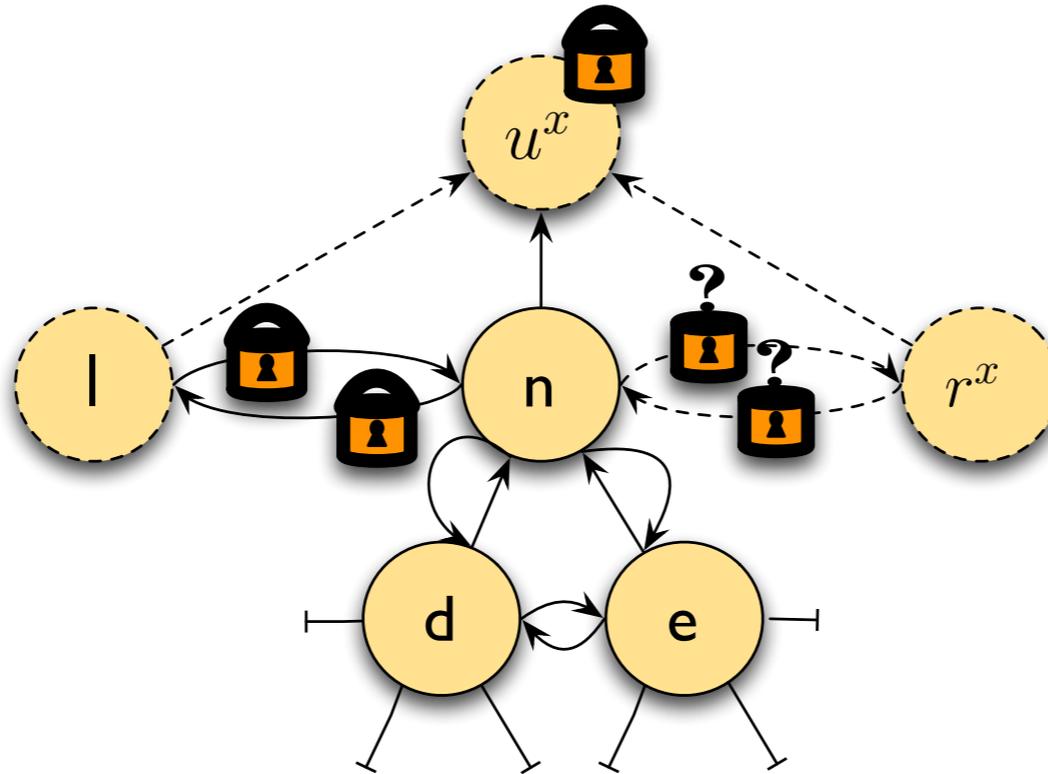
parentLocked( $u^x$ )



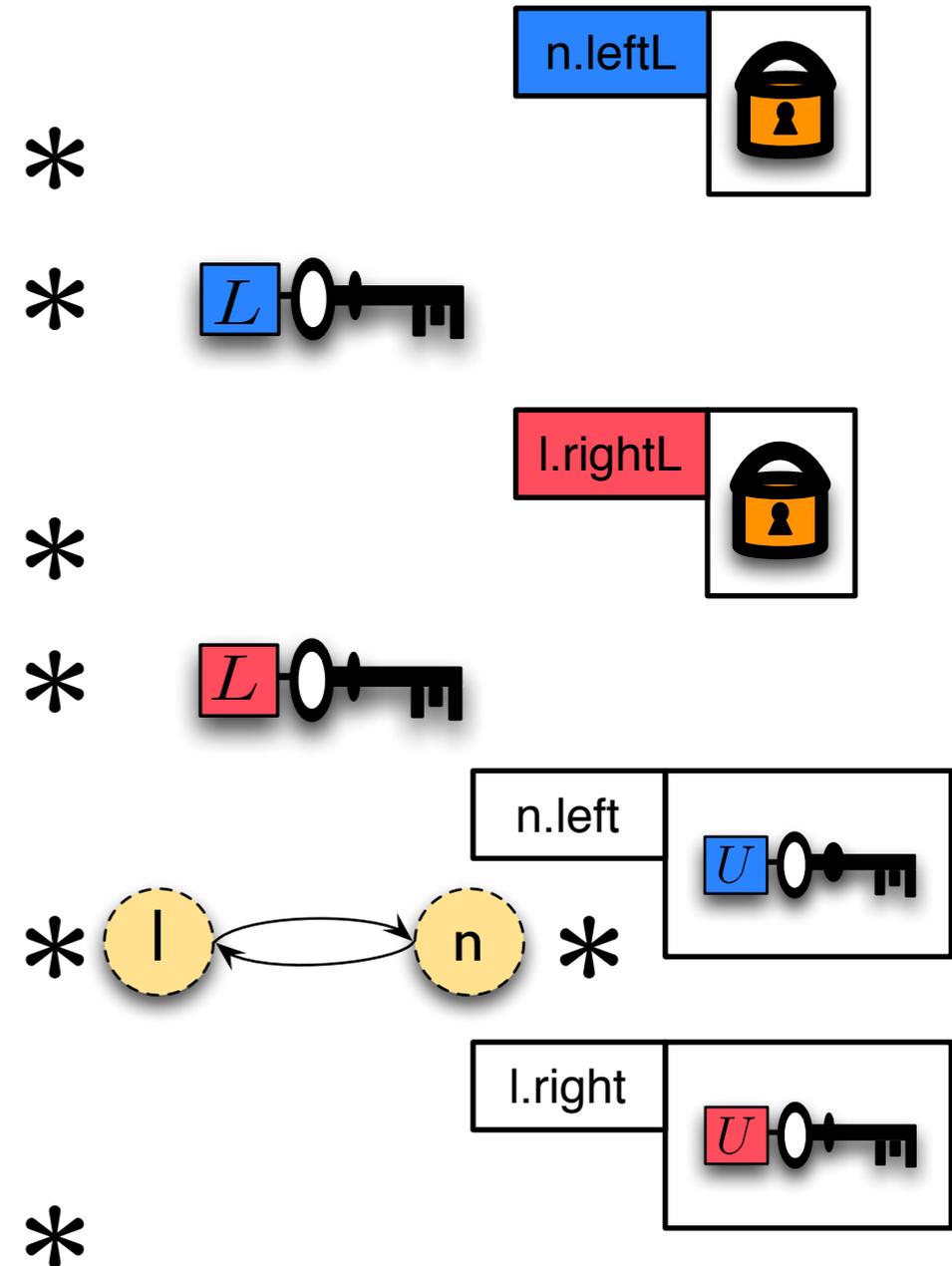
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



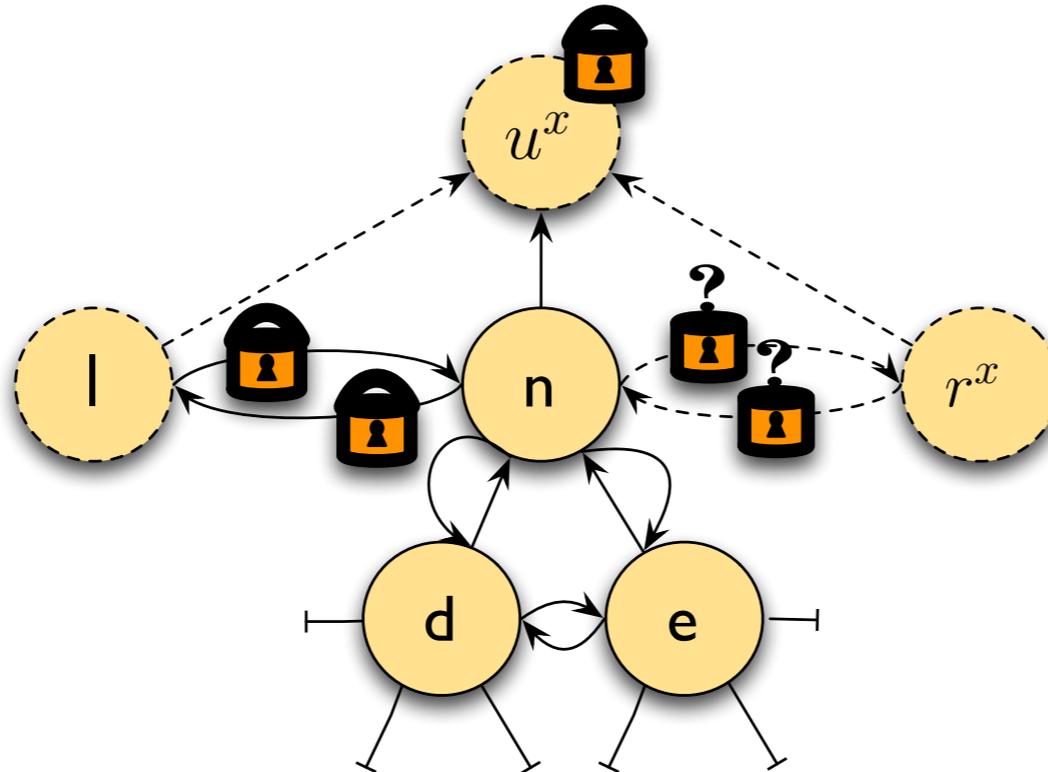
parentLocked( $u^x$ )



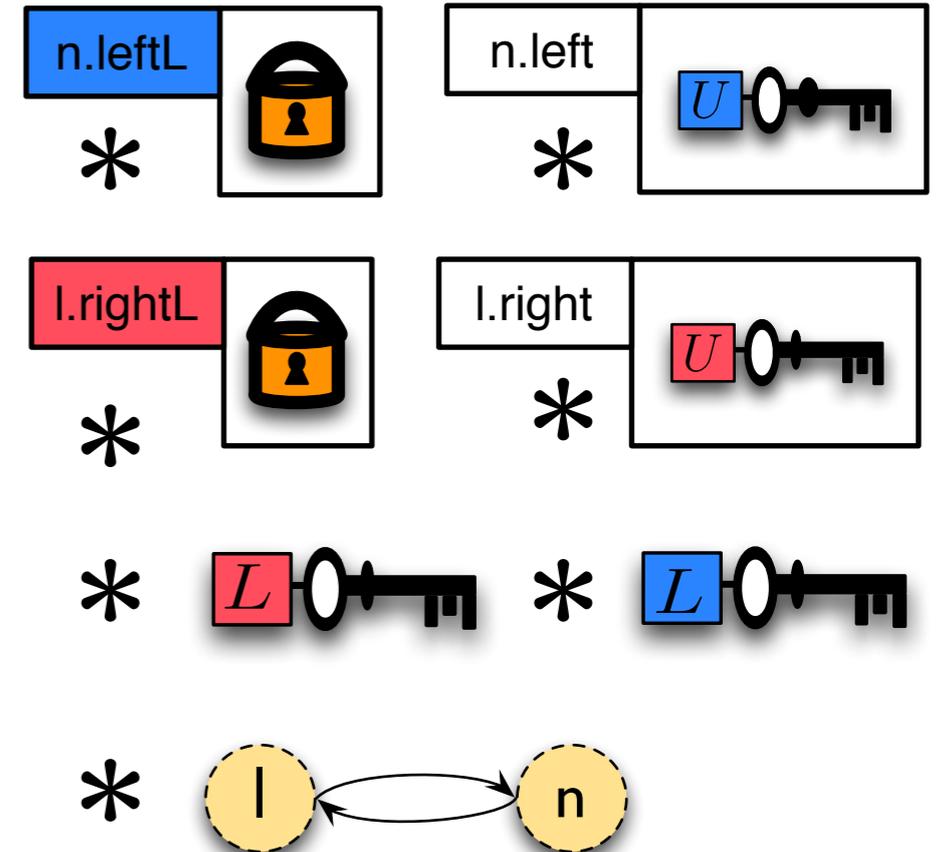
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
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  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
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  if r ≠ null then [r.left] := l;
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  call disposeForest(d);
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}
  
```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);

```

//Do the same for RHS

```

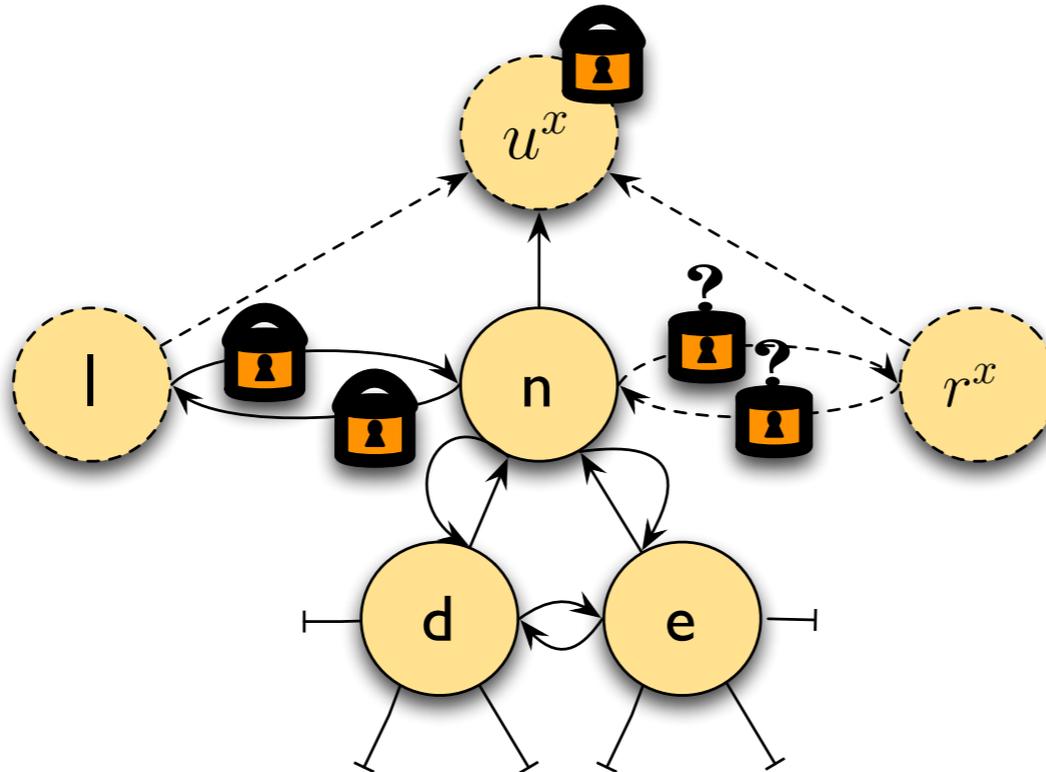
lock(n.right);
r:= n.right;
lock(r.left);

```

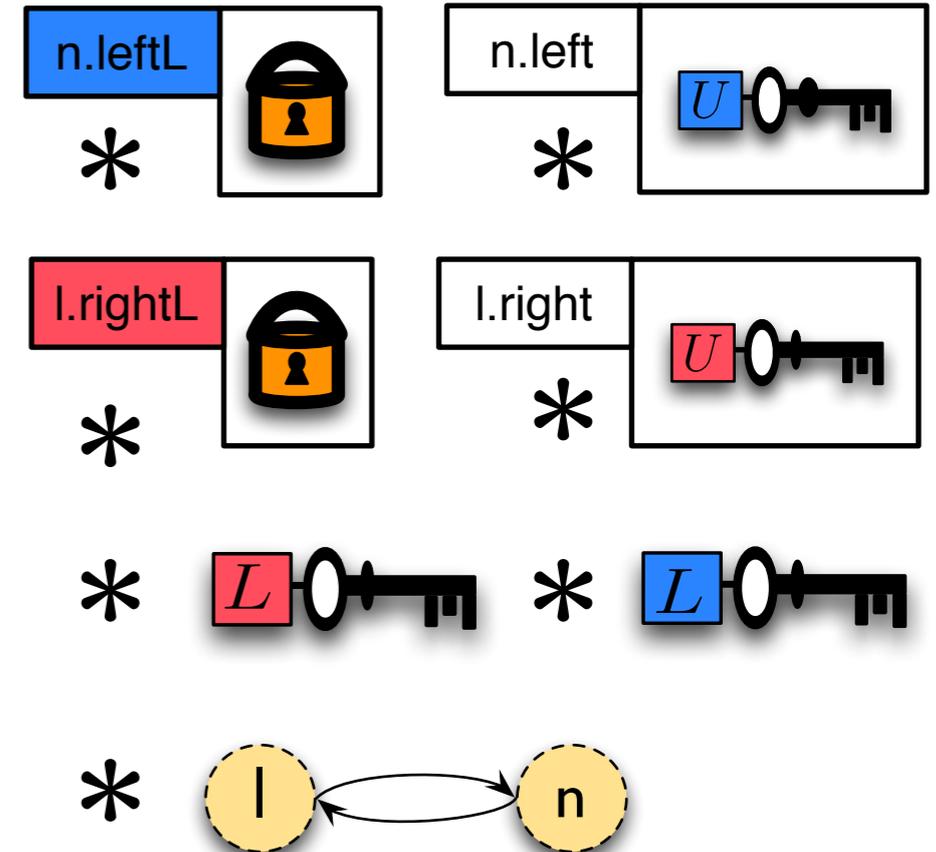
```

if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);

```

//Do the same for RHS

```

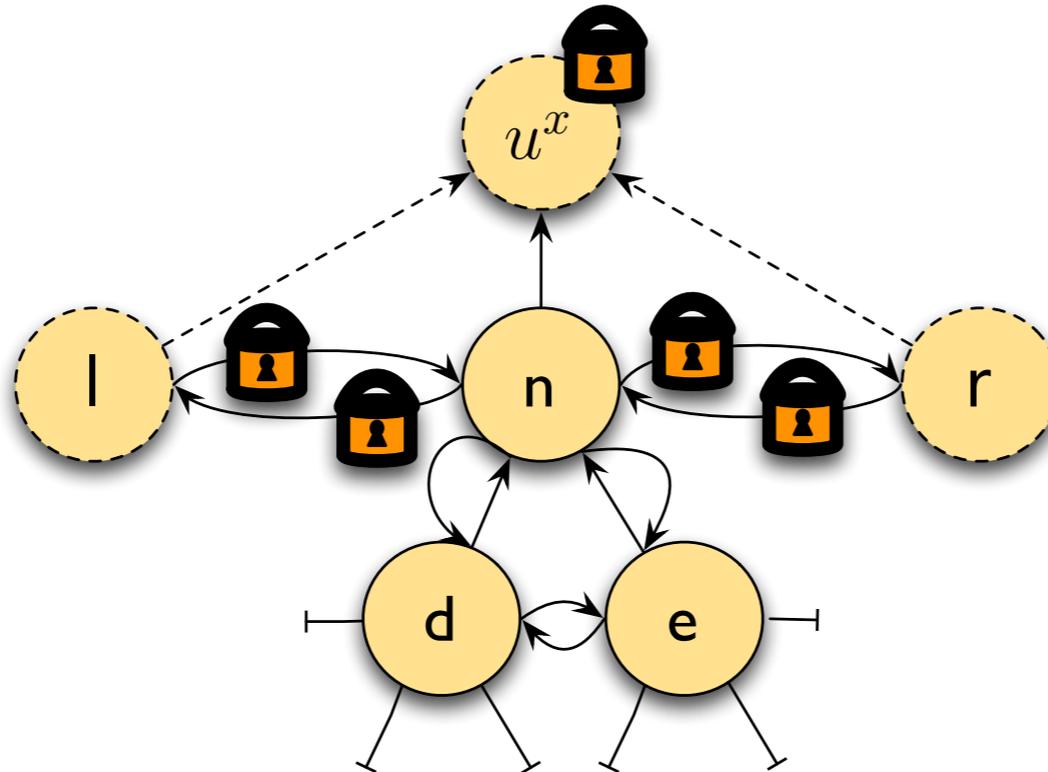
lock(n.right);
r:= n.right;
lock(r.left);

```

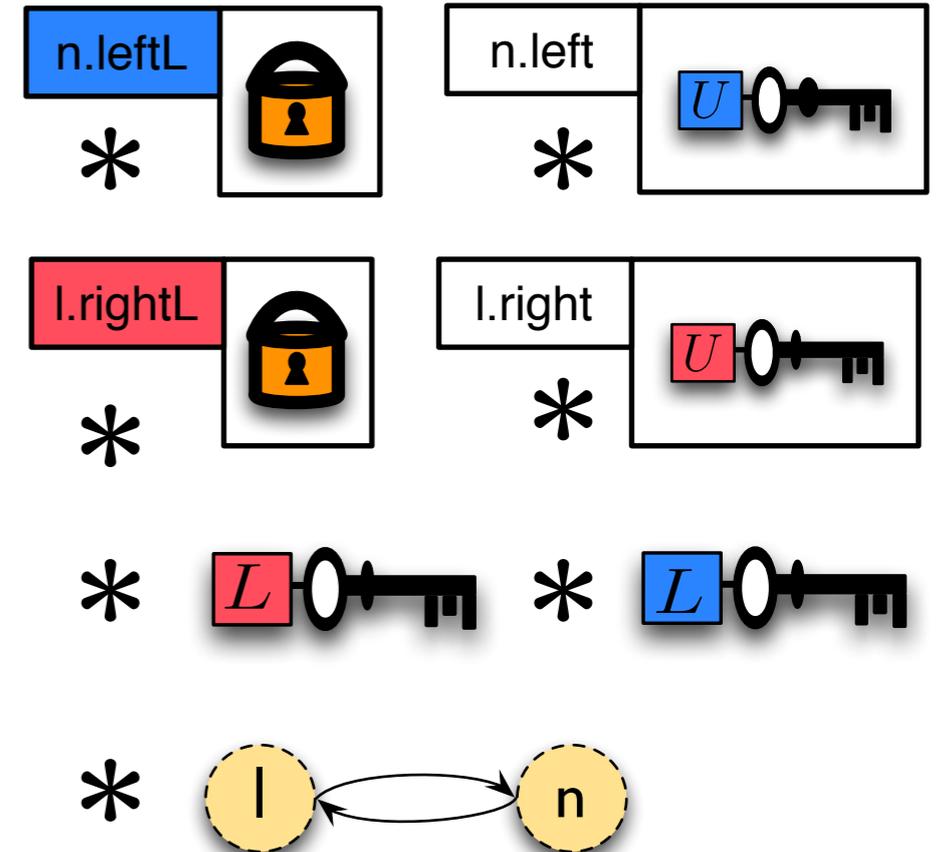
```

if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];

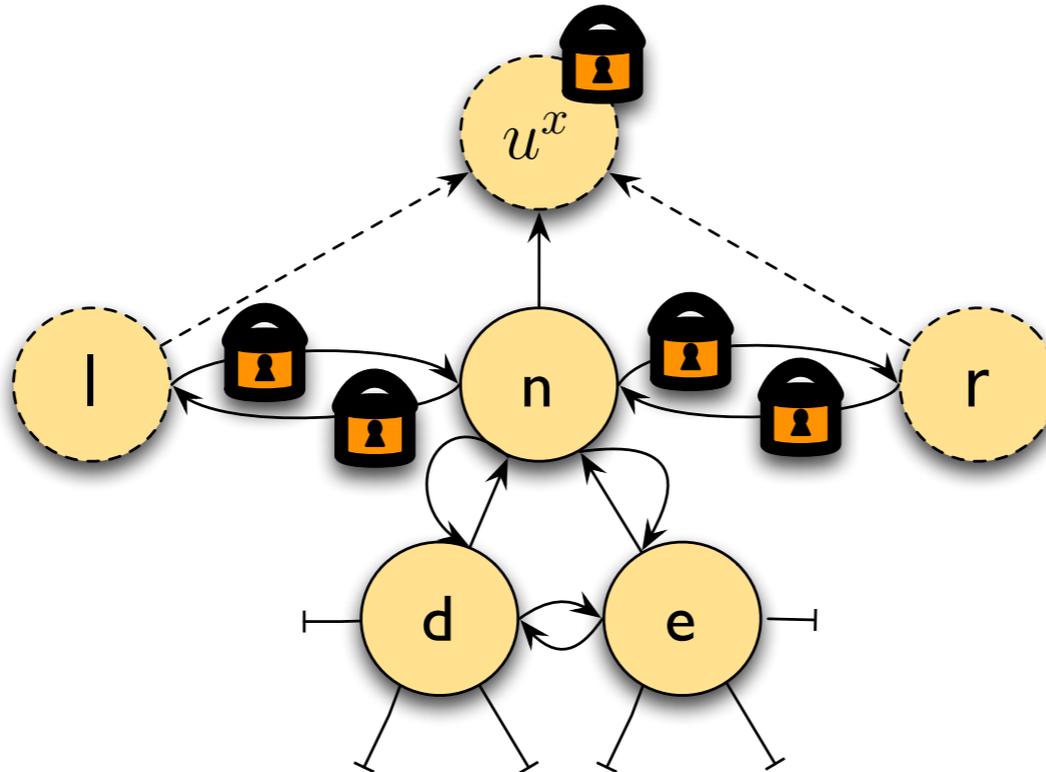
```

**unlock(u);**

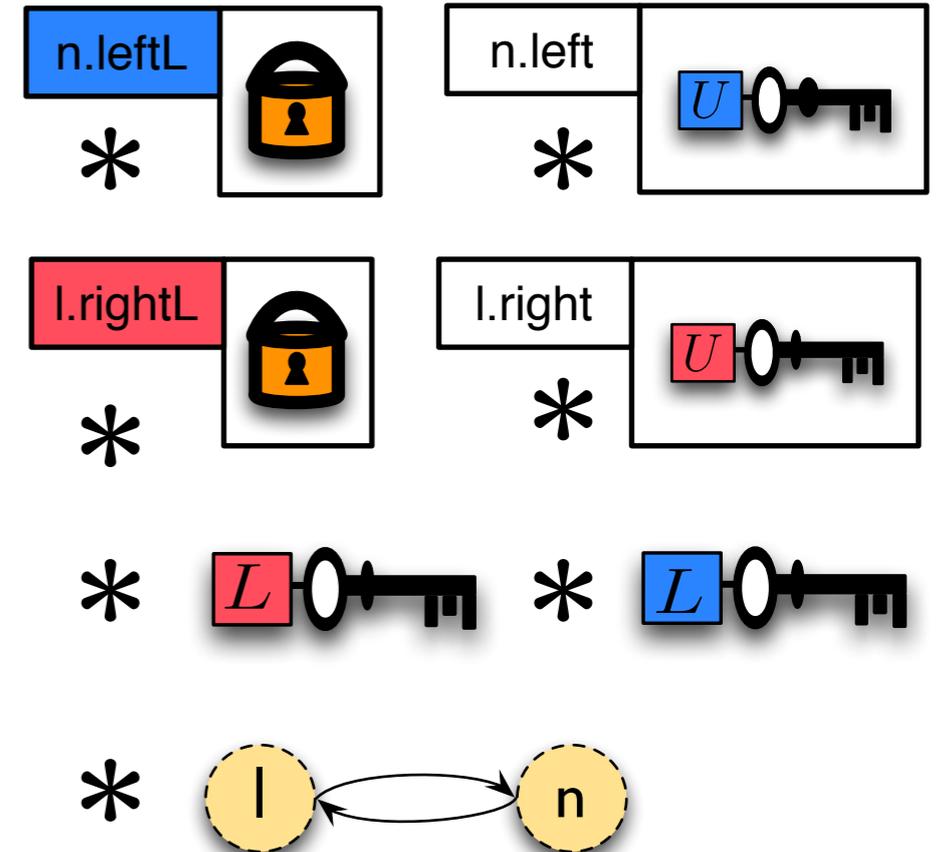
```

  if l ≠ null then lock(l.rightL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



parentLocked( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];

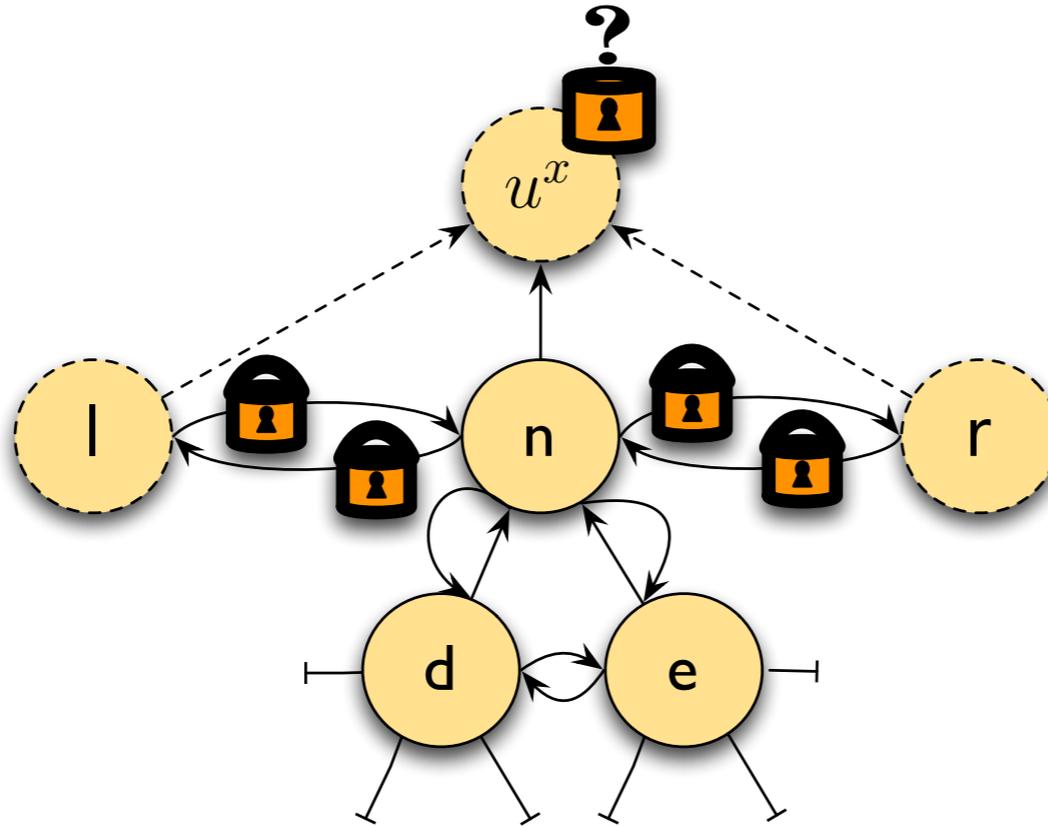
```

**unlock(u);**

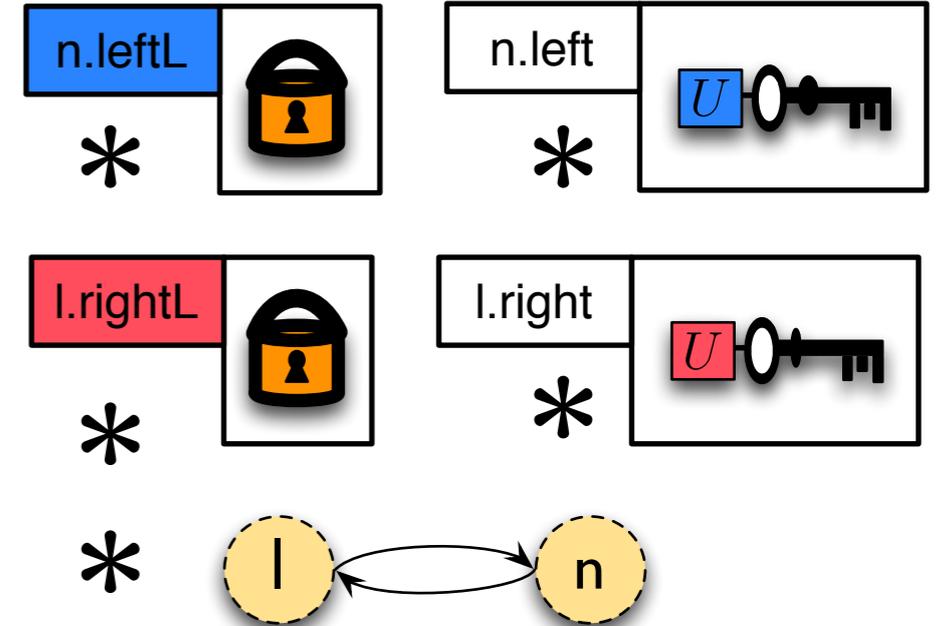
```

  if l ≠ null then lock(l.rightL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

```



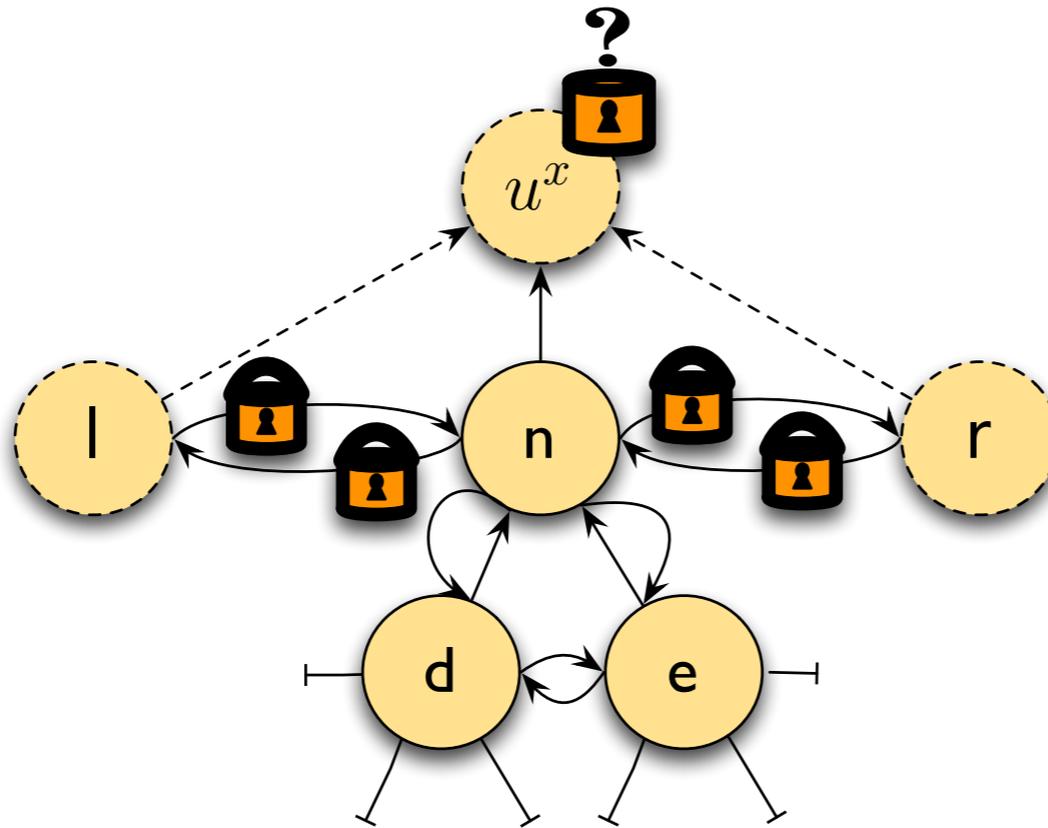
isParentLock( $u^x$ )



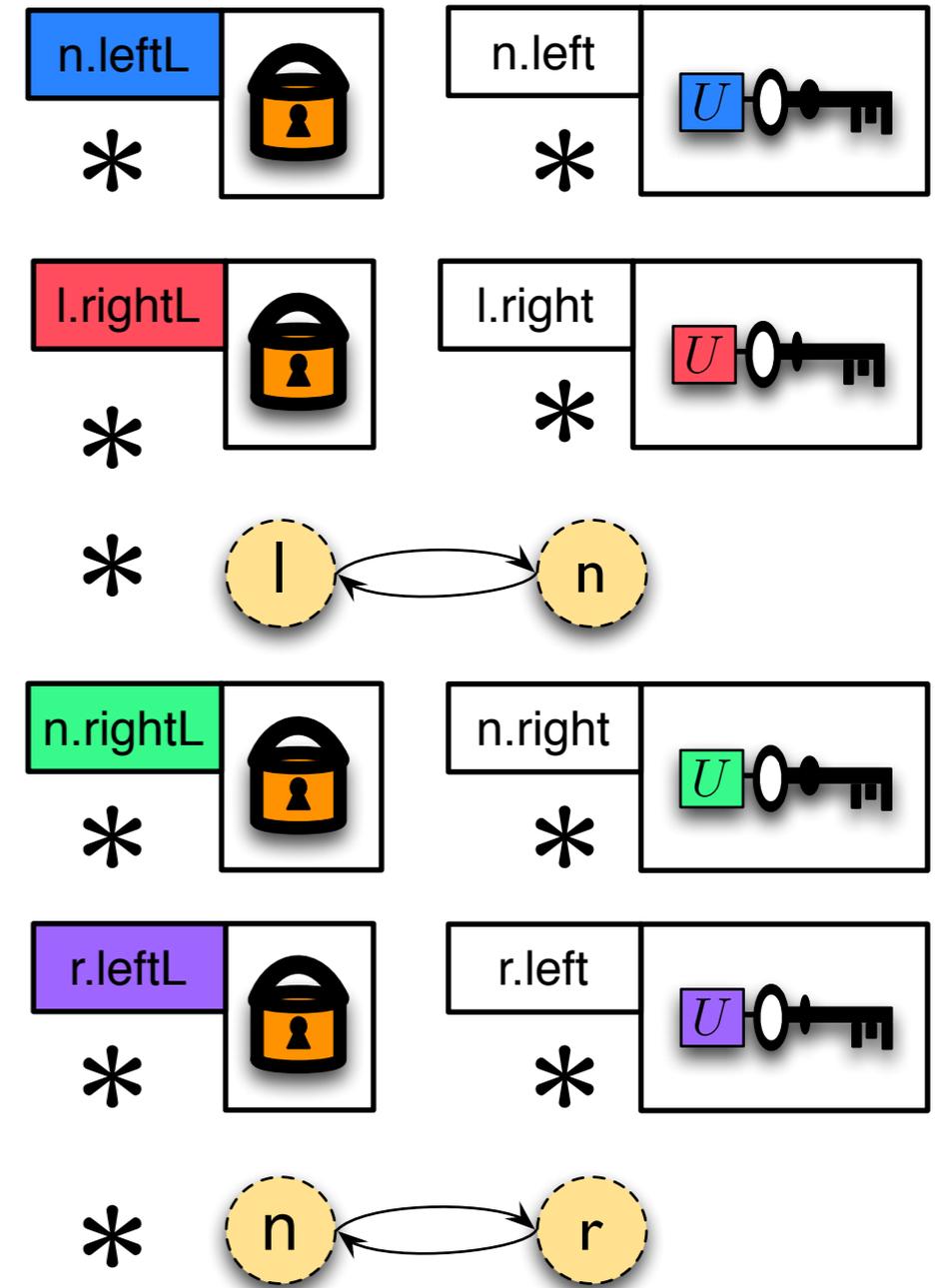
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
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  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];

```

```

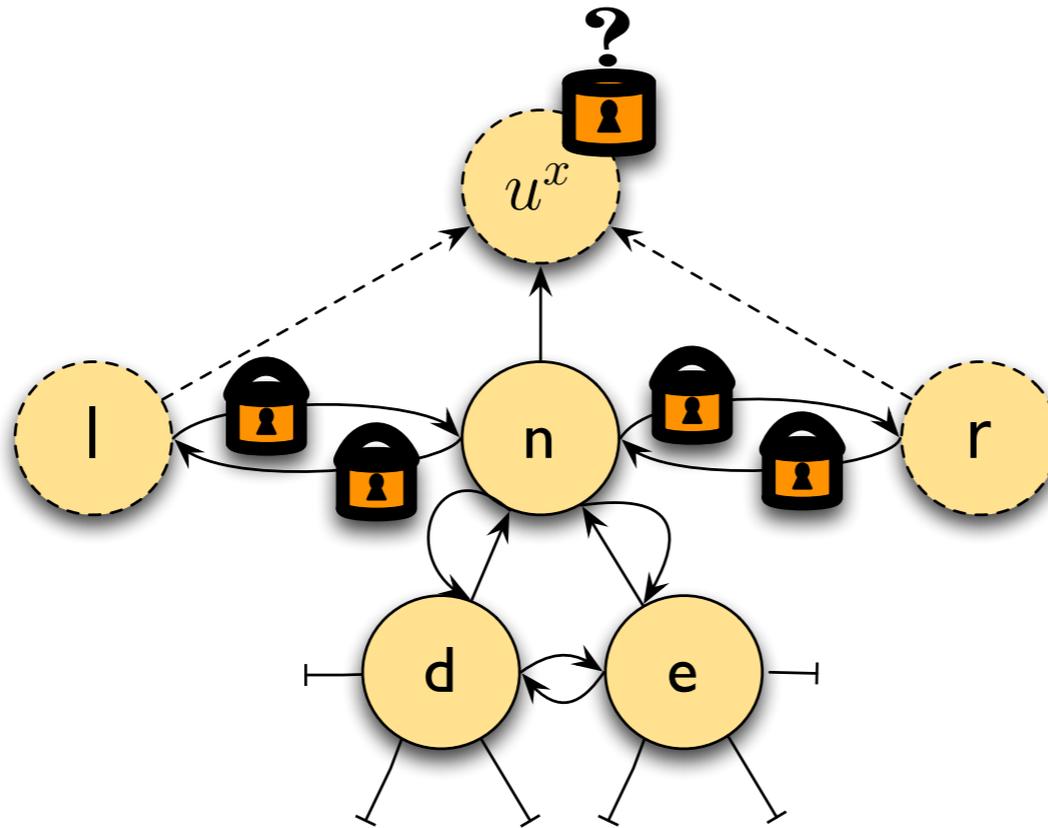
[l.right] := r;
[r.left] := l;

```

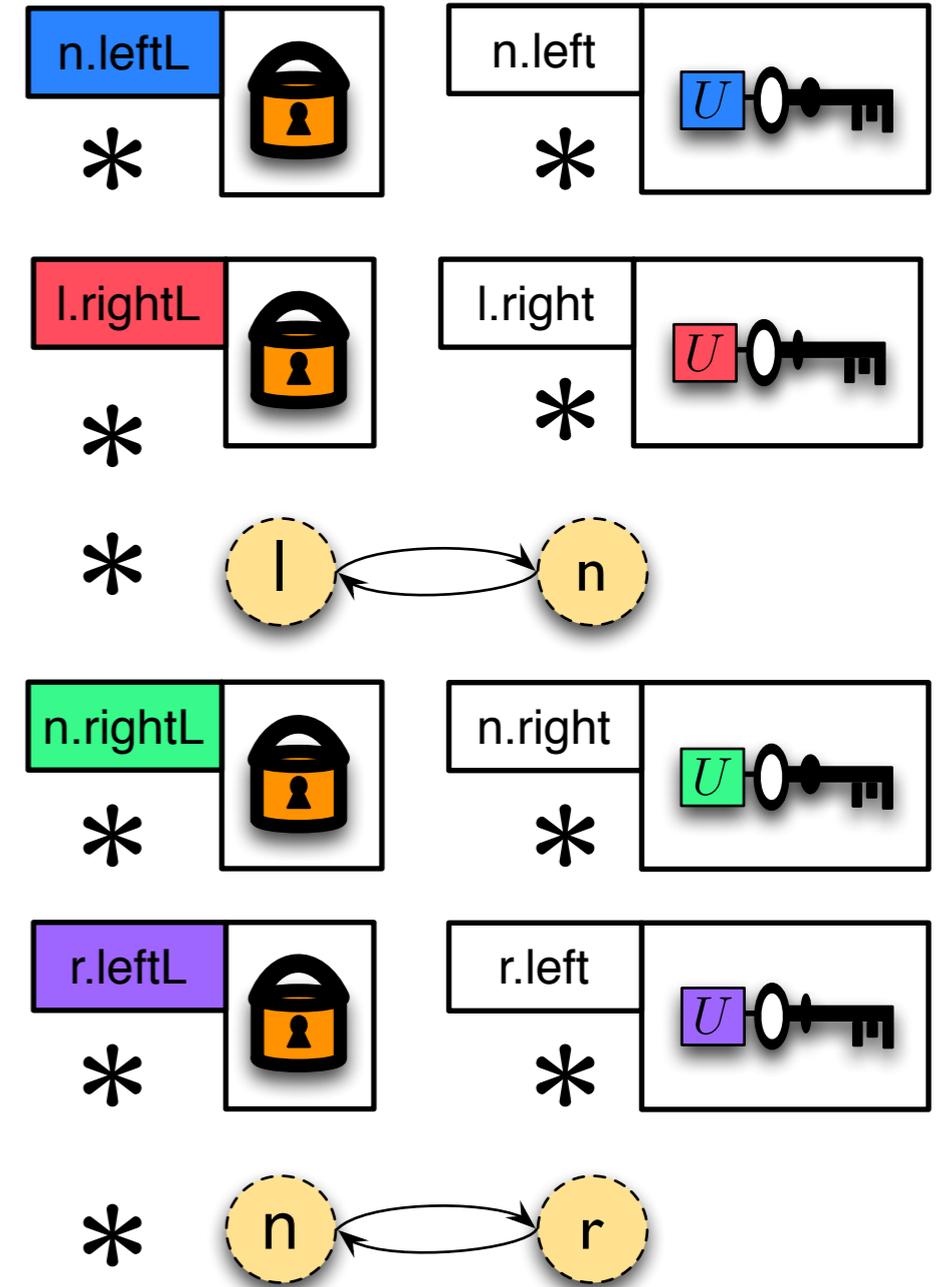
```

unlock(ul);
//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



isParentLock( $u^x$ )



# Refinement (Axiomatic Correctness)

```

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  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];

```

```

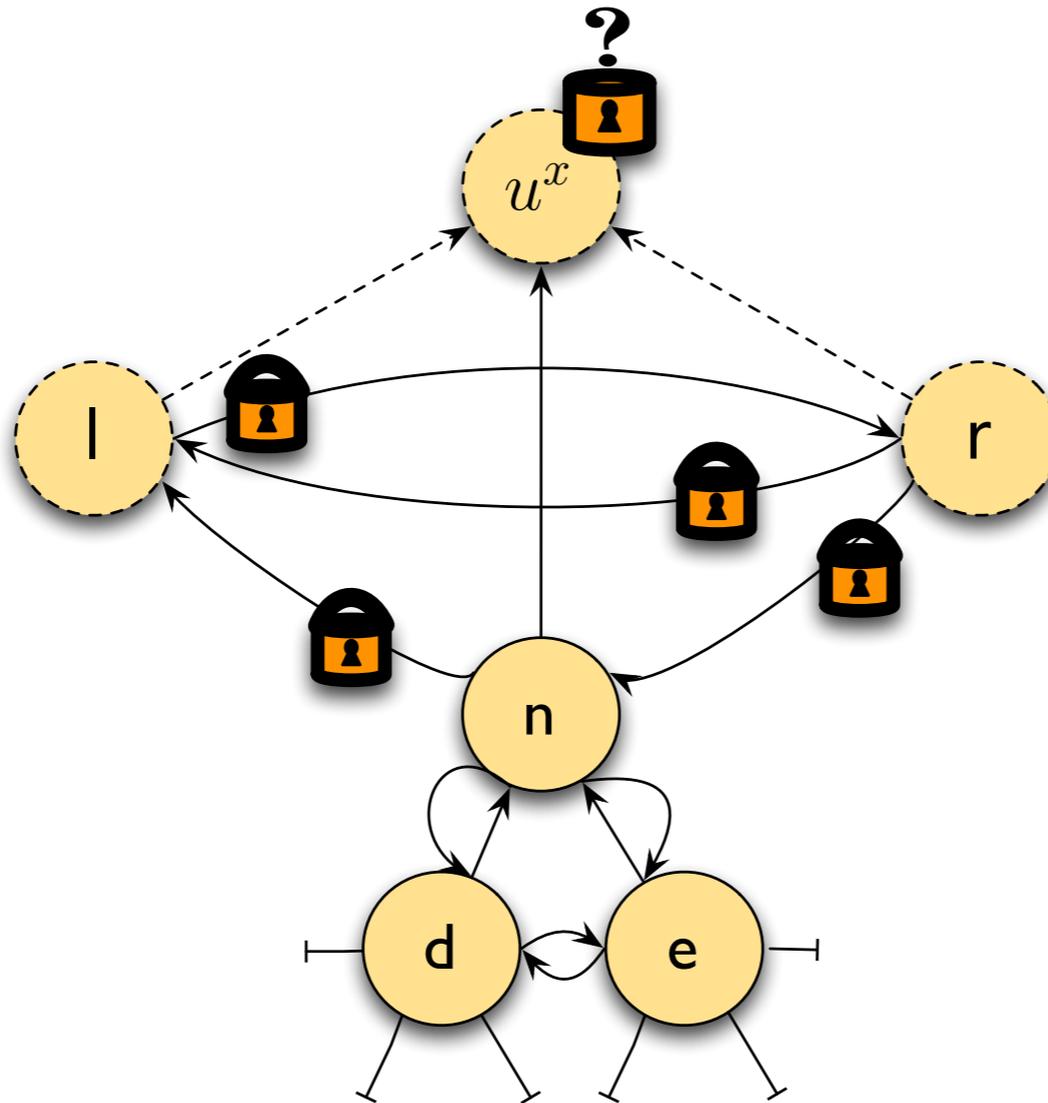
[l.right] := r;
[r.left] := l;

```

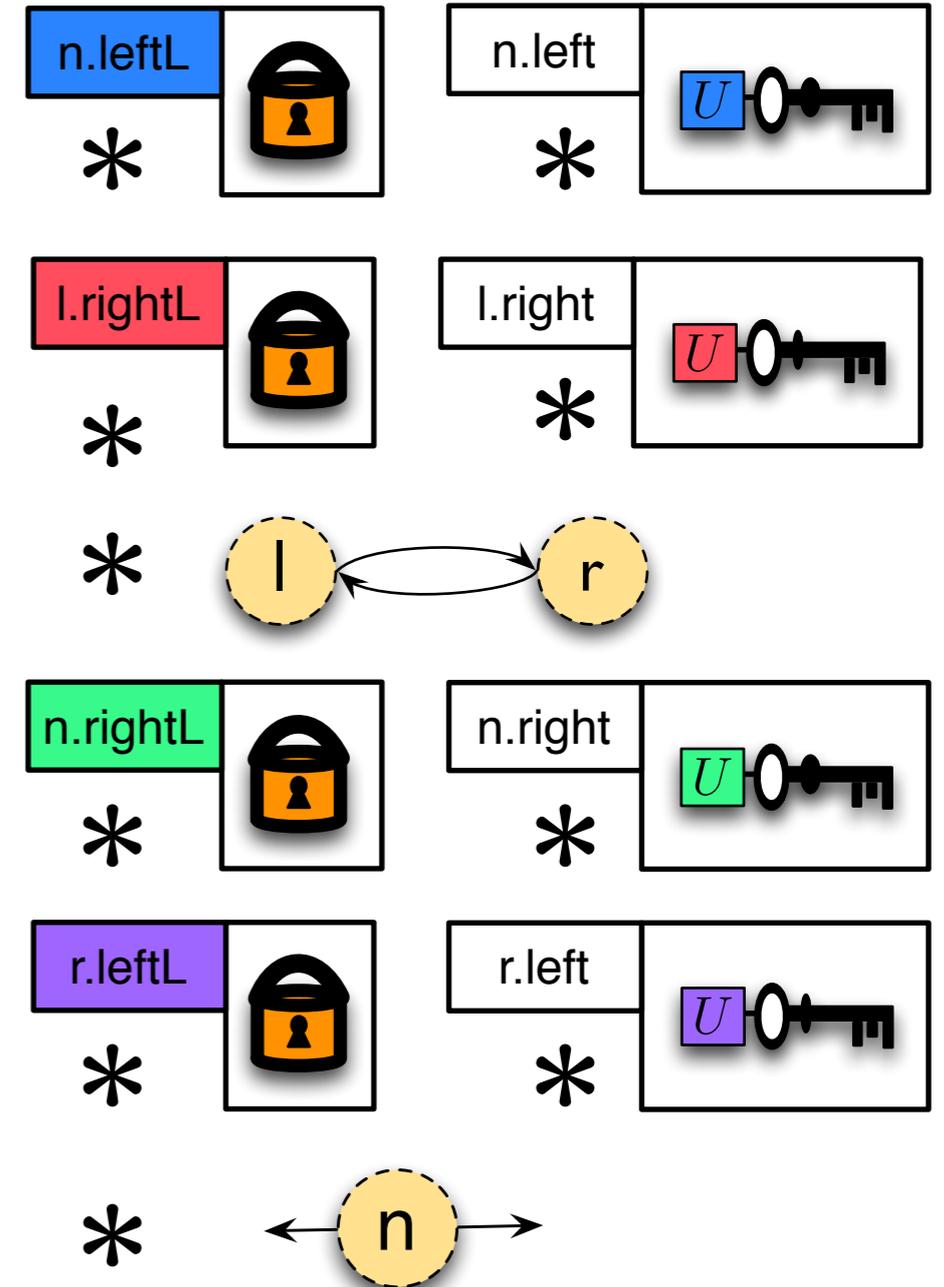
```

unlock(ul);
//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
else if u ≠ null then unlock(u.firstL);
if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



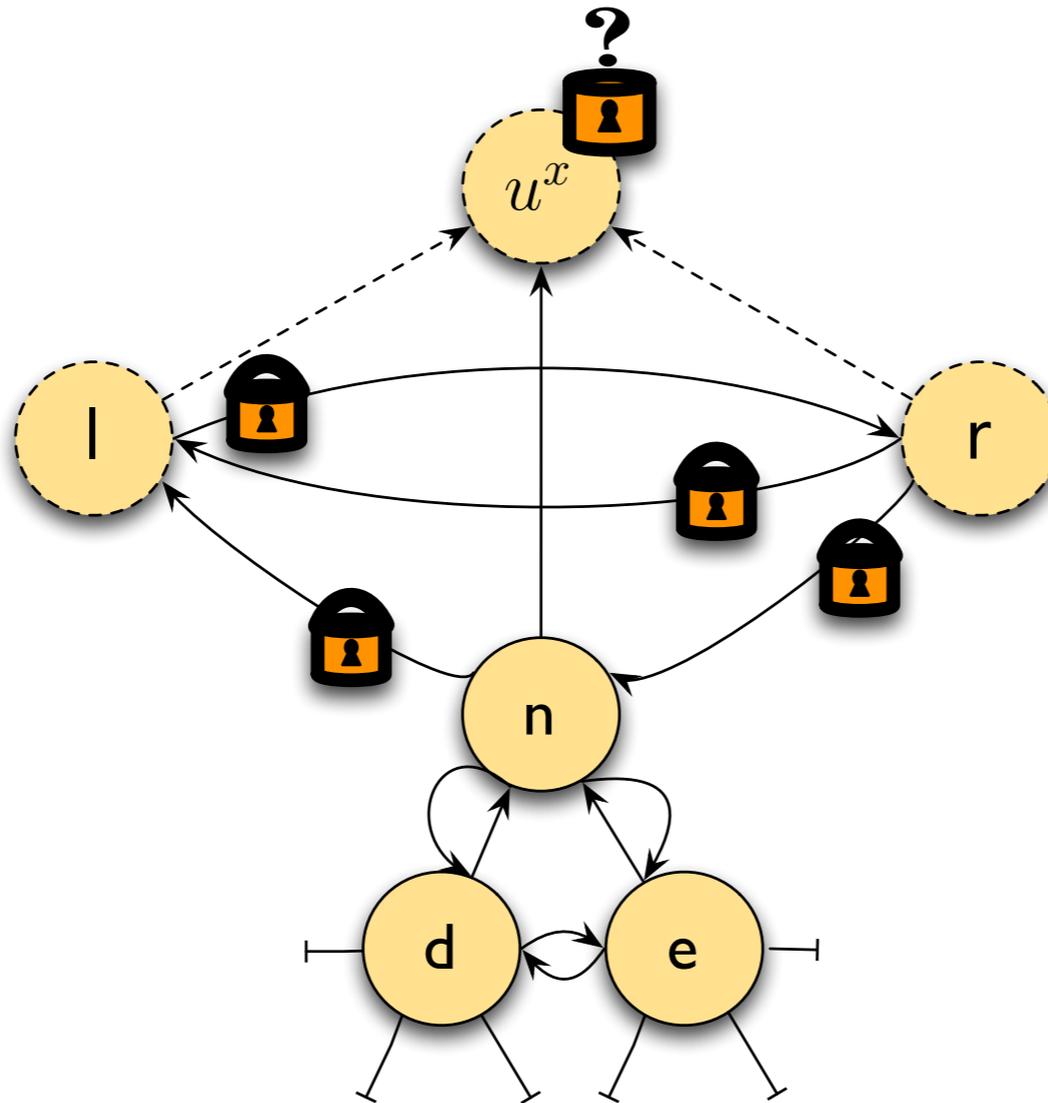
isParentLock( $u^x$ )



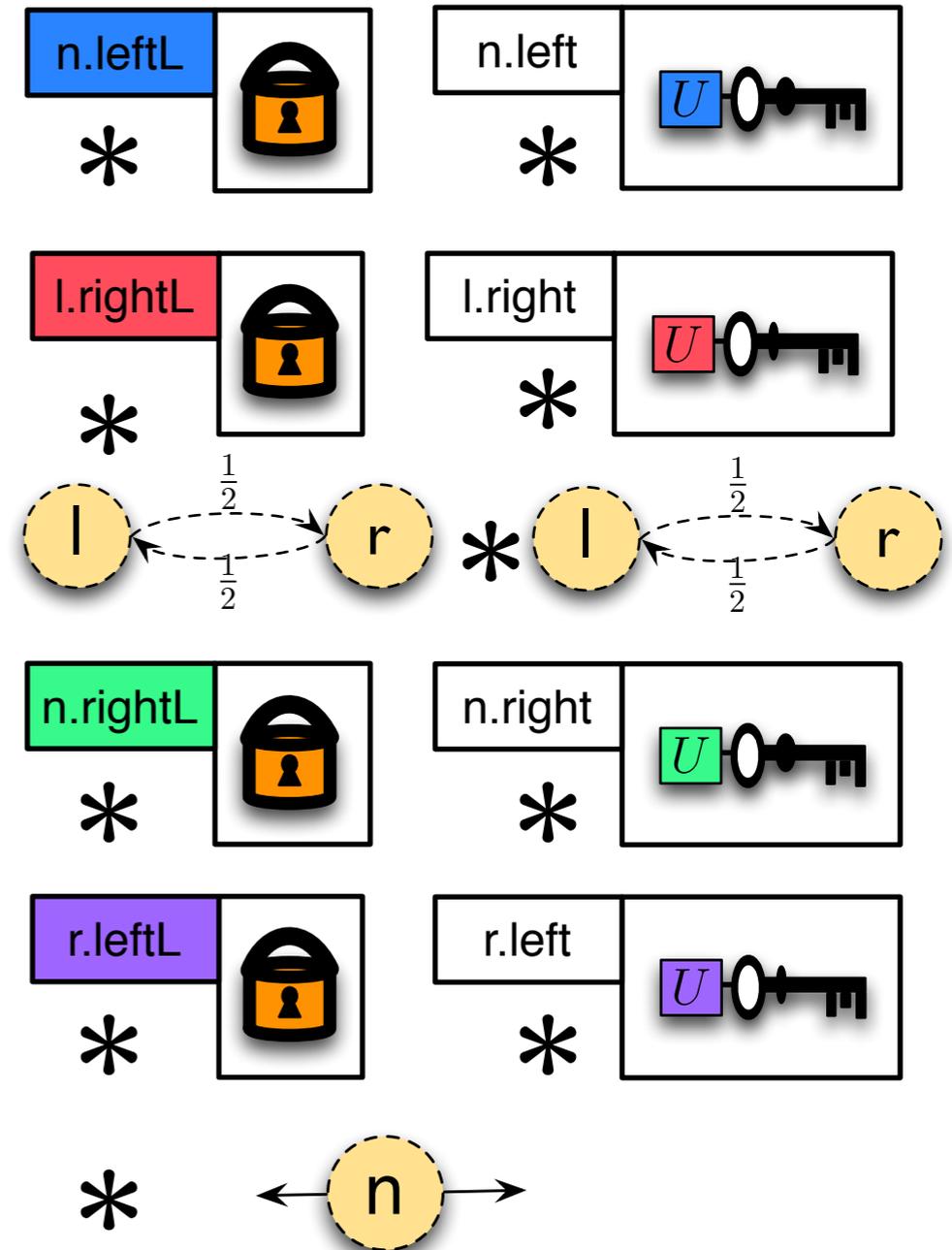
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
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  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



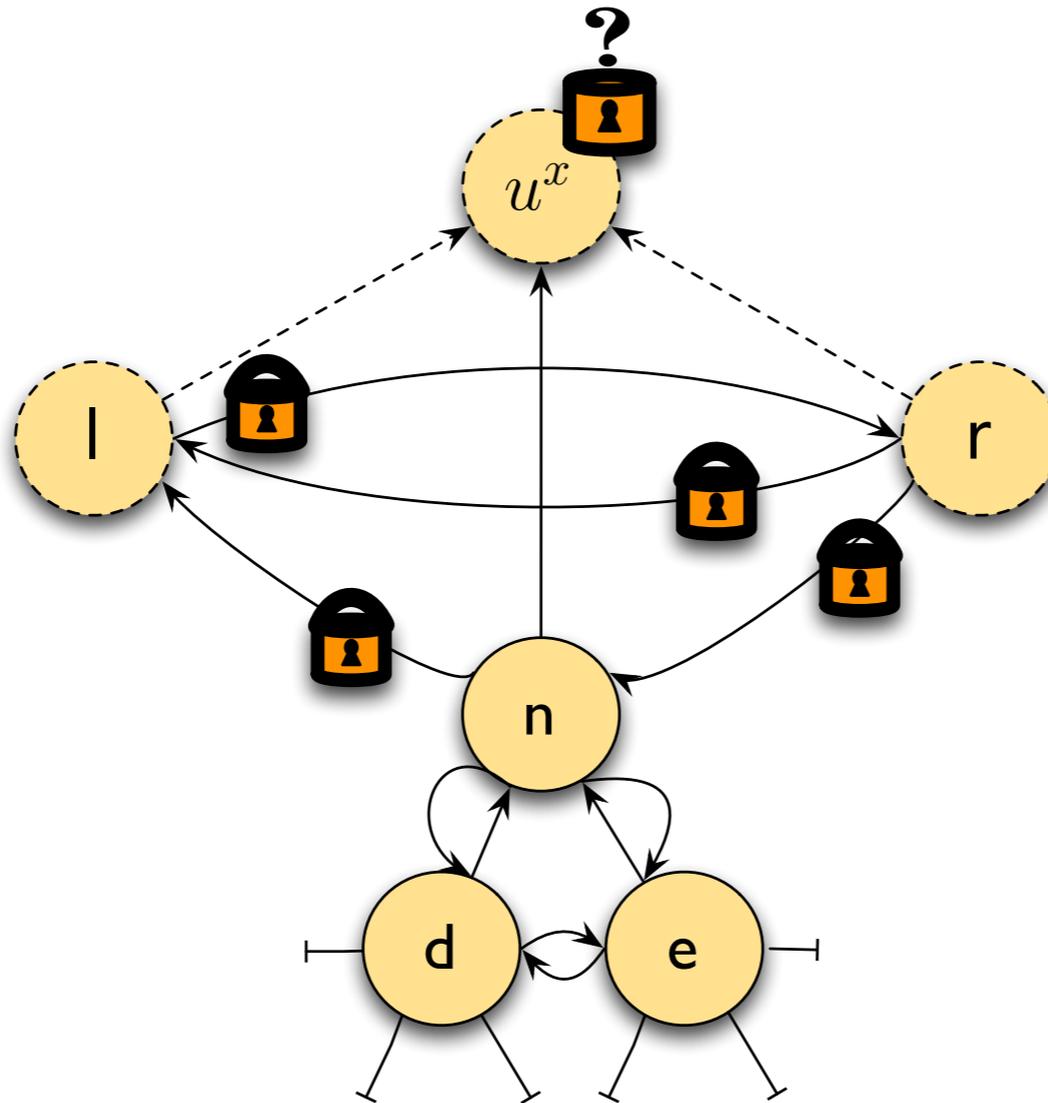
isParentLock( $u^x$ )



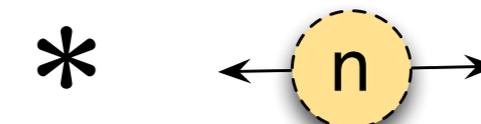
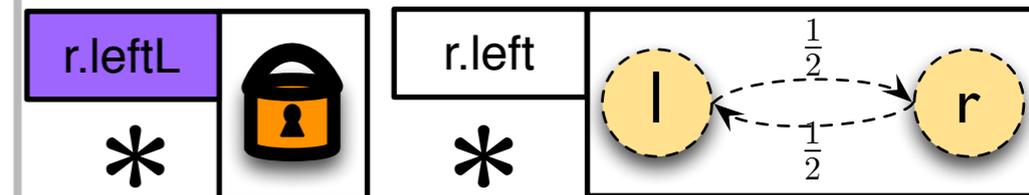
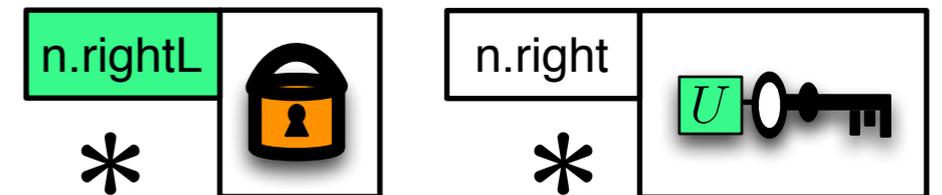
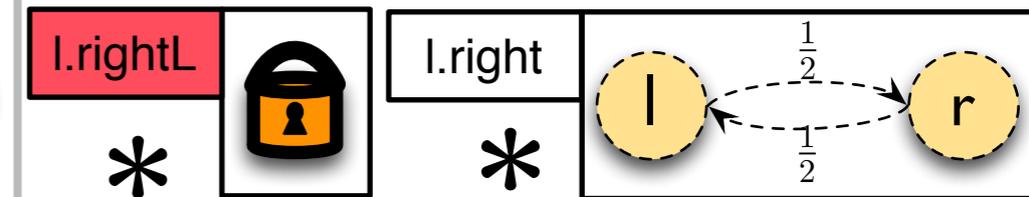
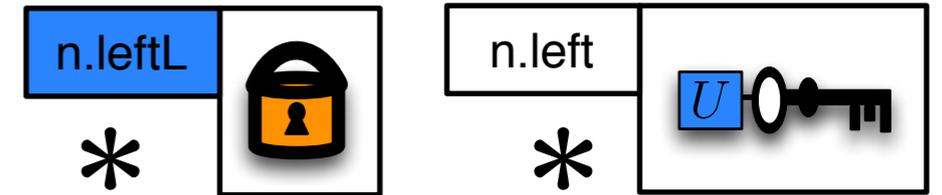
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
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  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);

```

```

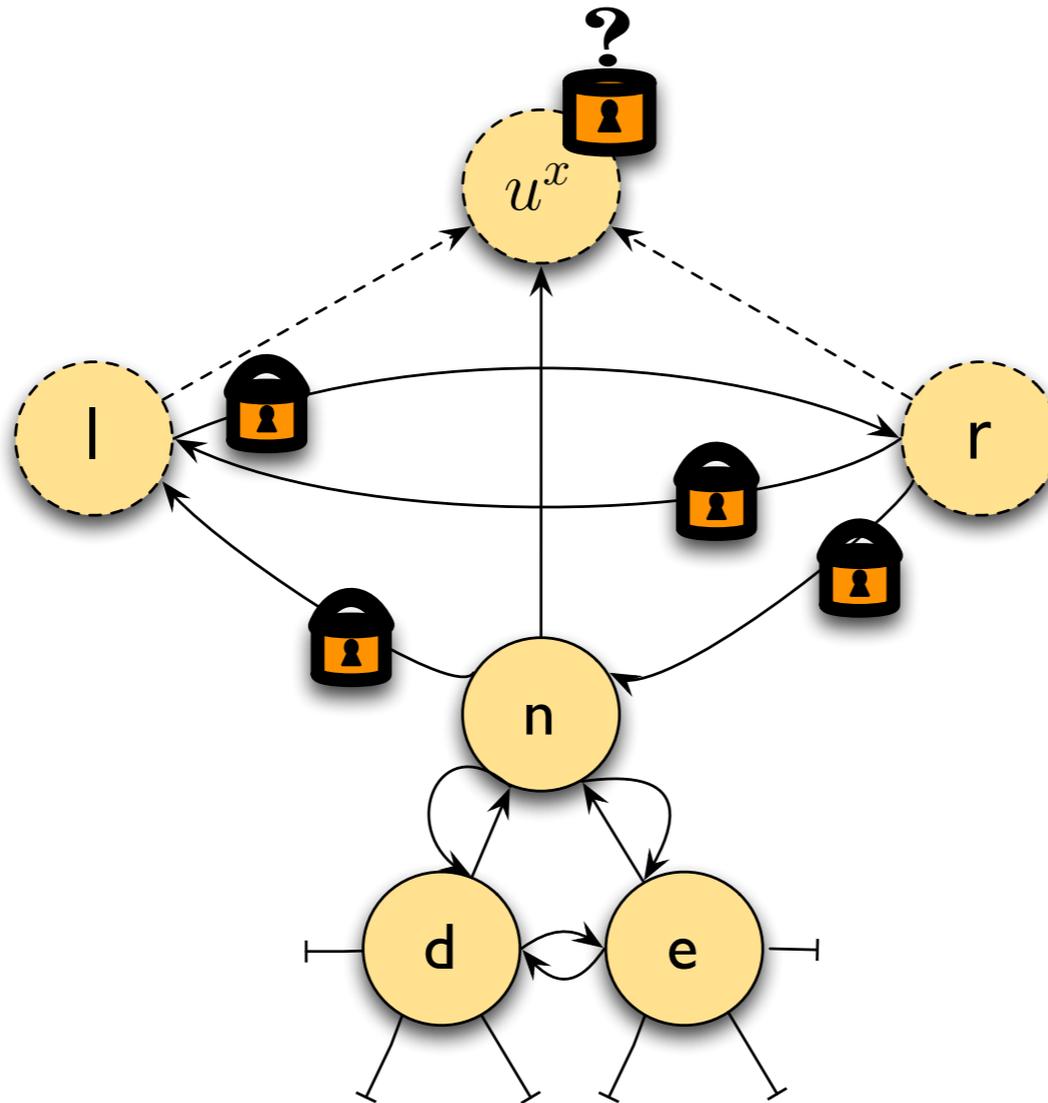
unlock(l.right);
unlock(r.left);

```

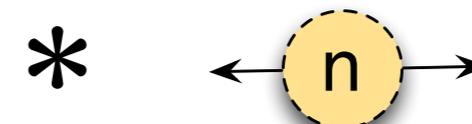
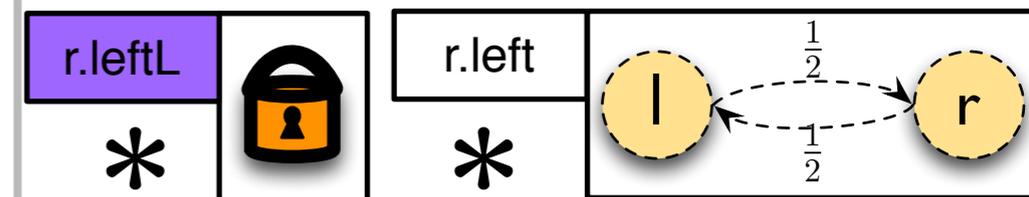
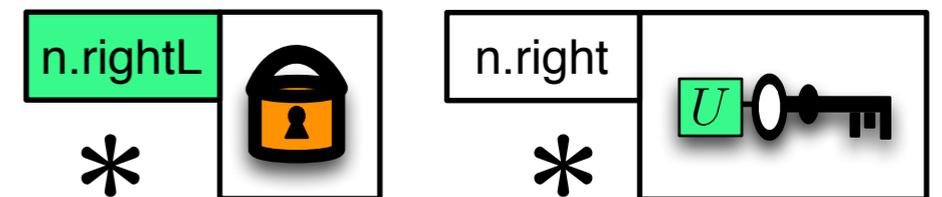
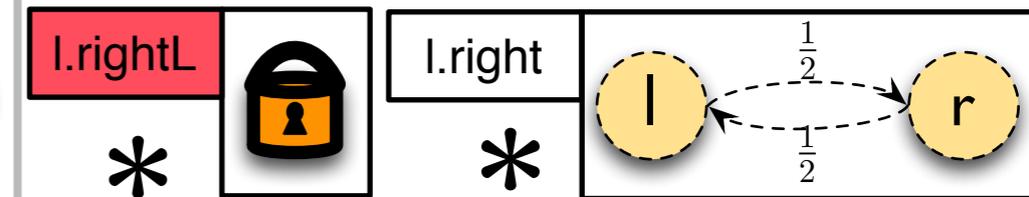
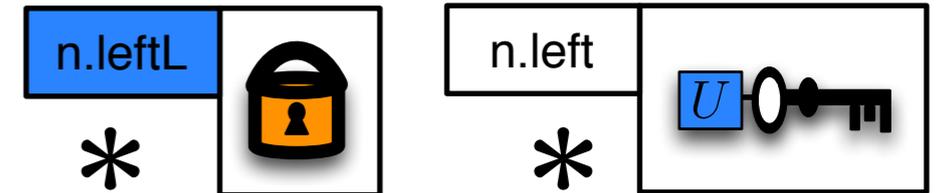
```

//Pointer Swinging.
if l ≠ null then [l.right] := r;
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if r ≠ null then [r.left] := l;
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if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



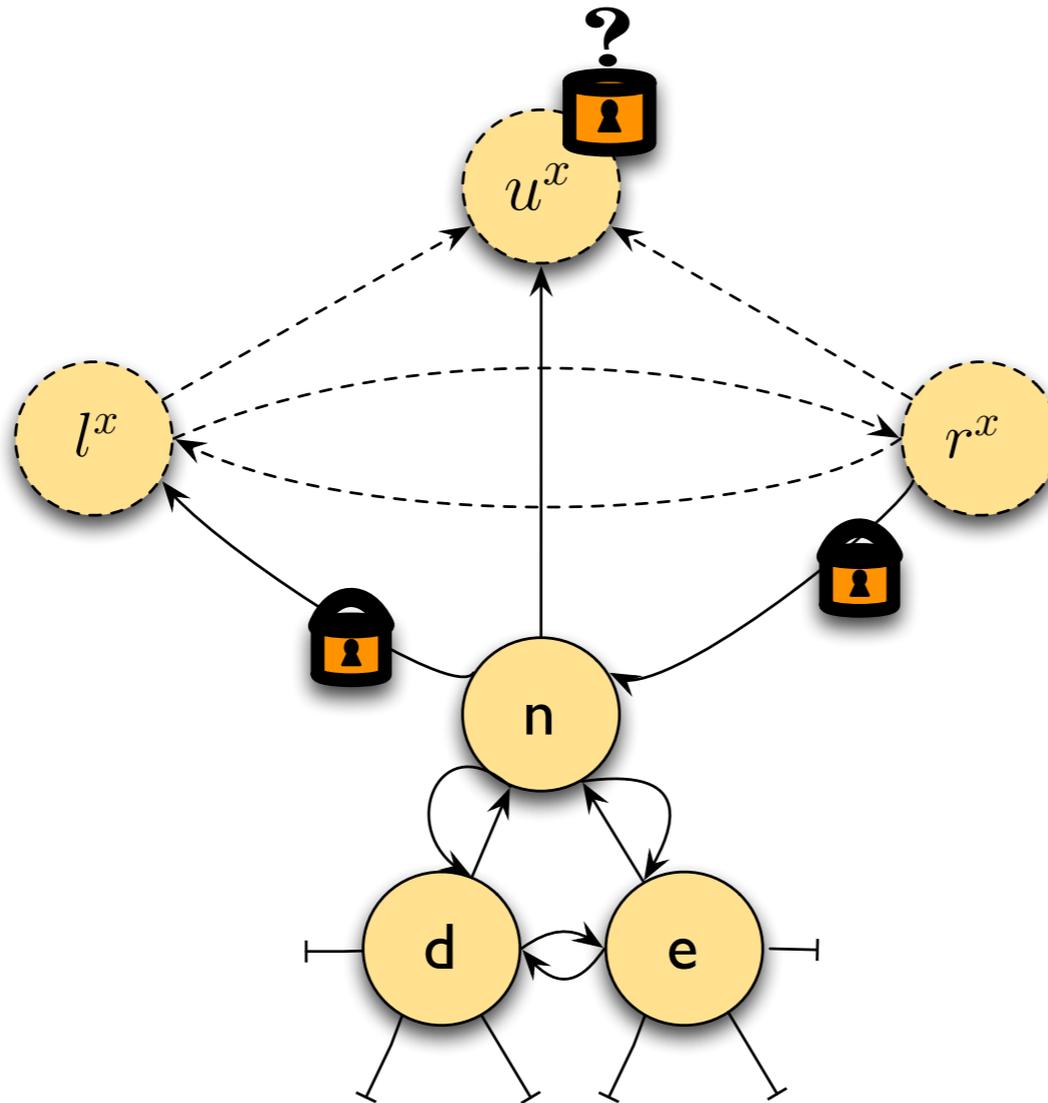
isParentLock( $u^x$ )



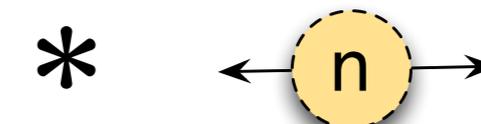
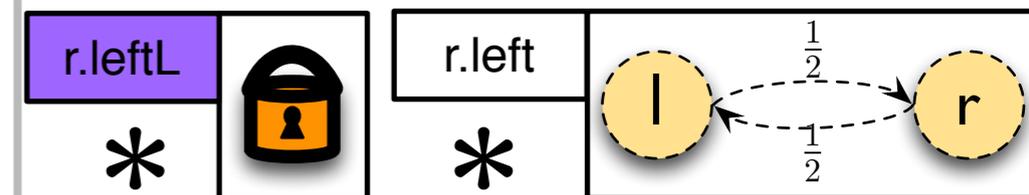
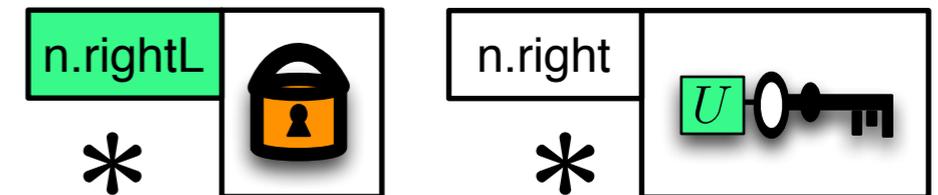
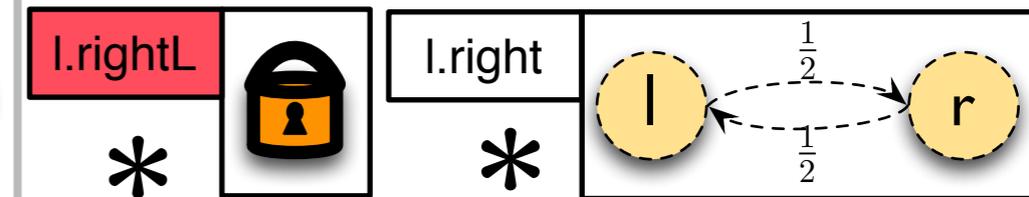
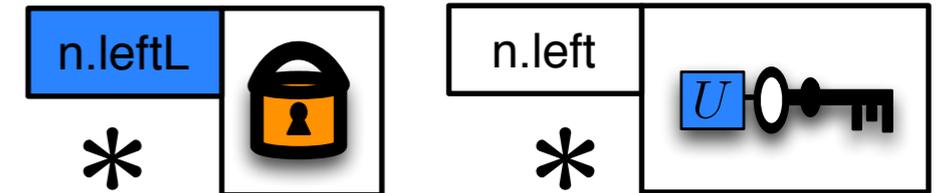
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  unlock(l.right);
  unlock(r.left);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);

```

```

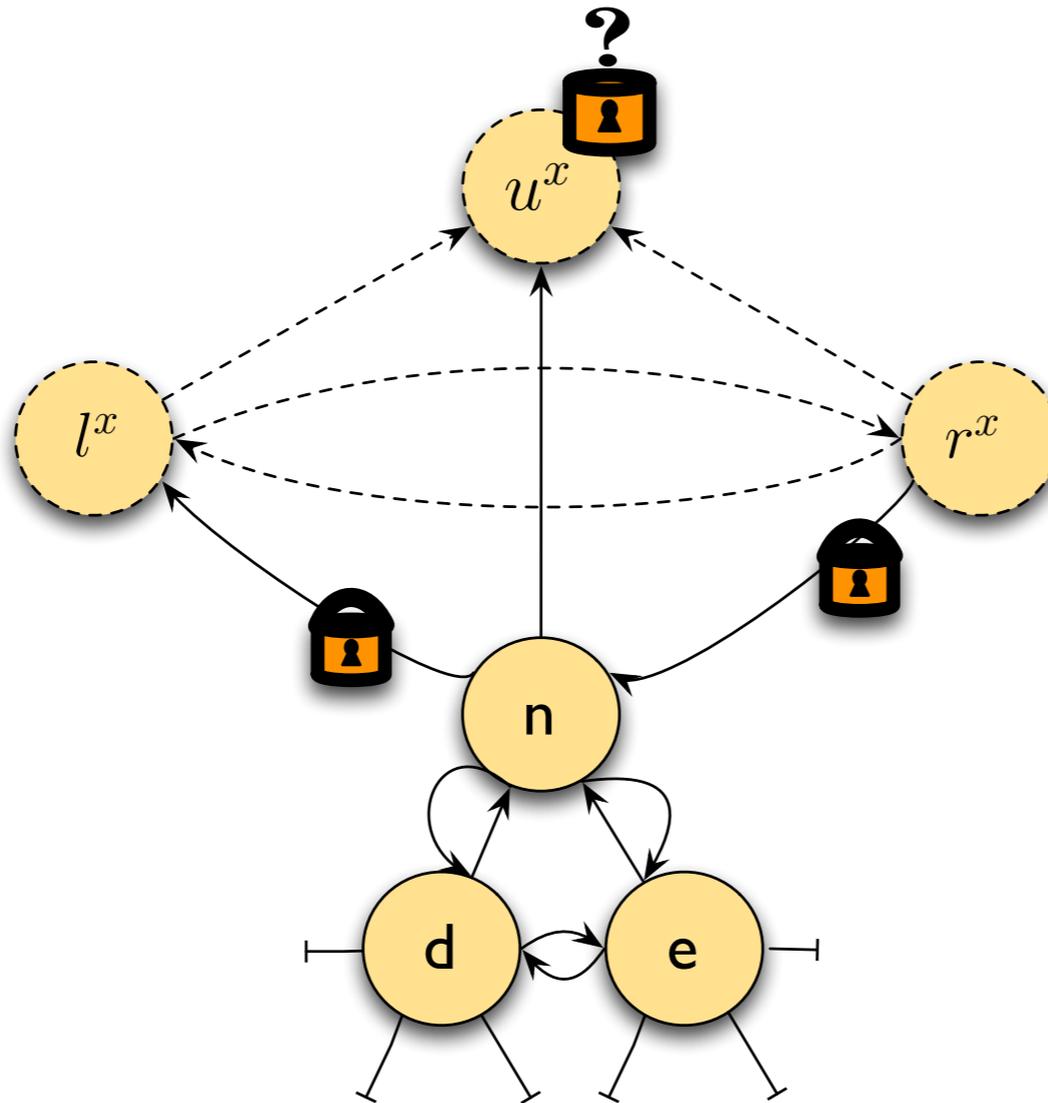
unlock(l.right);
unlock(r.left);

```

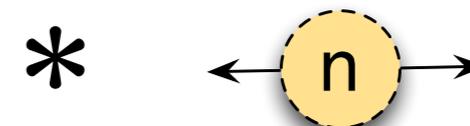
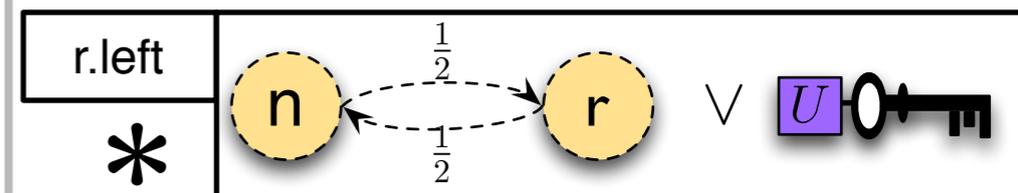
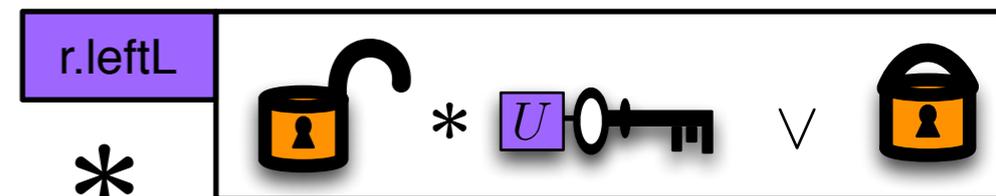
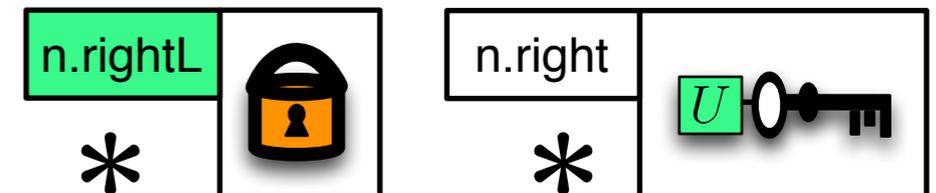
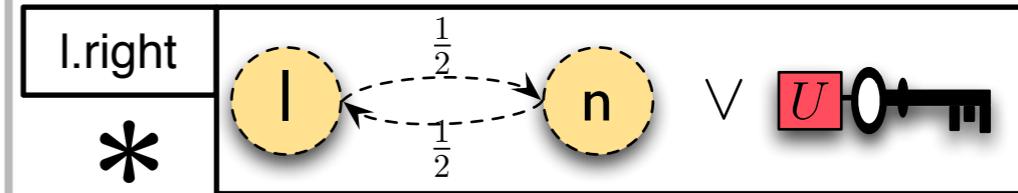
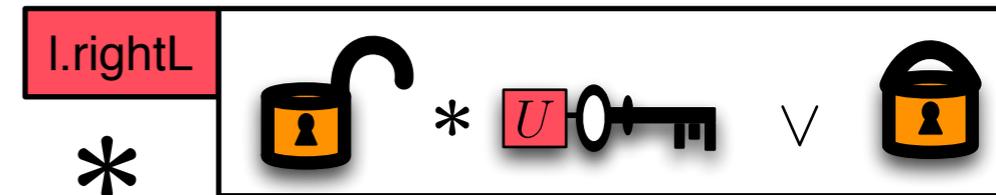
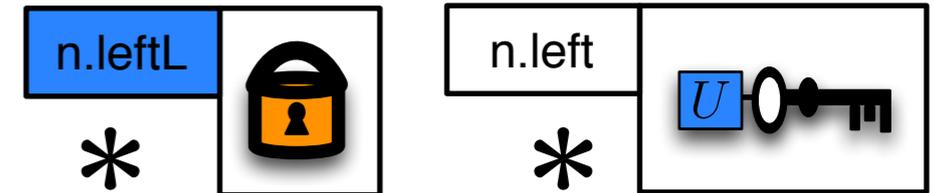
```

//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
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//Unlocking the acquired locks.
if l ≠ null then unlock(l.rightL);
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if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



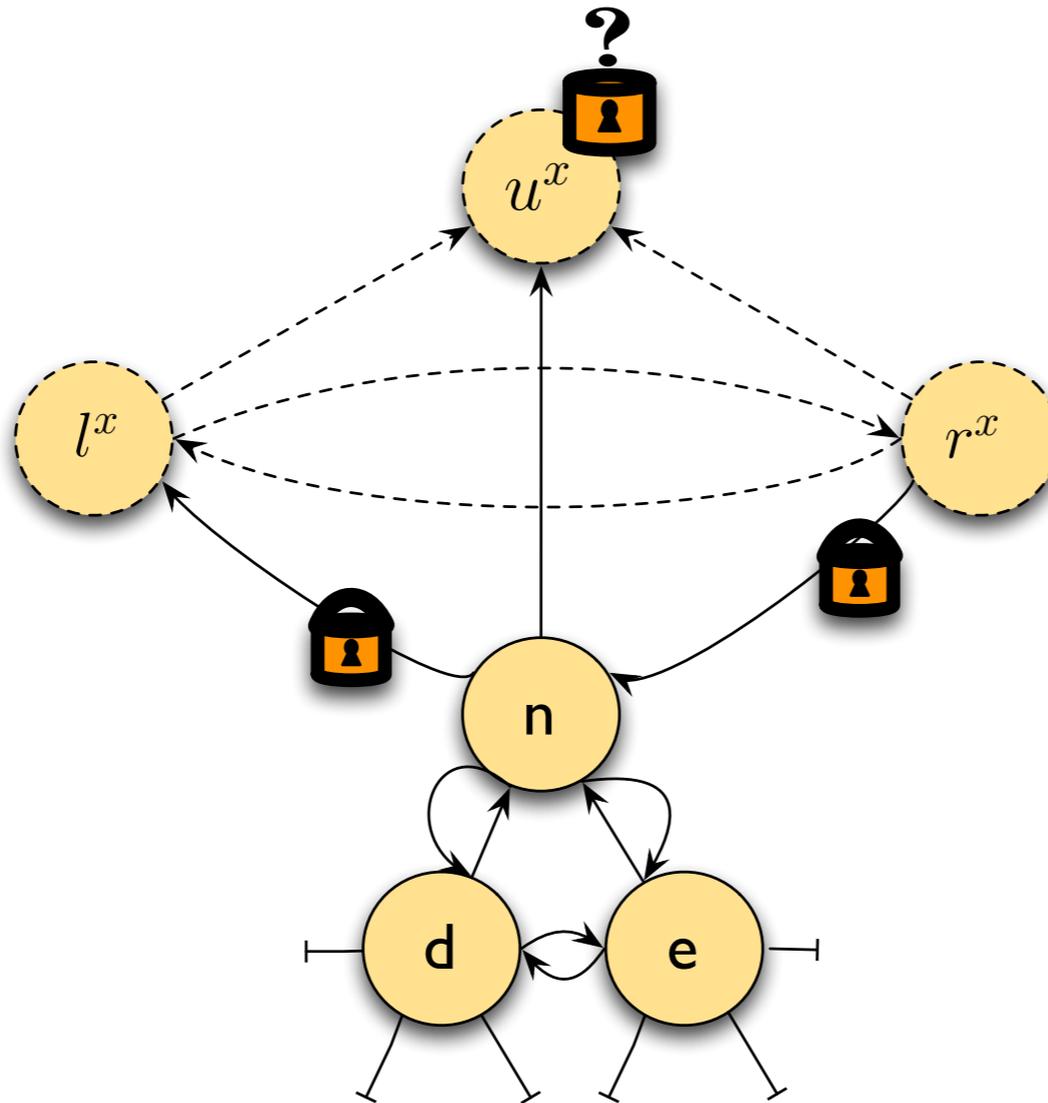
isParentLock( $u^x$ )



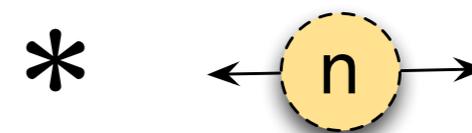
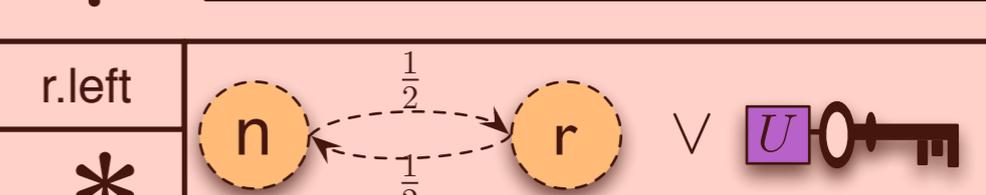
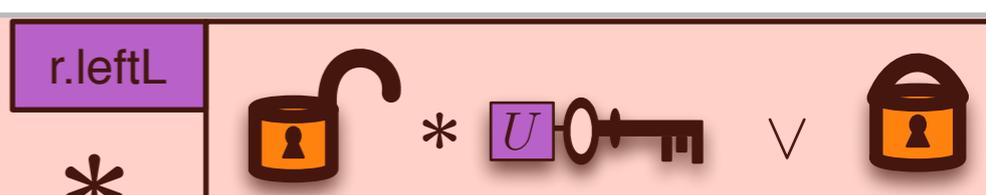
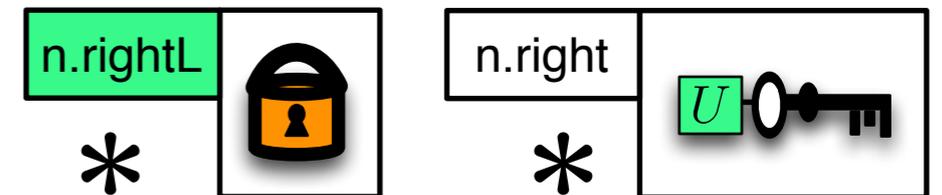
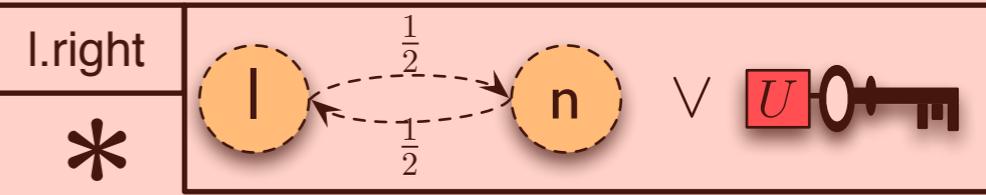
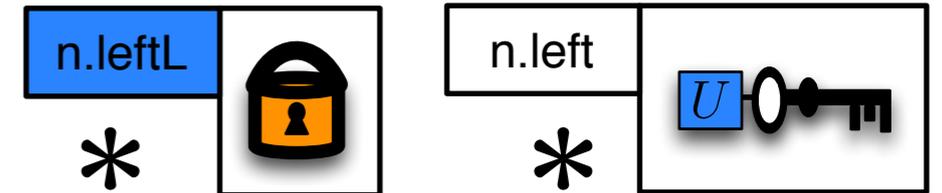
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



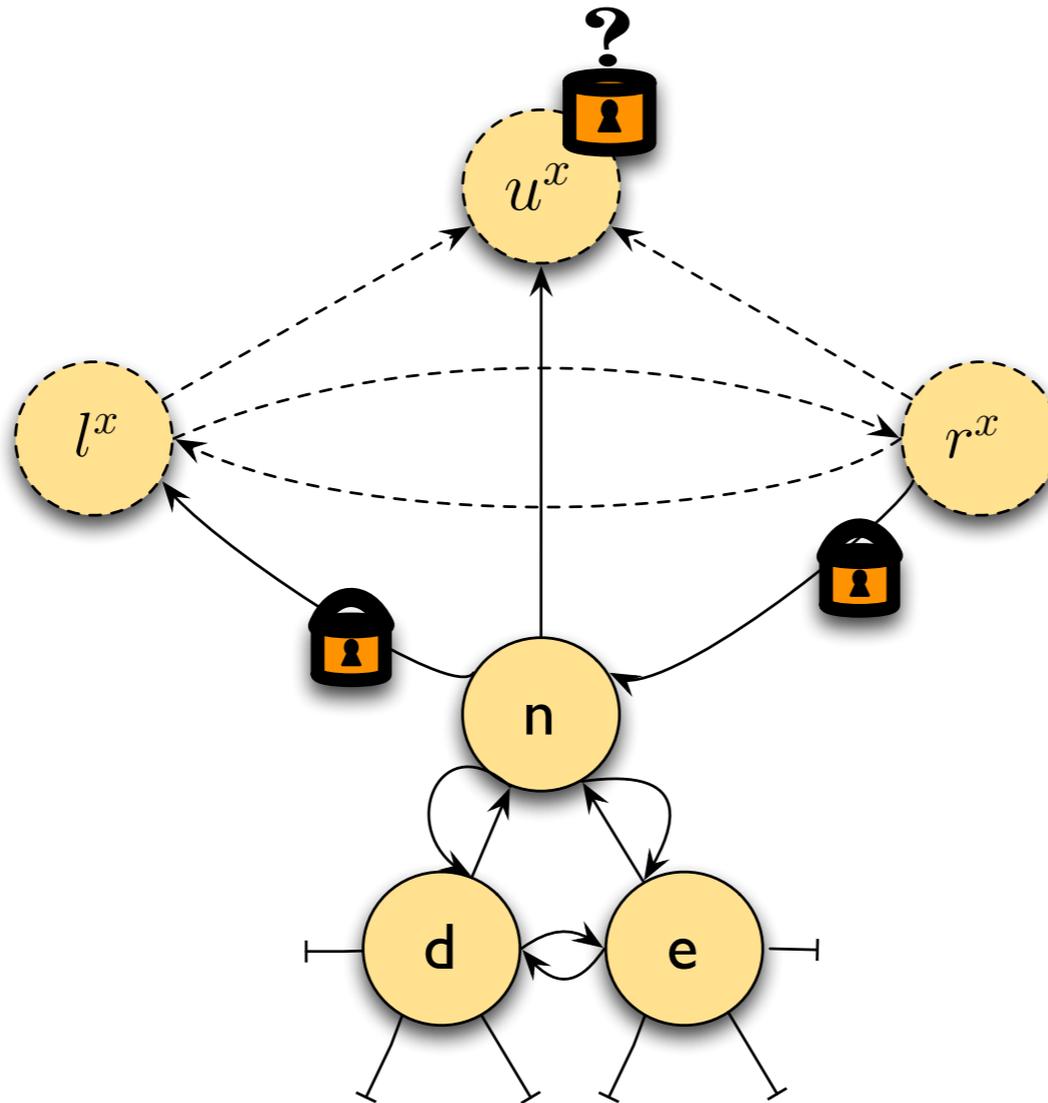
isParentLock( $u^x$ )



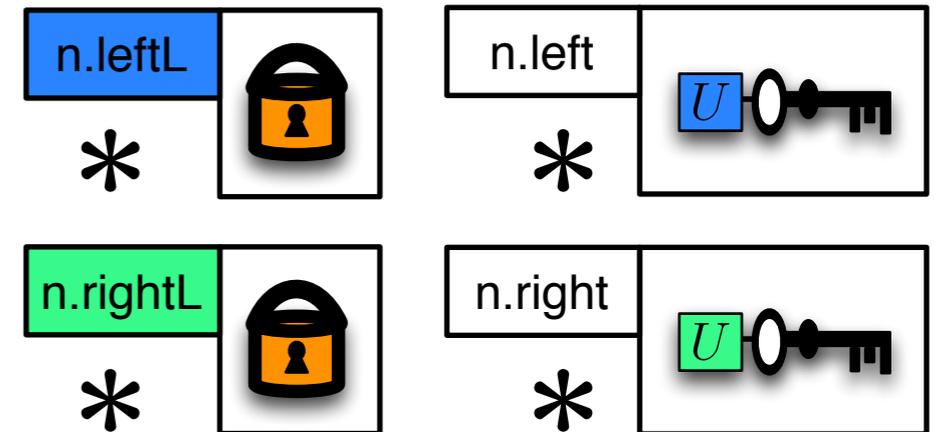
# Refinement (Axiomatic Correctness)

```

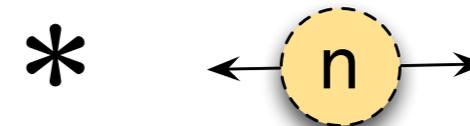
proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



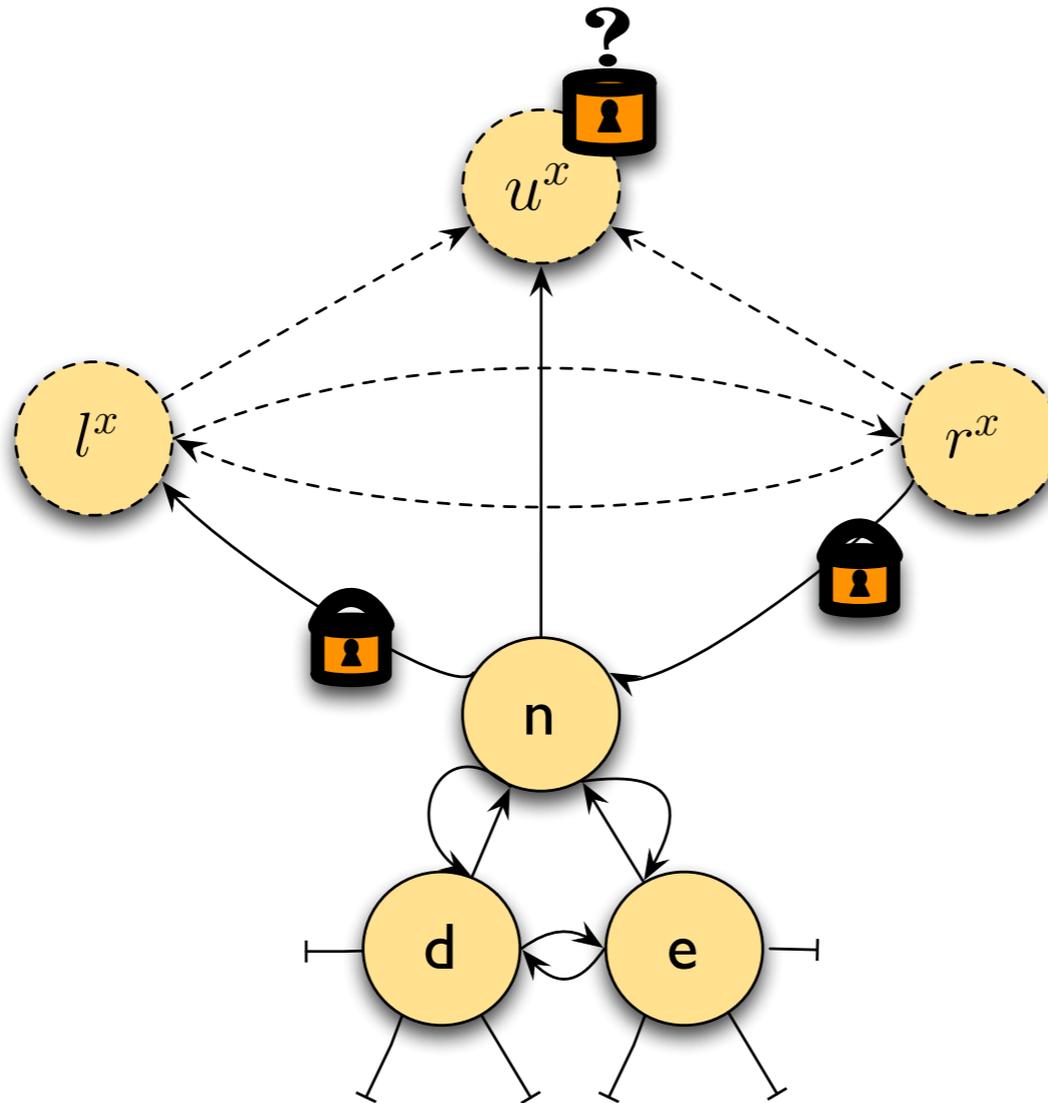
\* Crust ( $r^x, l^x$ ) ( $l^x, u^x, r^x$ )



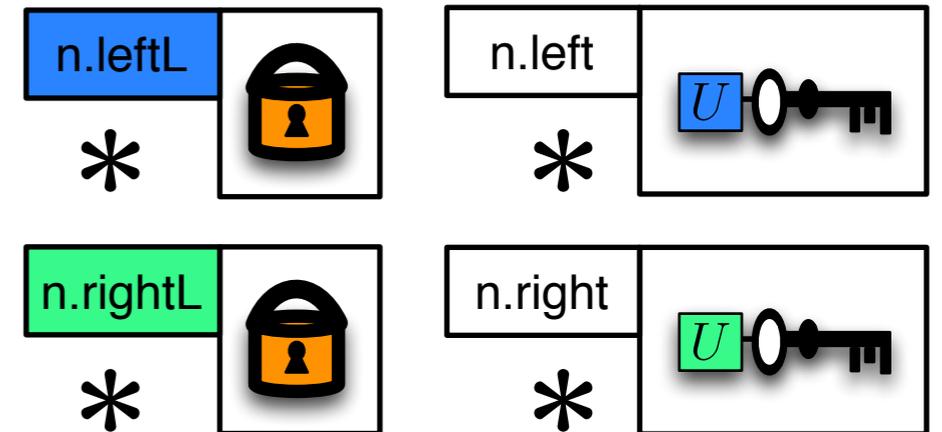
# Refinement (Axiomatic Correctness)

```

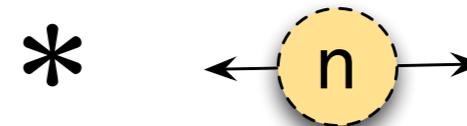
proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



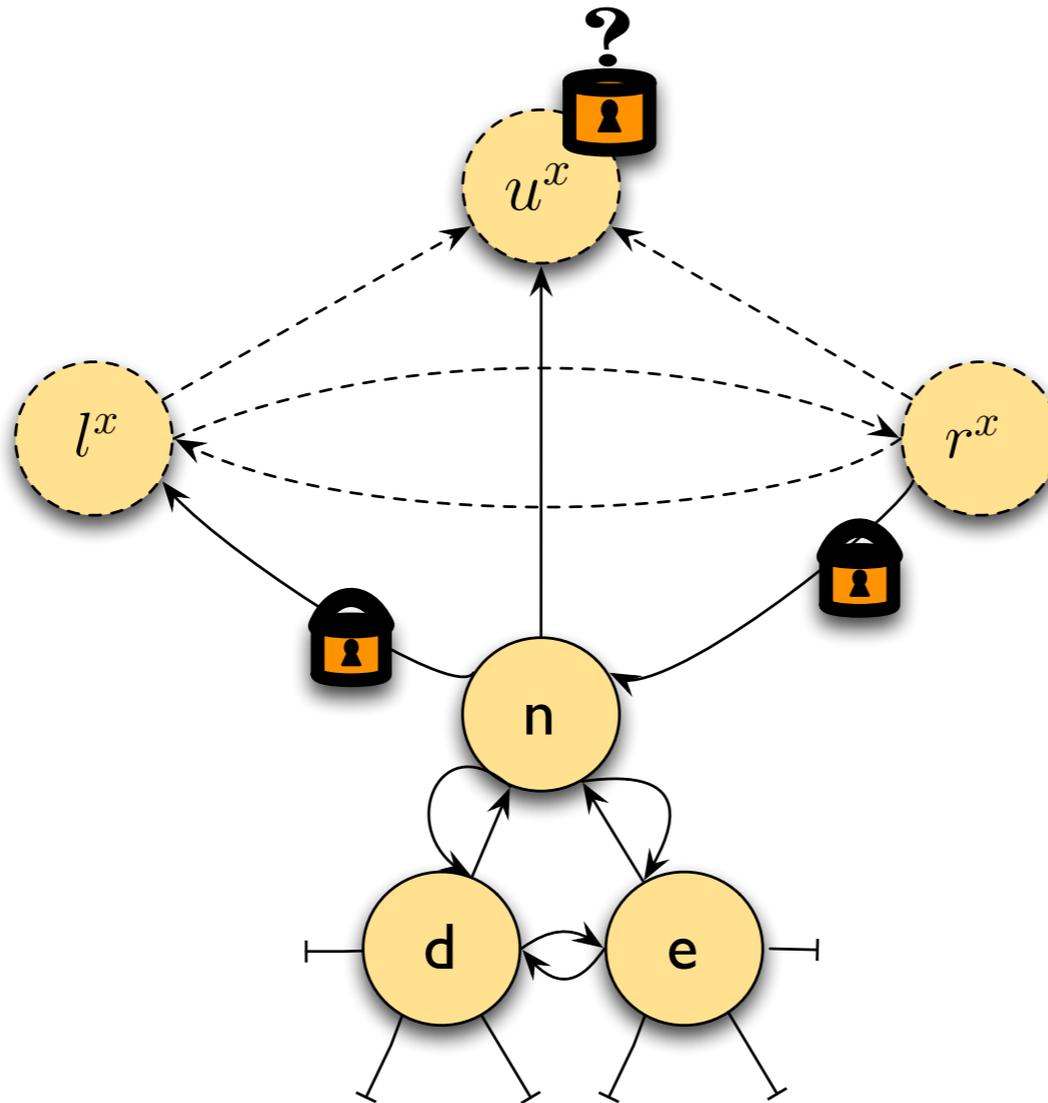
\* Crust ( $r^x, l^x$ ) ( $l^x, u^x, r^x$ )



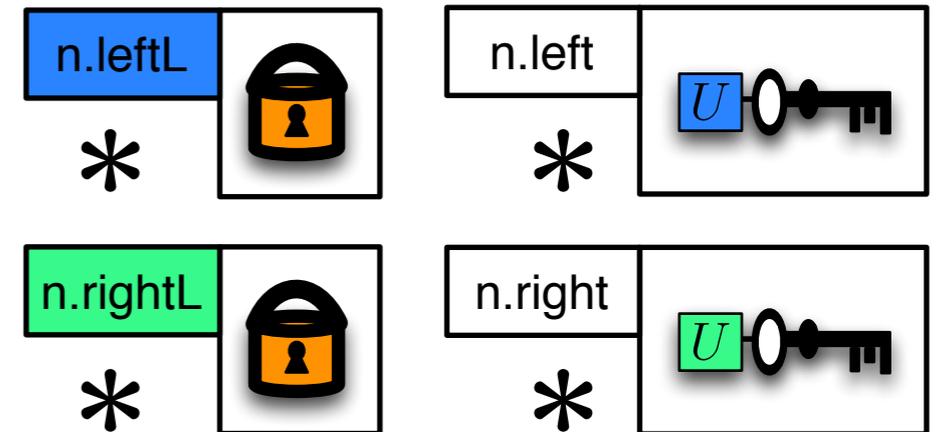
# Refinement (Axiomatic Correctness)

```

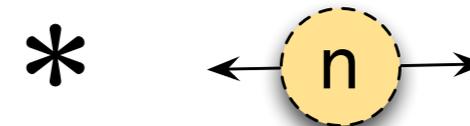
proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
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  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



isParentLock( $u^x$ )



\* Crust ( $r^x, l^x$ ) ( $l^x, u^x, r^x$ )

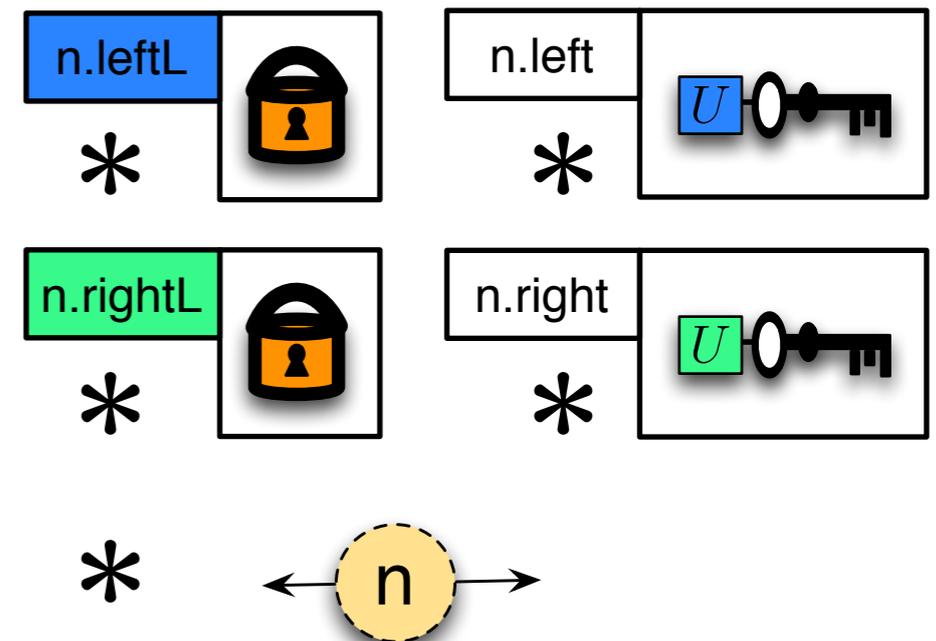
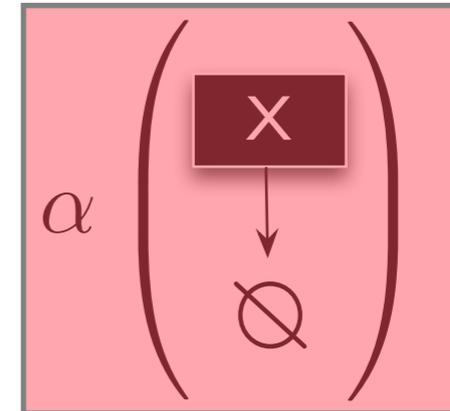
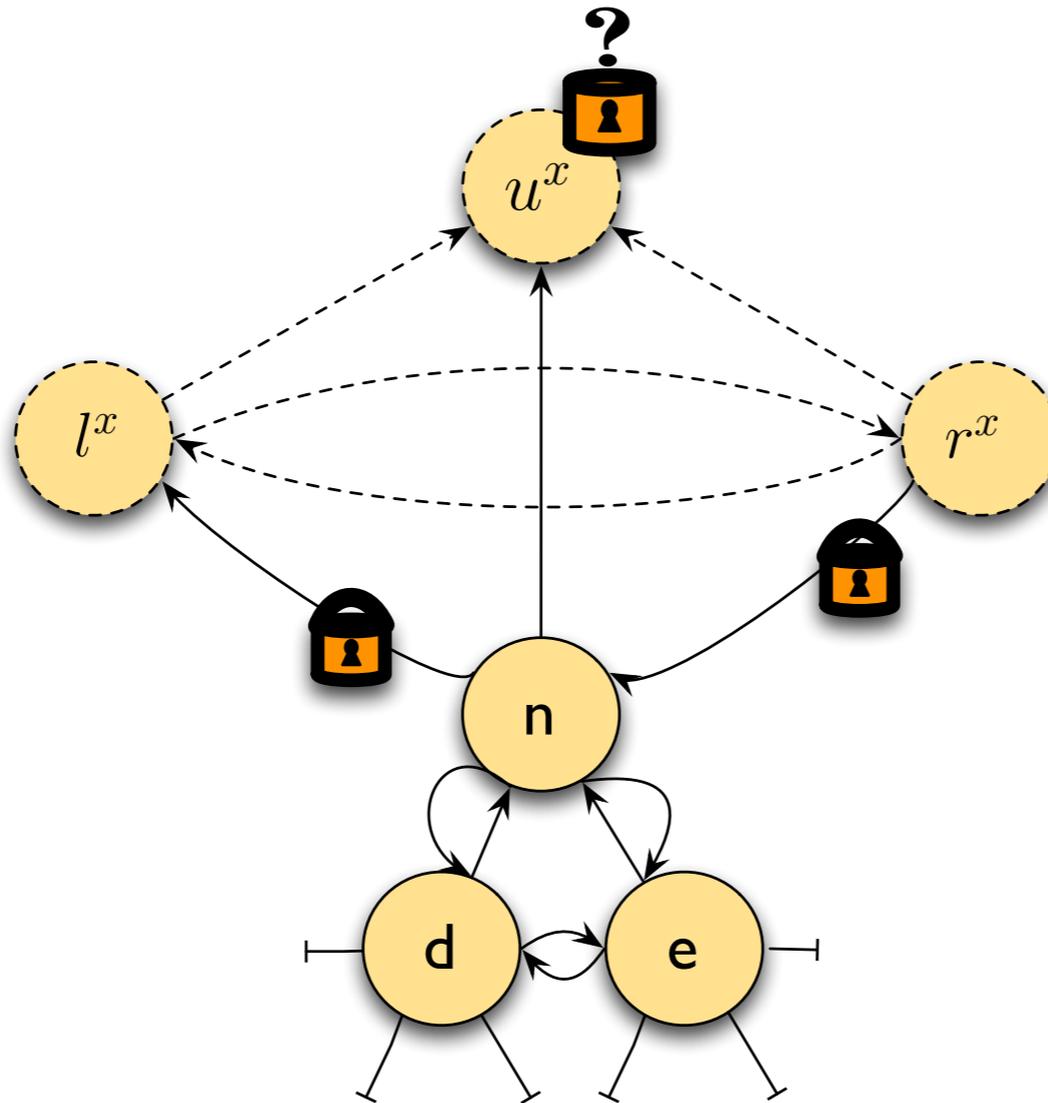


# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
  u := [n.up]; d := [n.first]; ul:= [n.upL];
  //Acquiring the necessary locks.
  lock(ul);
  lock(n.leftL); l:= [n.left];
  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
  else if u ≠ null then [u.first] := r;
  if r ≠ null then [r.left] := l;
  else if u ≠ null then [u.last] := l;
  //Unlocking the acquired locks.
  if l ≠ null then unlock(l.rightL);
  else if u ≠ null then unlock(u.firstL);
  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}

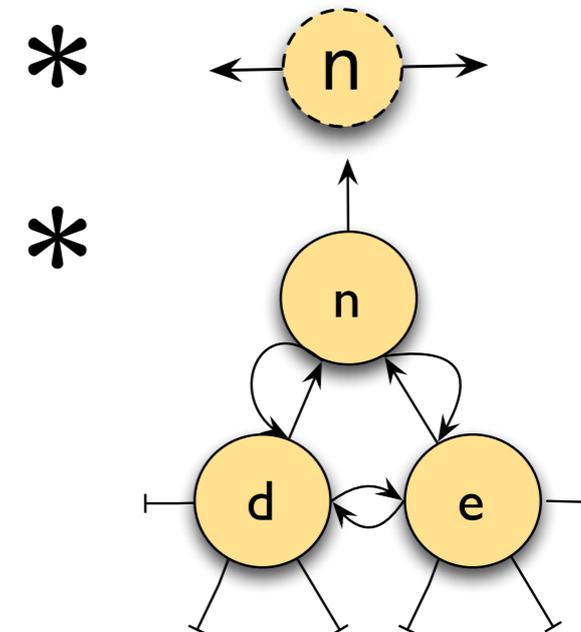
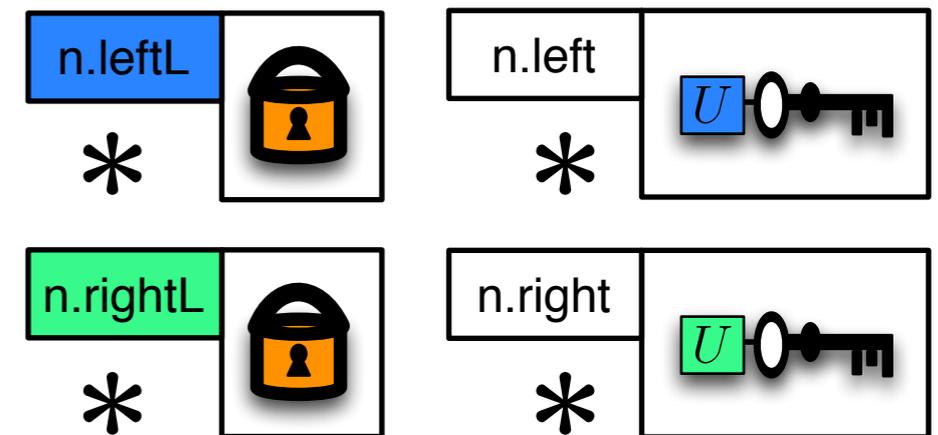
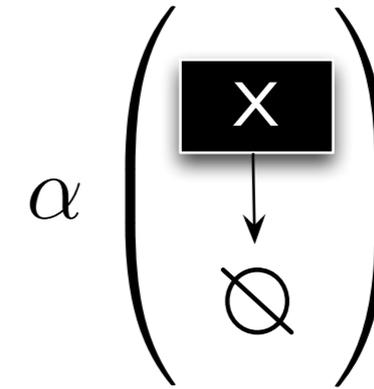
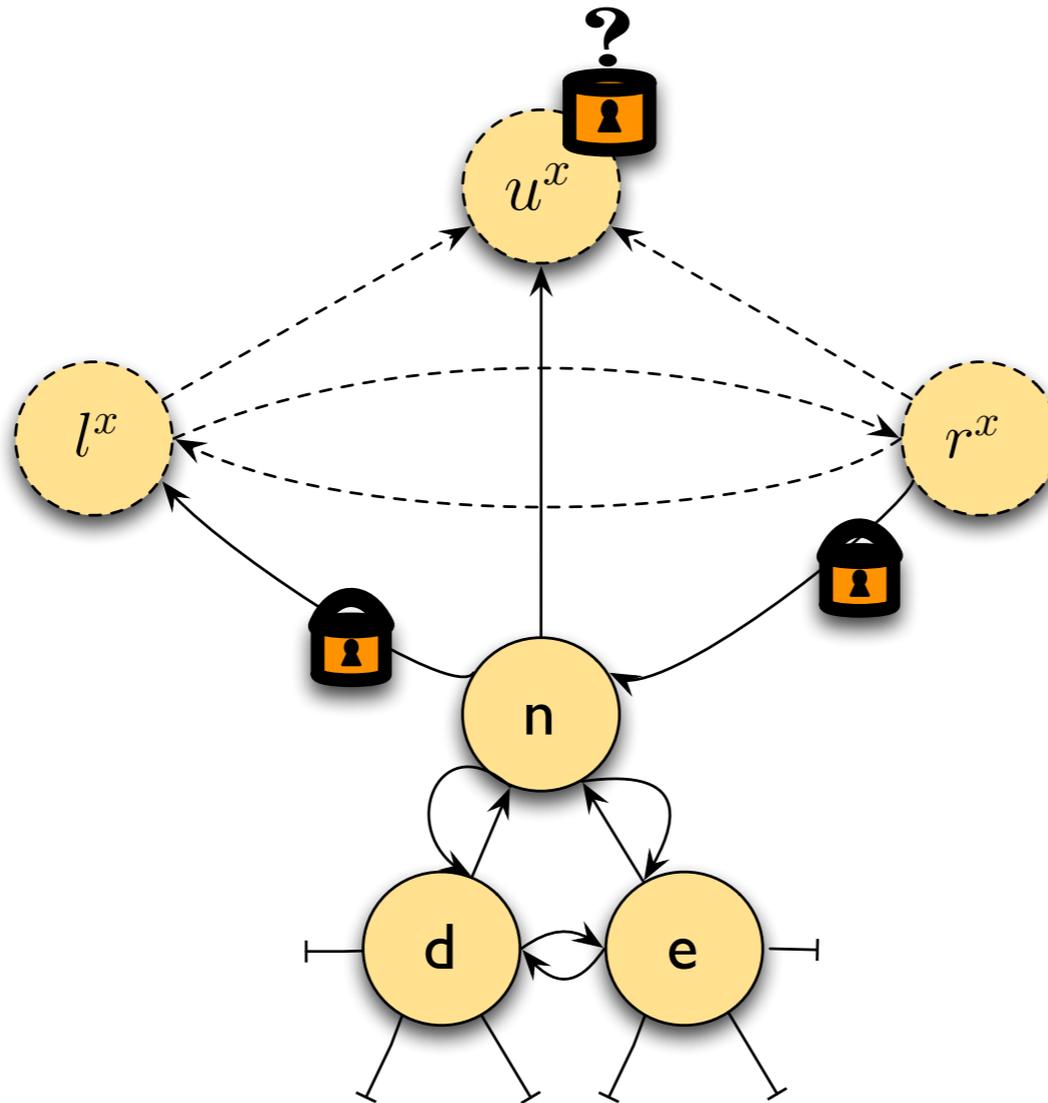
```



# Refinement (Axiomatic Correctness)

```

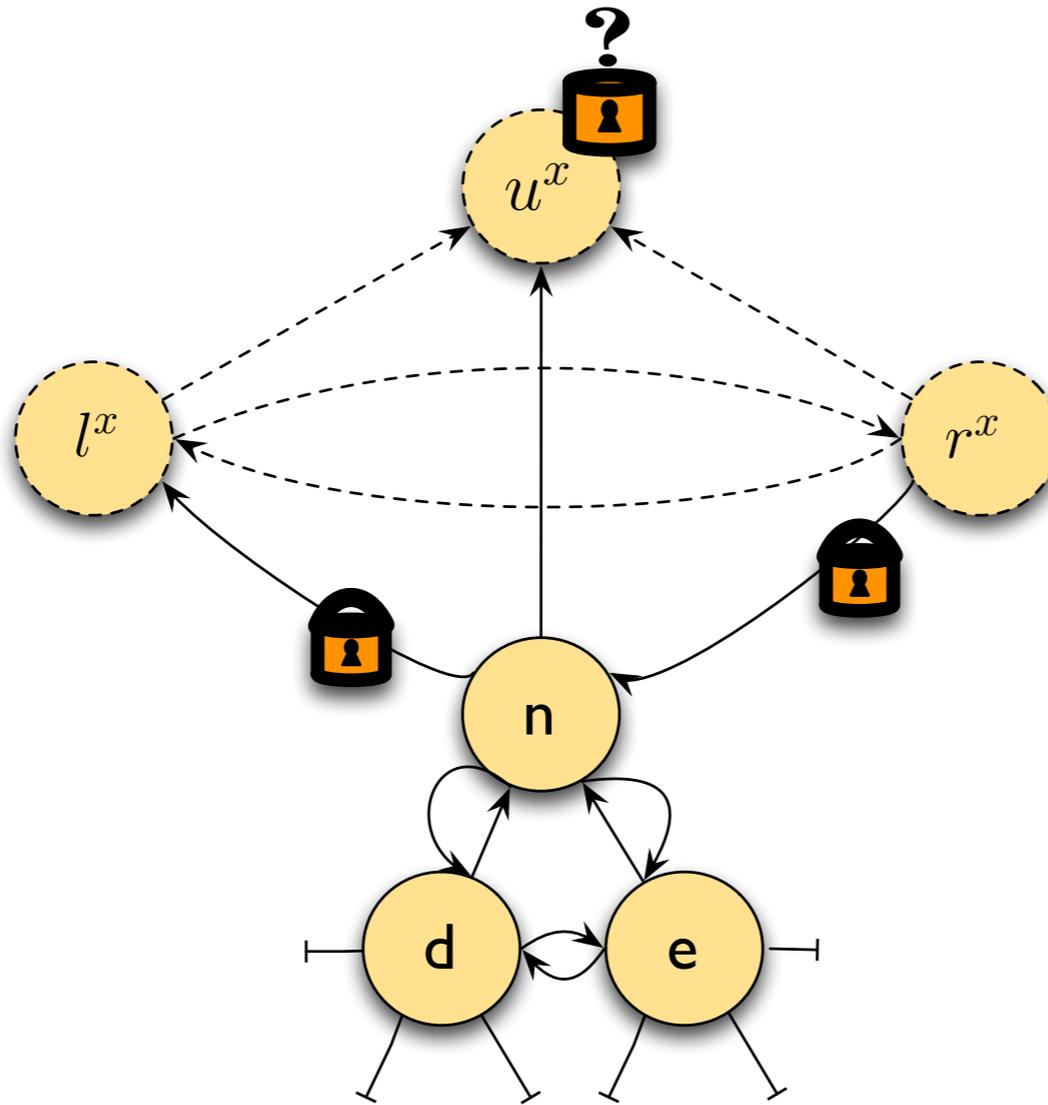
proc deleteTree(n){
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  if r ≠ null then unlock(r.leftL);
  else if u ≠ null then unlock(u.lastL);
  call disposeForest(d);
  disposeNode(n);
}
  
```



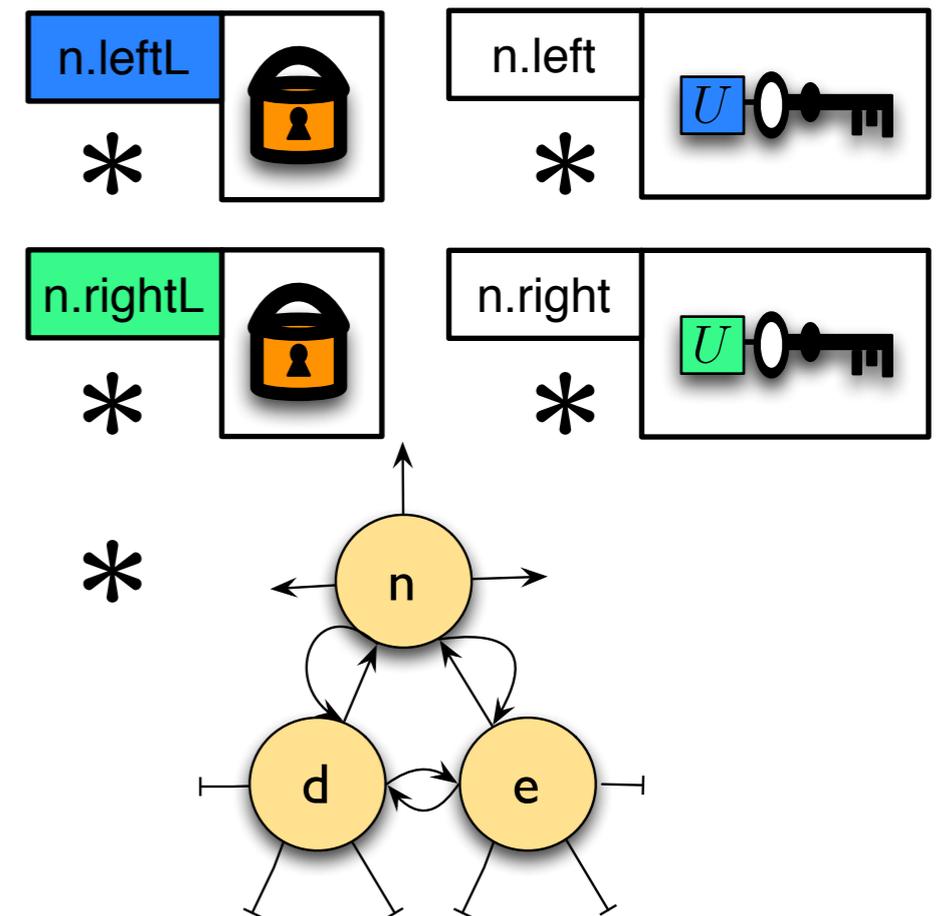
# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
  local l,u,d,r,ul in
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  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  else if u ≠ null then lock(u.lastL);
  unlock(ul);
  //Pointer Swinging.
  if l ≠ null then [l.right] := r;
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  call disposeForest(d);
  disposeNode(n);
}
  
```



$$\alpha \left( \begin{array}{c} \boxed{X} \\ \downarrow \\ \emptyset \end{array} \right)$$



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
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  u := [n.up]; d := [n.first]; ul:= [n.upL];
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  lock(ul);
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  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
}

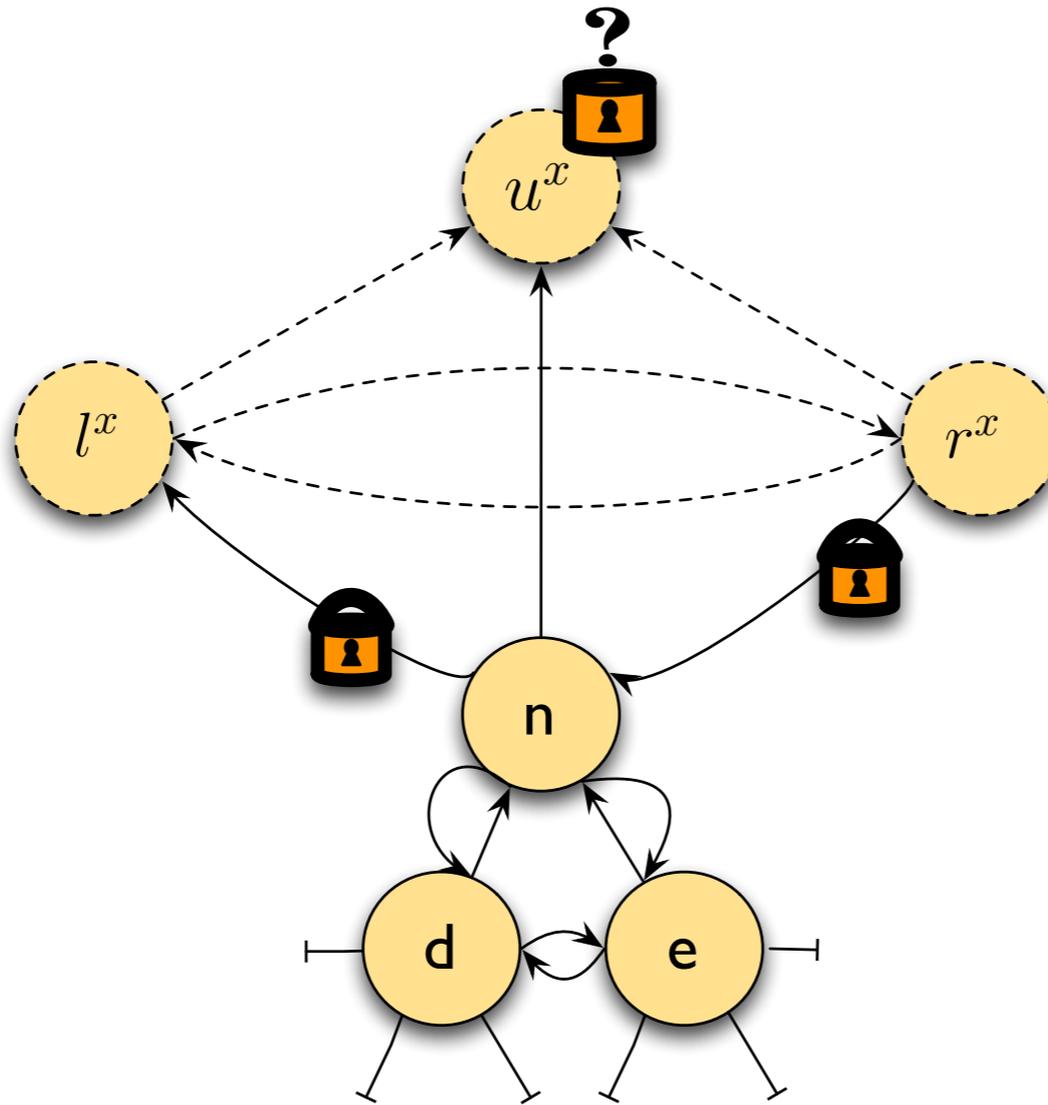
```

## disposeTree(n)

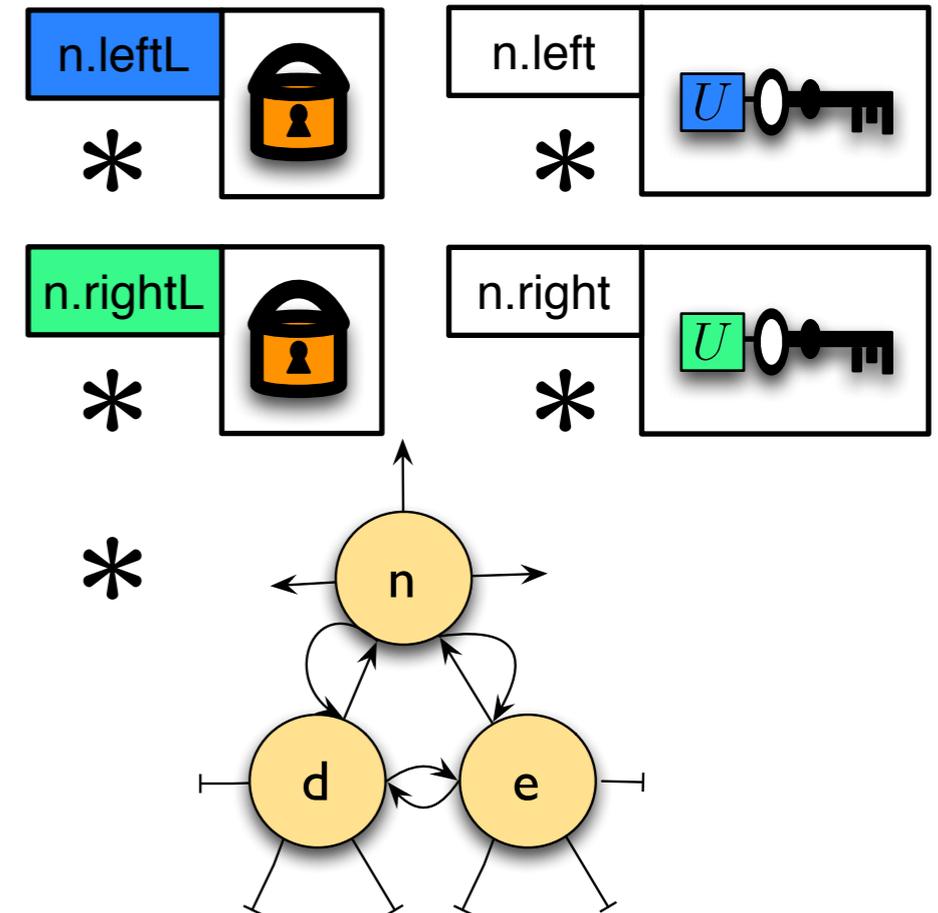
```

//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
else if u ≠ null then [u.last] := l;
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if l ≠ null then unlock(l.rightL);
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else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



$$\alpha \left( \begin{array}{c} \boxed{X} \\ \downarrow \\ \emptyset \end{array} \right)$$



# Refinement (Axiomatic Correctness)

```

proc deleteTree(n){
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  if l ≠ null then lock(l.rightL)
  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);
  }

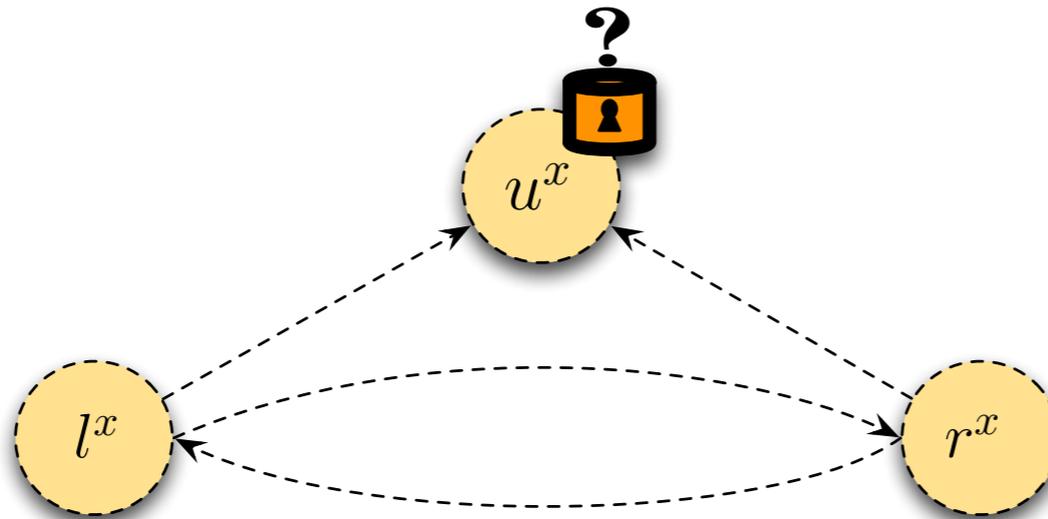
```

## disposeTree(n)

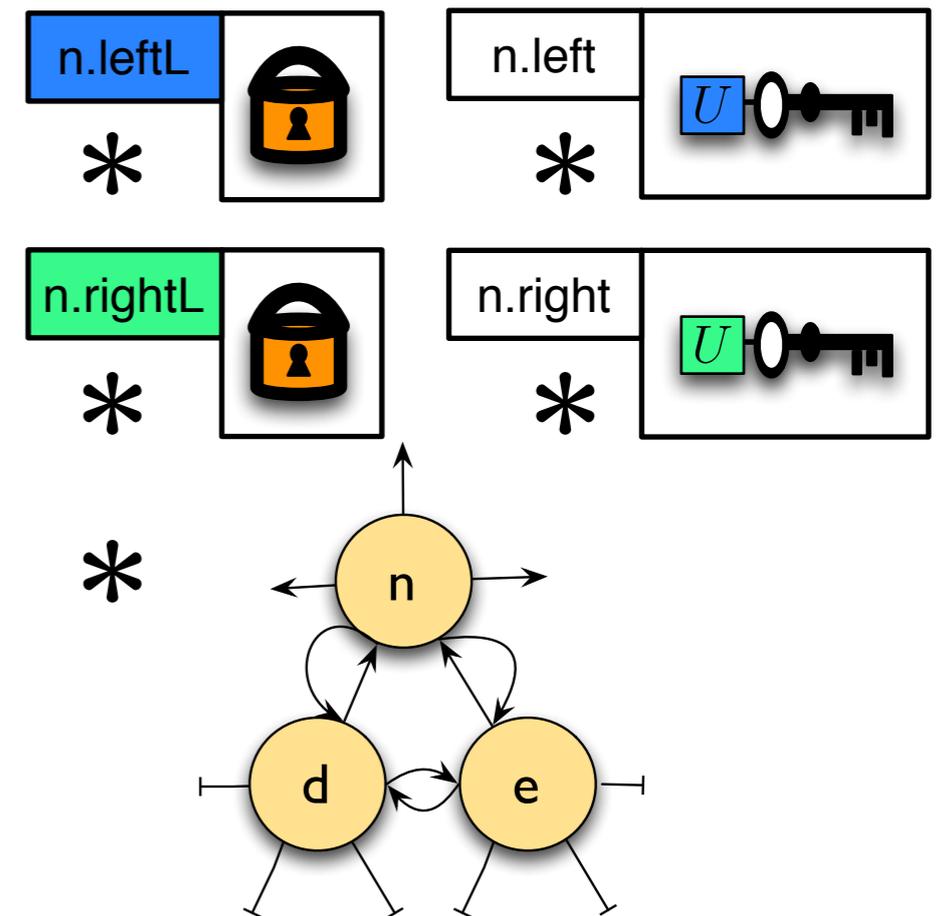
```

//Pointer Swinging.
if l ≠ null then [l.right] := r;
else if u ≠ null then [u.first] := r;
if r ≠ null then [r.left] := l;
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disposeNode(n);
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```



$$\alpha \left( \begin{array}{c} \boxed{X} \\ \downarrow \\ \emptyset \end{array} \right)$$



# Refinement (Axiomatic Correctness)

```

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  u := [n.up]; d := [n.first]; ul:= [n.upL];
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  else if u ≠ null then lock(u.firstL);
  lock(n.rightL); r:= [n.right];
  if r ≠ null then lock(r.leftL);

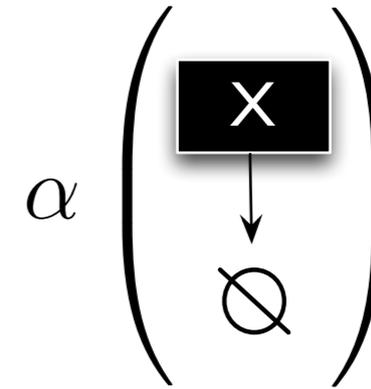
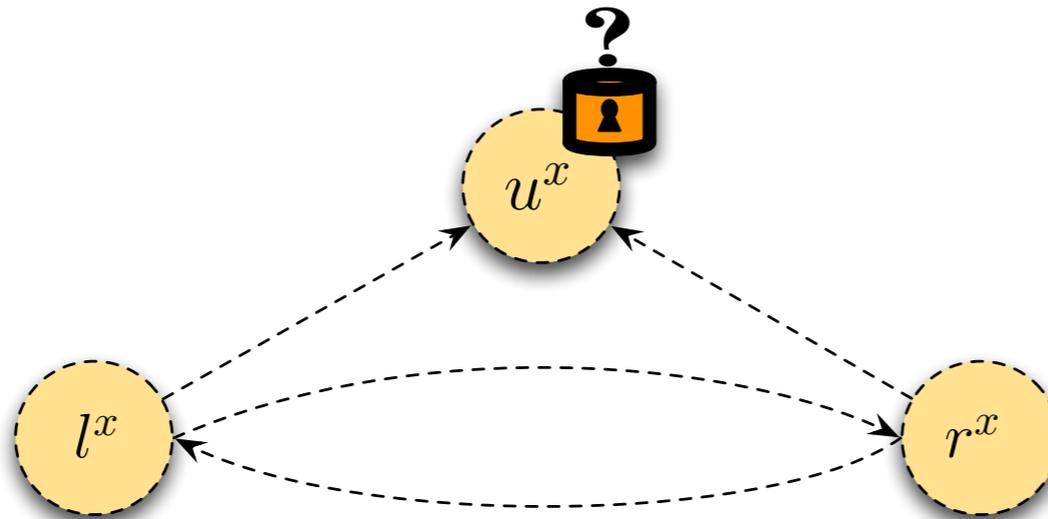
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## disposeTree(n)

```

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if l ≠ null then [l.right] := r;
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if r ≠ null then [r.left] := l;
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if r ≠ null then unlock(r.leftL);
else if u ≠ null then unlock(u.lastL);
call disposeForest(d);
disposeNode(n);
}

```



**Thank you for listening.**

**Questions?**